

David W.C. MacMillan: Career-in-Review

YAN XU

DONG GROUP MEETING

JAN. 2, 2014

David W.C. MacMillan: A Brief Introduction



■ Career

1968 Born in Bellshill, **Scotland**.

1987-1991 Undergraduate degree in chemistry at **the University of Glasgow**.

1991-1996 Doctoral studies with Professor **Larry E. Overman** at the **University of California, Irvine**.

1996-1998 Postdoctoral studies with Professor **David Evans** at the **Harvard University**.

July 1998 Dave began his **independent research career** at **the University of California, Berkeley**.

June 2000 Joined the department of chemistry at **CIT**

June 2006 Appointed as the A. Barton Hepburn Professor of Chemistry at **Princeton University**.

■ Title

■ **James S. McDonnell Distinguished University Professor** of Chemistry at Princeton University.

■ **Chairperson** of the Department of Chemistry at Princeton University.

■ **Director** of the Merck Center for Catalysis at Princeton University.

2010 - Present *Chemical Science*
[Editor-in-Chief]

1

LUMO Catalysis

2

HOMO Catalysis

3

Cascade LUMO-HOMO Catalysis

4

SOMO Catalysis

5

Photoredox Organo Catalysis

6

Photoredox Organo Catalysis (Type II)

7

Summary



1 **LUMO Catalysis**

2 **HOMO Catalysis**

3 **Cascade LUMO-HOMO Catalysis**

4 **SOMO Catalysis**

5 **Photoredox Organo Catalysis**

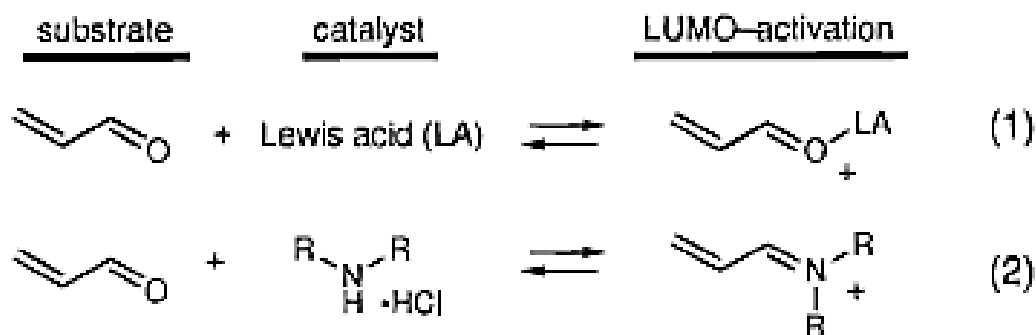
6 **Photoredox Organo Catalysis (Type II)**

7 **Summary**

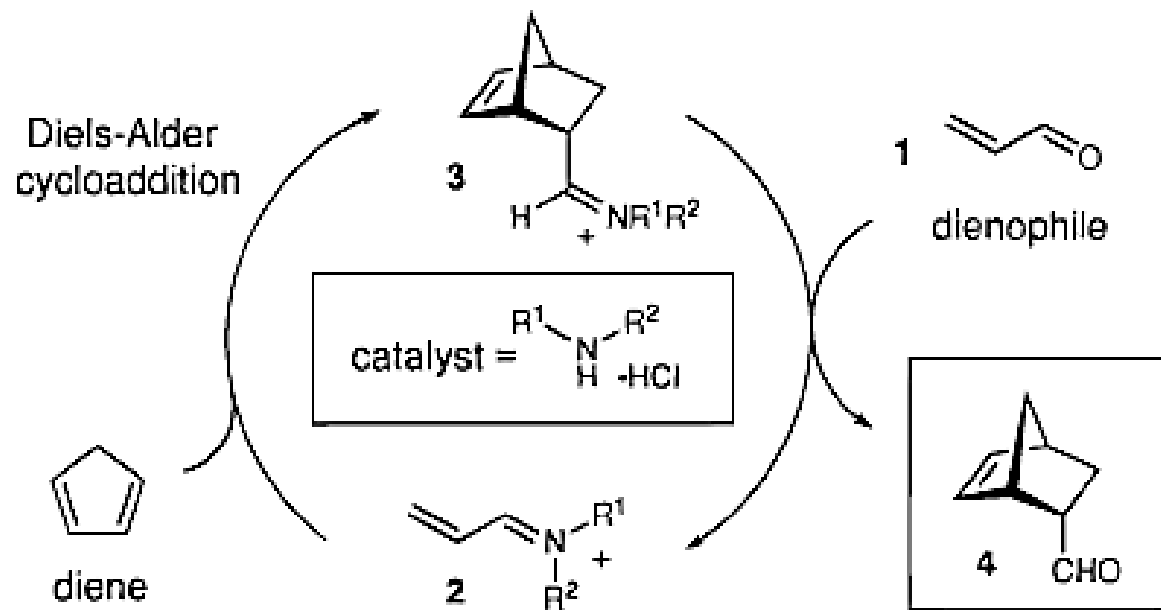


The First Highly Enantioselective Organocatalytic Diels-Alder Reactions

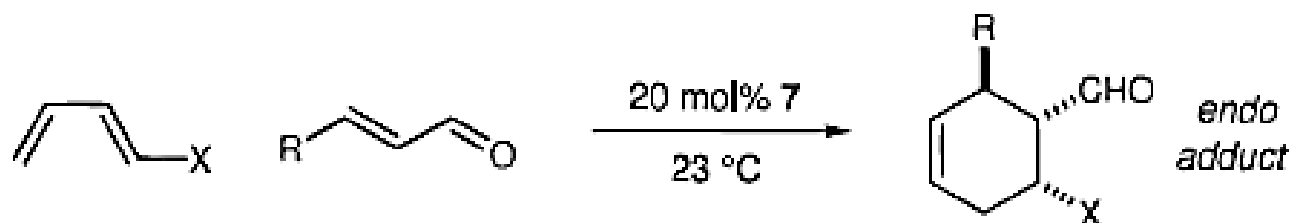
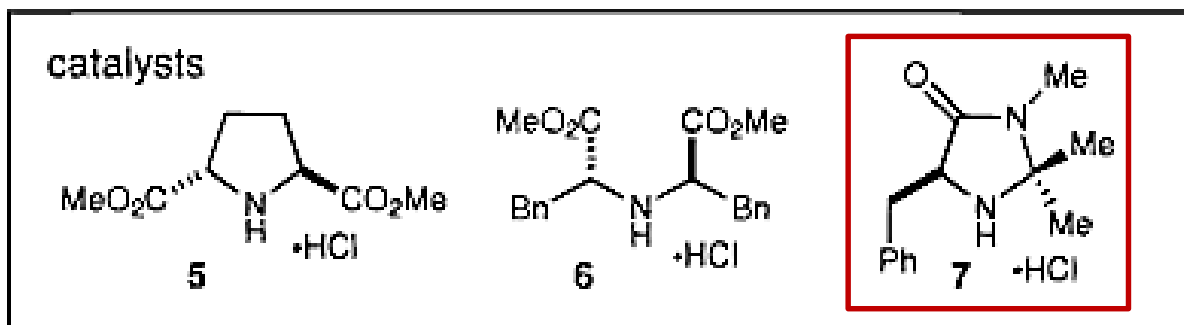
- two way to lower the LUMO of the enal system



- designed reaction cycle

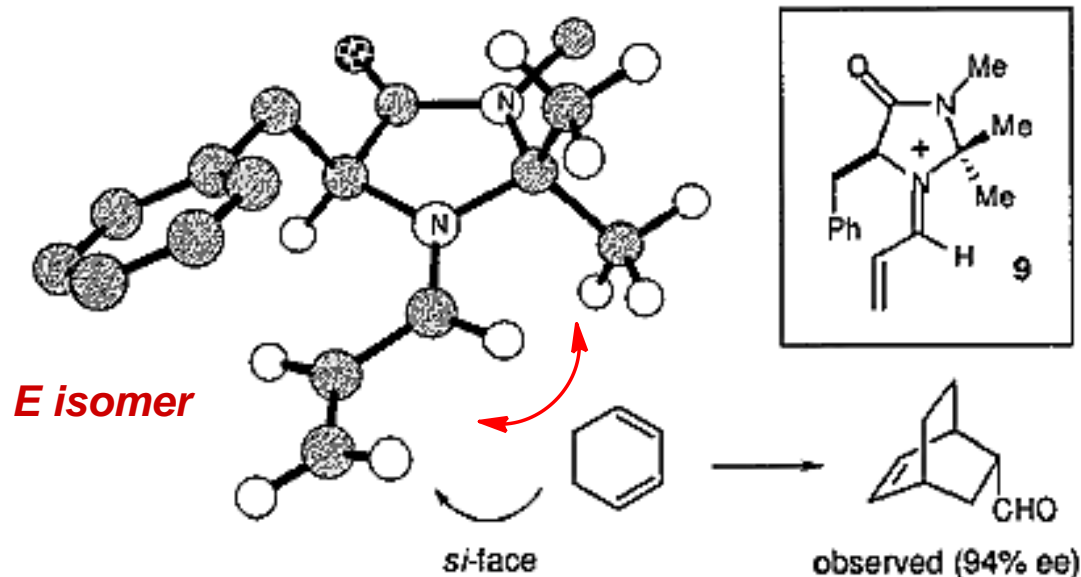


The First Highly Enantioselective Organocatalytic Diels-Alder Reactions

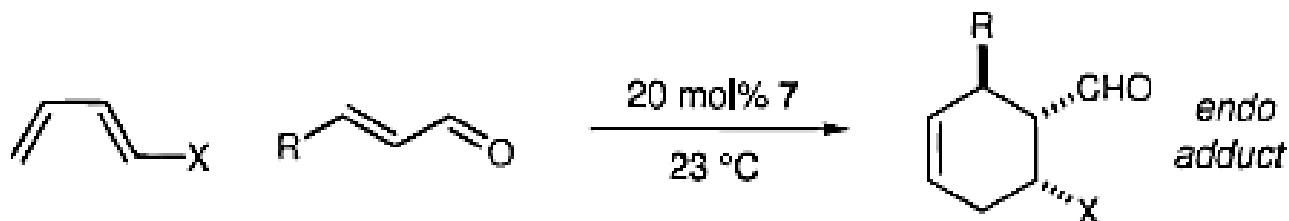
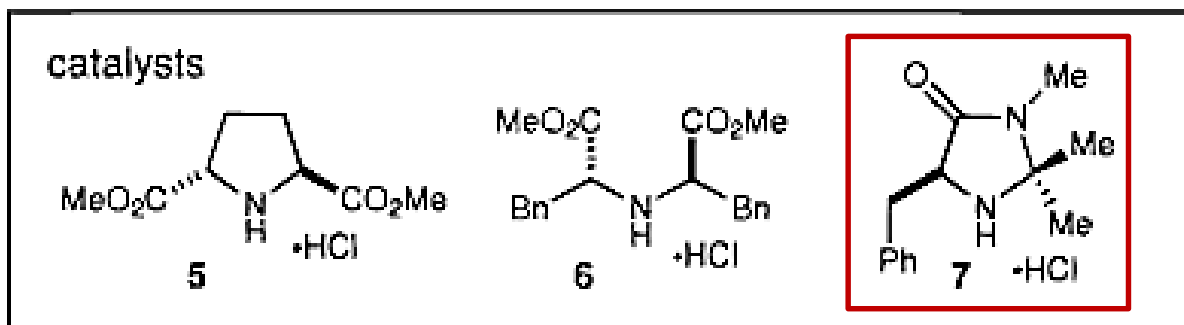


12 examples,
85-96% ee,
72-99% yield

■ origin of chiral control

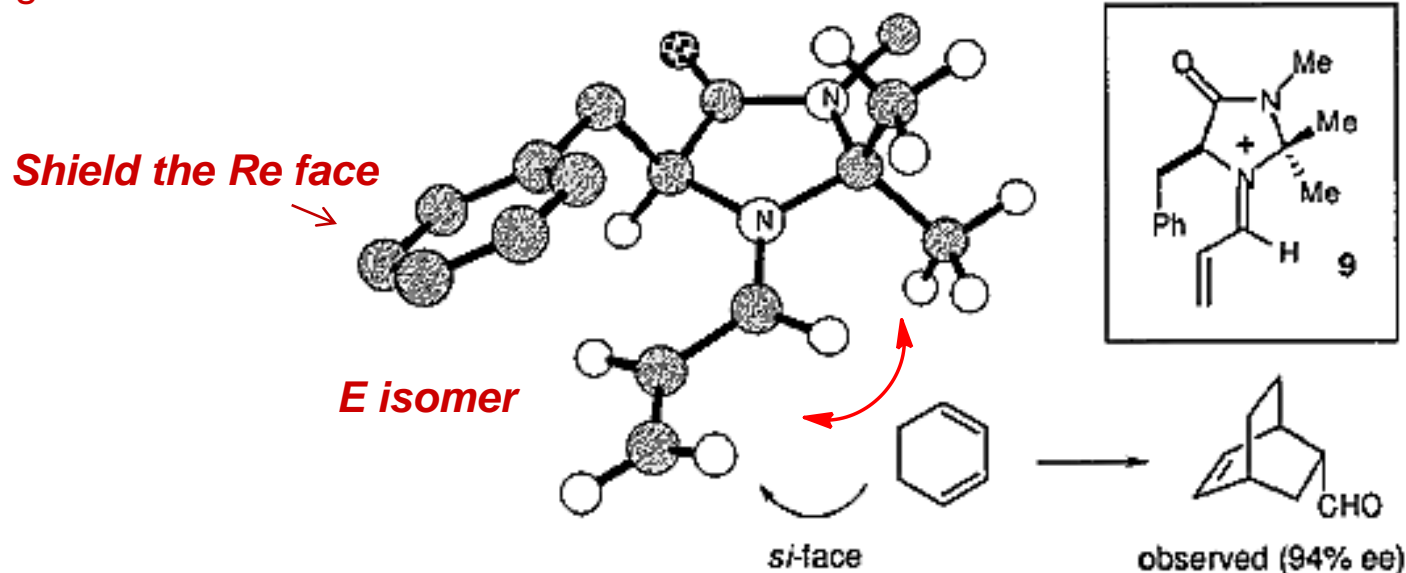


The First Highly Enantioselective Organocatalytic Diels-Alder Reactions



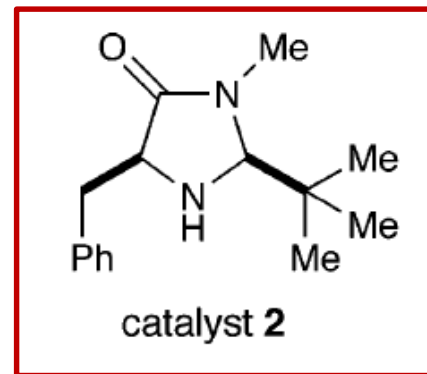
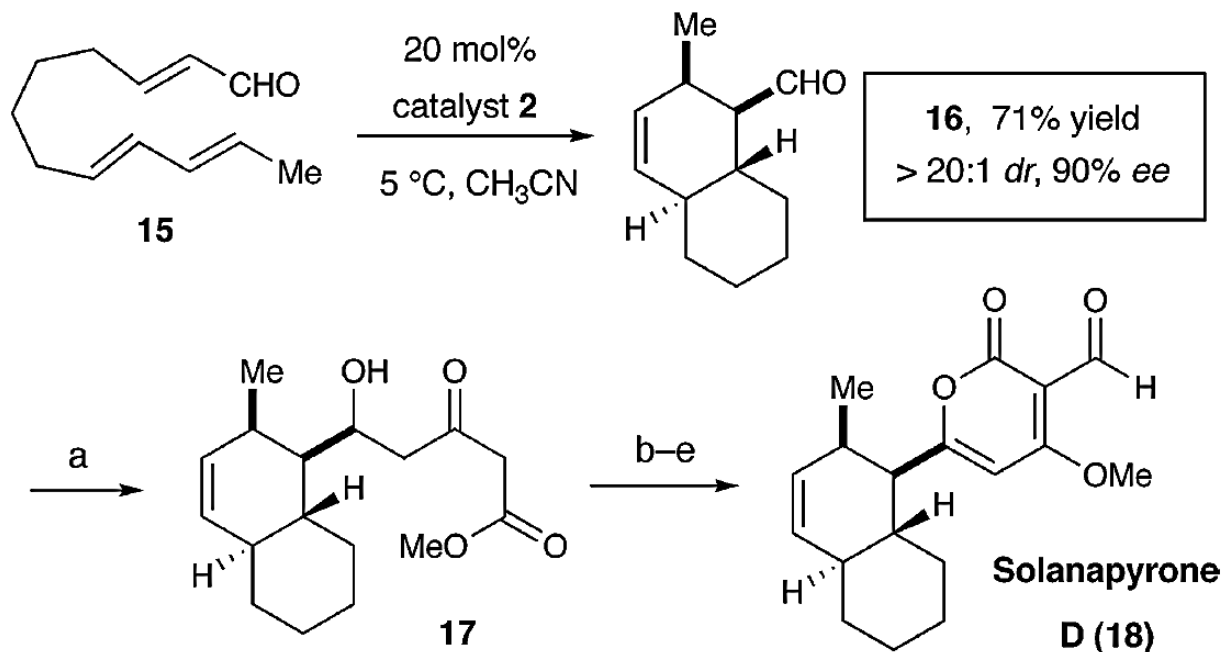
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The First Highly Enantioselective Organocatalytic Diels-Alder Reactions

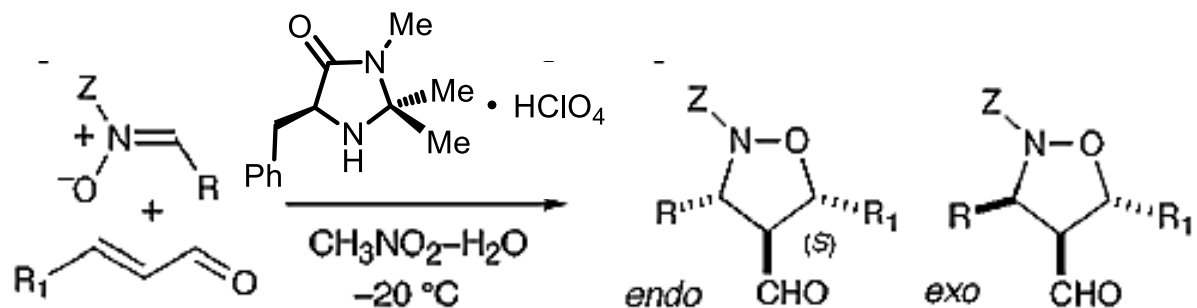
■ synthesis of *Solanapyrone*



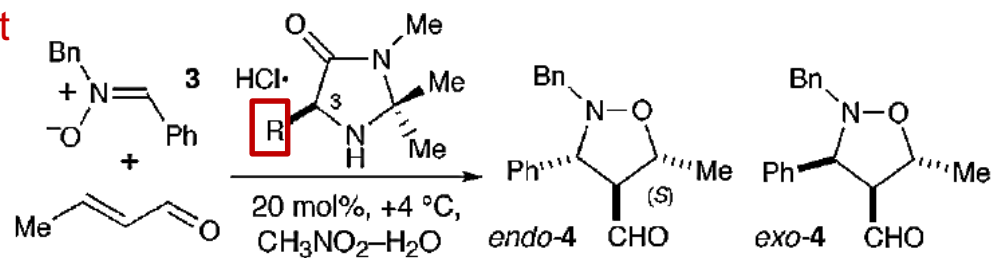
^a Key: (a) Methyl acetoacetate bis(trimethylsilyl) enol ether, TiCl₄, CH₂Cl₂, -78 °C, 75%. (b) Dess–Martin Periodinane, CH₂Cl₂, 71%. (c) DBU, benzene, 60 °C, 87%. (d) Methyl *p*-toluenesulfonate, K₂CO₃, DMF, room temperature, 81%. (e) LDA, THF, -78 °C to 0 °C; methyl formate, -78 °C, 57% (91% based on recovered starting material).

Highly enantioselective [3+2] cycloaddition reaction

nitron as the substrate



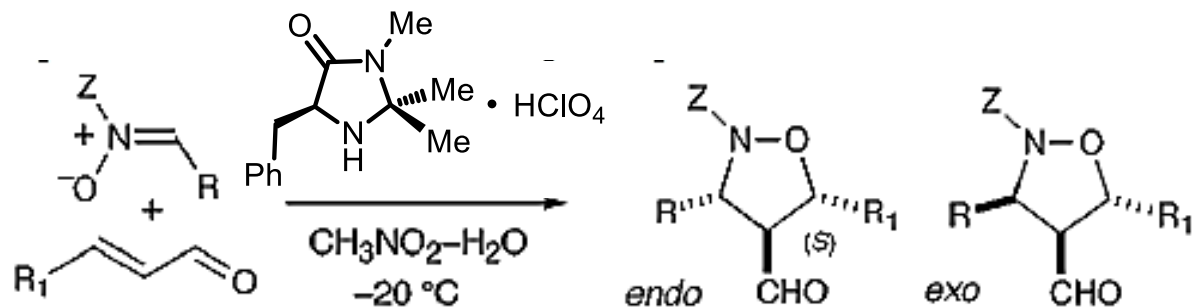
screening of catalyst



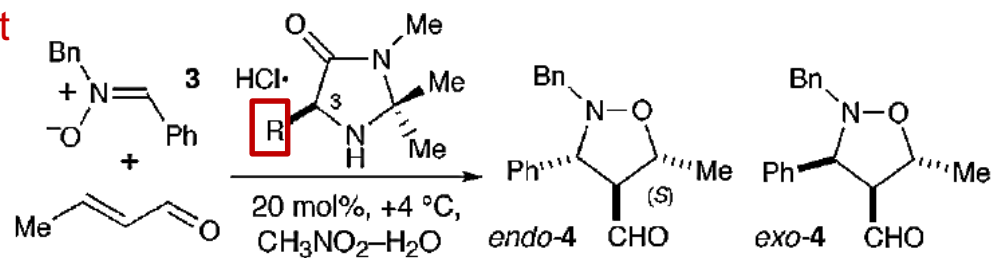
entry	R-(catalyst)	Time (h)	% yield	<i>exo:endo</i>	% ee (<i>endo</i>) ^{a,b}
1	CH_2Ph (1a)	72	70	88:12	93
2	Ph (1b)	70	73	78:22	44
3	<i>i</i> -Pr (1c)	60	68	58:32	42
4	<i>t</i> -Bu (1d)	70	45	33:66	20
5	$\text{CH}_2\text{-2-naphthyl}$ (1e)	48	62	78:22	86
6	$\text{CH}_2\text{C}_6\text{H}_4\text{OMe-4}$ (1f)	48	77	79:21	89
7	$\text{CH}_2\text{CH}_2\text{Ph}$ (1g)	48	72	50:50	69

Highly enantioselective [3+2] cycloaddition reaction

nitron as the substrate



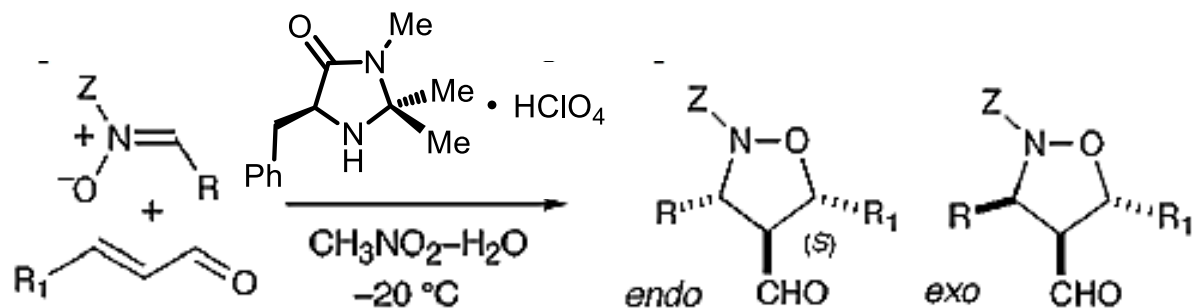
screening of catalyst



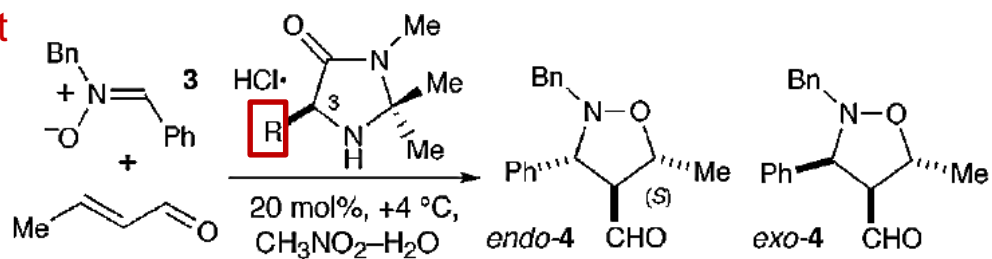
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Highly enantioselective [3+2] cycloaddition reaction

nitron as the substrate



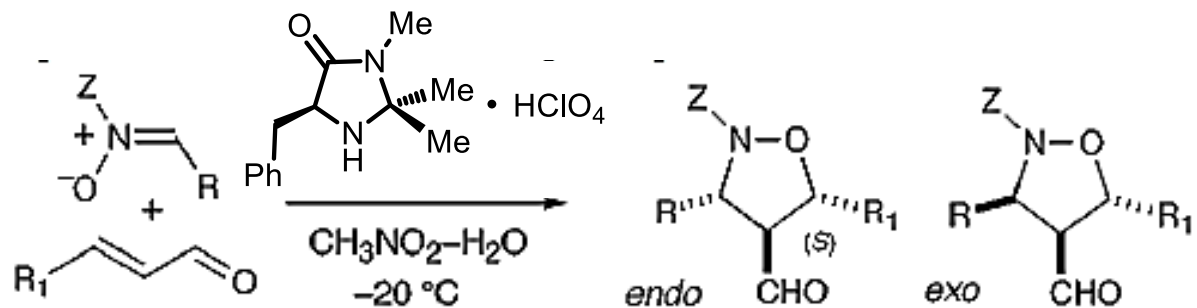
screening of catalyst



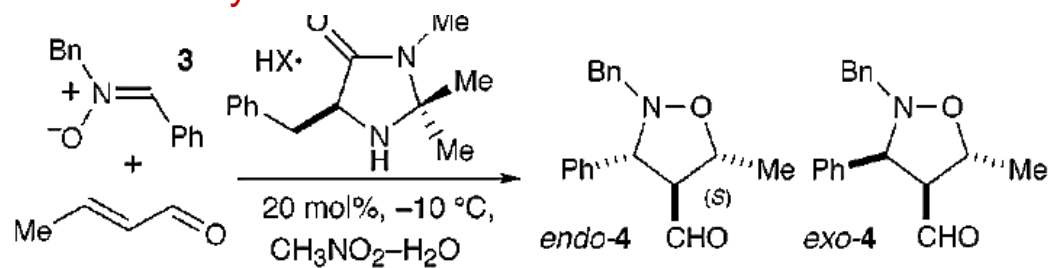
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Highly enantioselective [3+2] cycloaddition reaction

■ nitron as the substrate

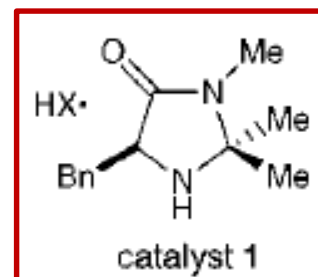
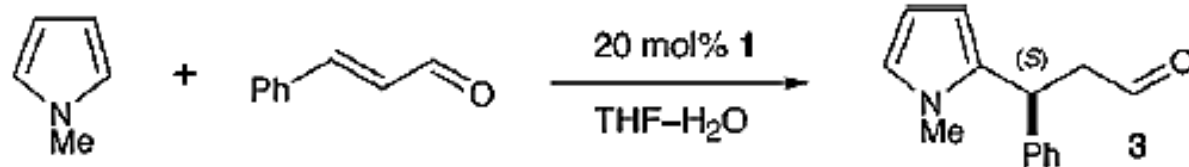


■ screening of the acid cocatalyst



entry	HX co-catalyst	Time (h)	% yield	endo:exo	% ee (endo) ^a
1	HCl (1a)	108	70	88:12	95
2	TfOH (5)	101	88	89:11	90
3	TFA (6)	80	65	72:28	86
4	HBr (7)	80	77	94:6	93
5	HClO ₄ (8)	80	86	94:6	90
6	HClO ₄ (8)	100	98	94:6	94 ^b

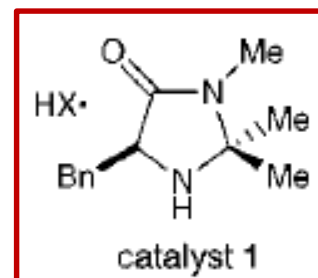
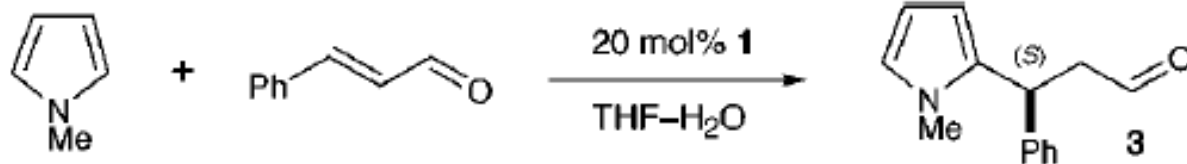
The First Enantioselective Organocatalytic Friedel-Crafts Alkylation



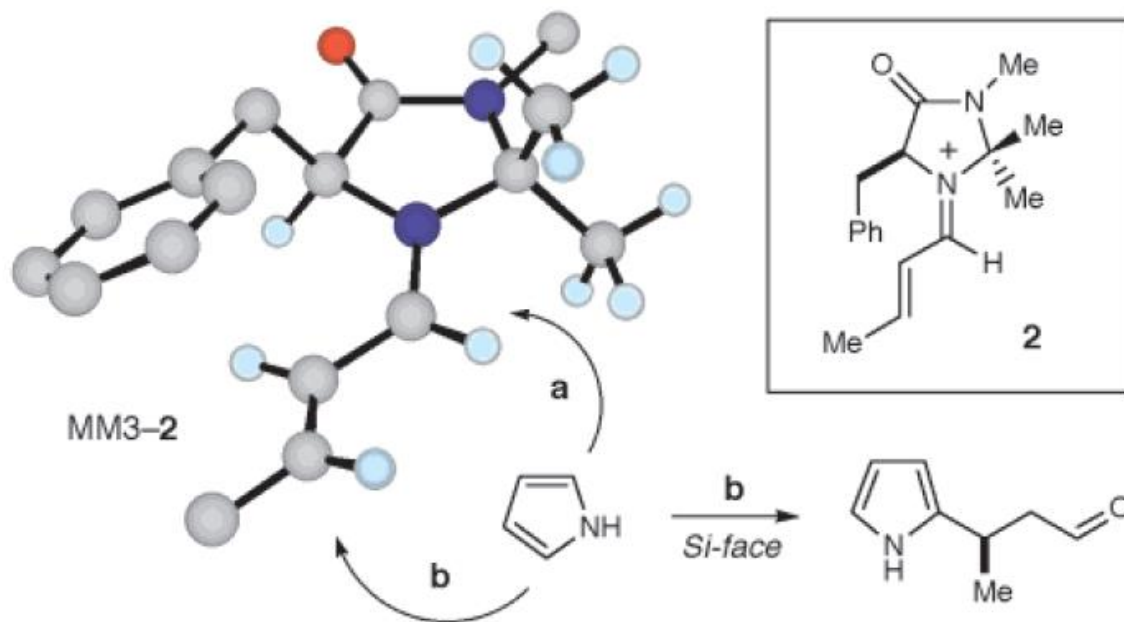
■ very sensitive to the cocatalyst

entry	H-X cocatalyst	Temp (°C)	Time (h)	% yield ^a	% ee ^{b,c}
1	NCCH ₂ CO ₂ H (1a)	23	32	10	80
2	Cl ₂ CHCO ₂ H (1b)	23	32	62	80
3	Cl ₃ CCO ₂ H (1c)	23	3	64	81
4	TFA (1d)	23	3	78	81
5	TFA (1d)	-30	42	87	93

The First Enantioselective Organocatalytic Friedel-Crafts Alkylation

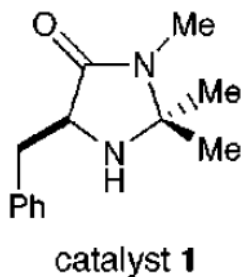


- 1,2-addition is highly prohibited by the catalyst



calculated iminium ion model

Enantioselective Indole Alkylation



cocatalysts =

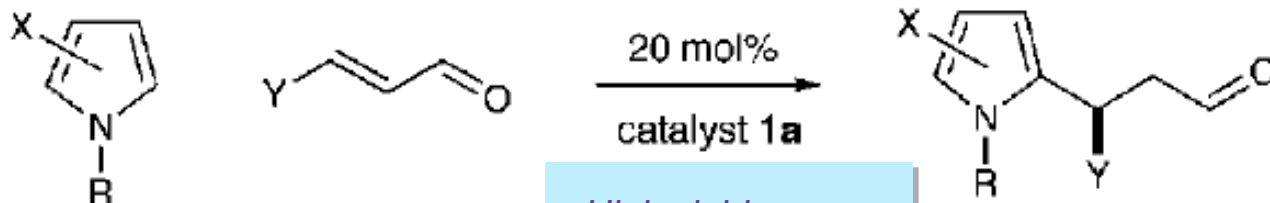
a = TFA

b = *p*-TSA

c = 2-NO₂PhCO₂H

■ previous reaction

Pyrrole Alkylation

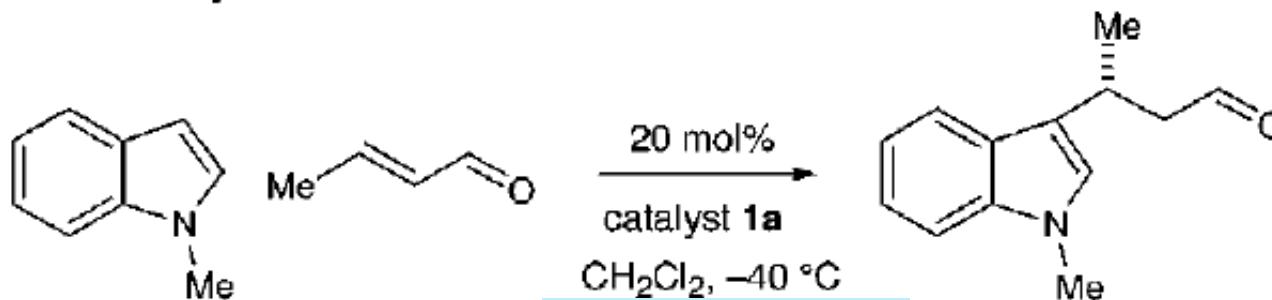


High yield
Good ee value

J. Am. Chem. Soc. **2001**, *123*, 4370-4371

■ initial trial

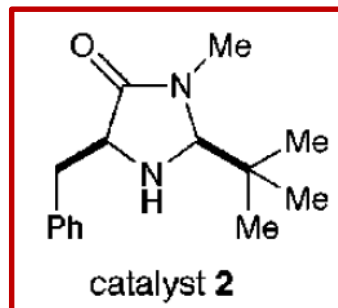
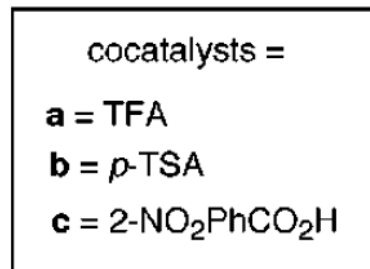
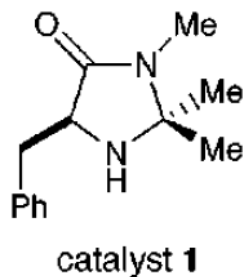
Indole Alkylation



Sluggish reaction
Poor ee value

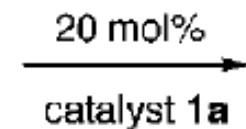
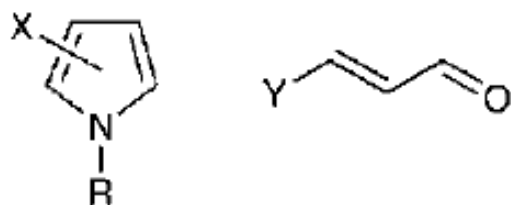
J. Am. Chem. Soc., **2002**, *124*, 1172-1173

Enantioselective Indole Alkylation

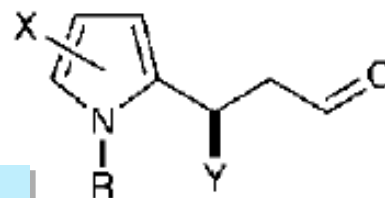


■ previous reaction

Pyrrole Alkylation



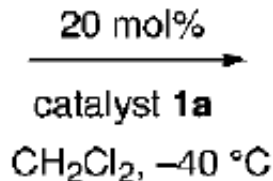
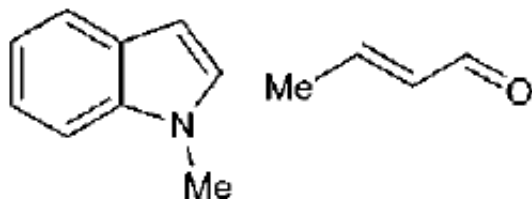
High yield
Good ee value



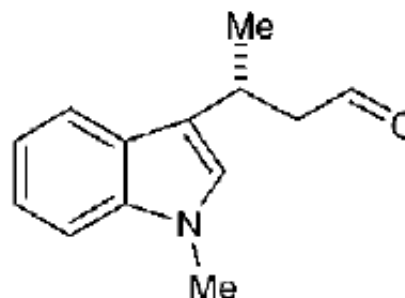
J. Am. Chem. Soc. **2001**, *123*, 4370-4371

■ initial trial

Indole Alkylation

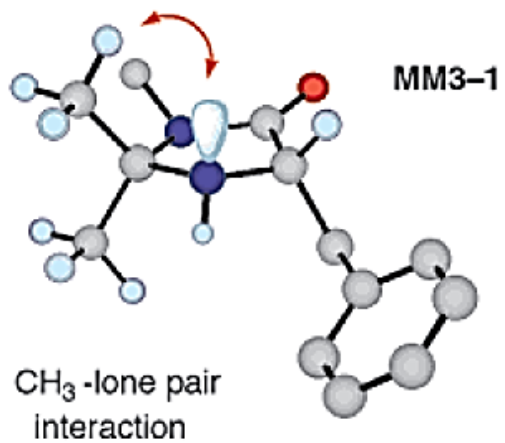


Sluggish reaction
Poor ee value

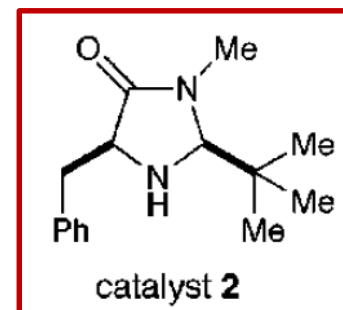
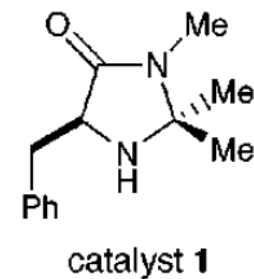
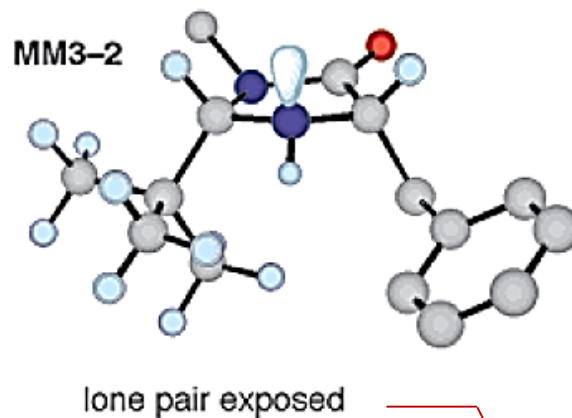


J. Am. Chem. Soc., **2002**, *124*, 1172-1173

Computational model of catalyst 1

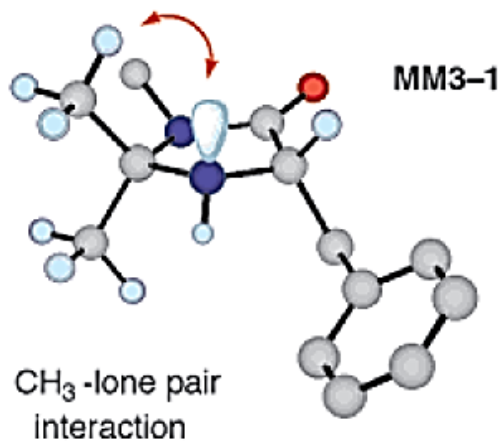


Computational model of catalyst 2

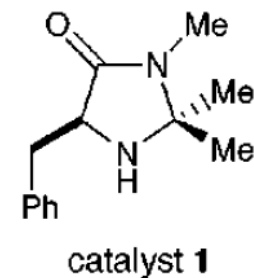
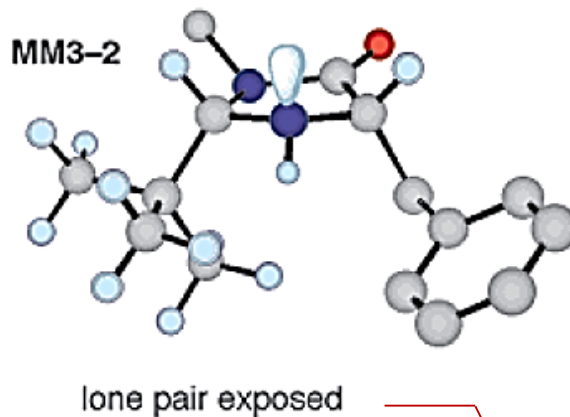


■ faster iminium formation

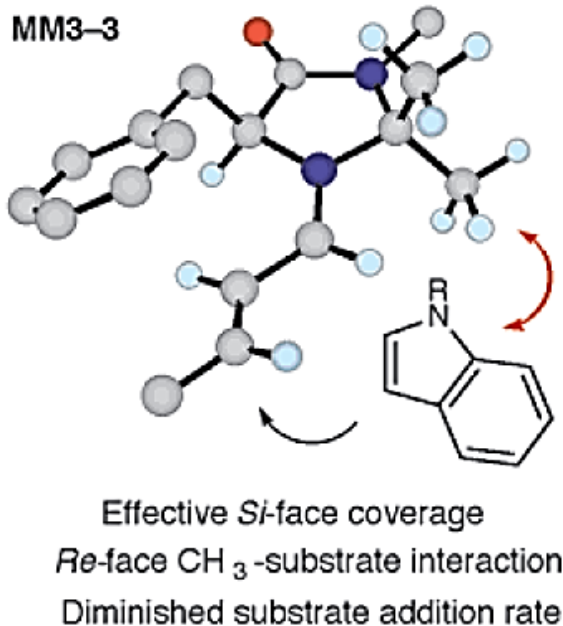
Computational model of catalyst 1



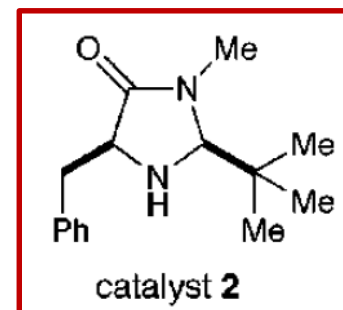
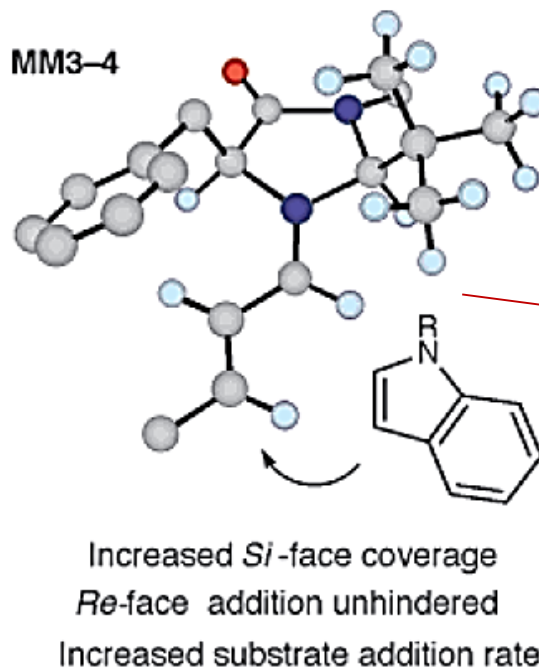
Computational model of catalyst 2



Computational model of iminium 3



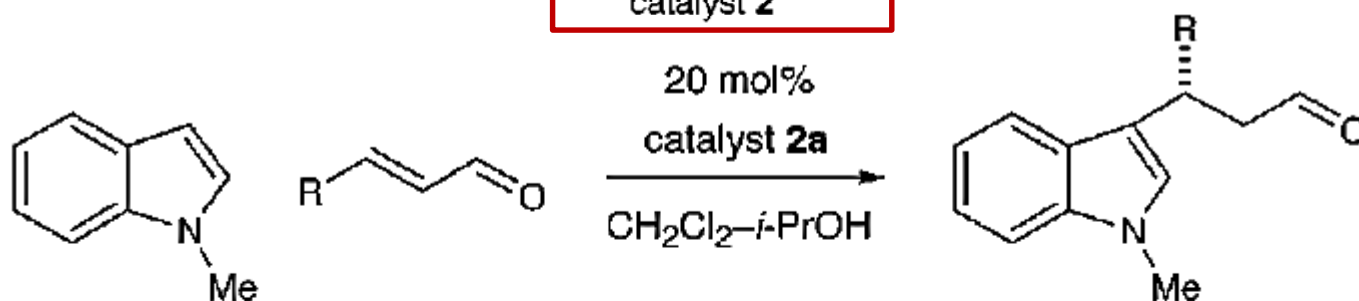
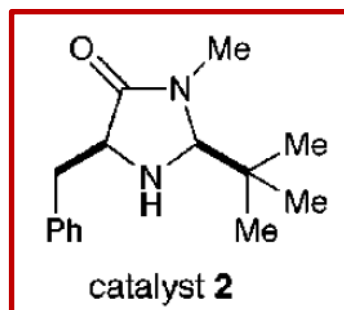
Computational model of iminium 4



- faster iminium formation
- increased *Si*-face coverage & unhindered *Re*-face

Enantioselective Indole Alkylation

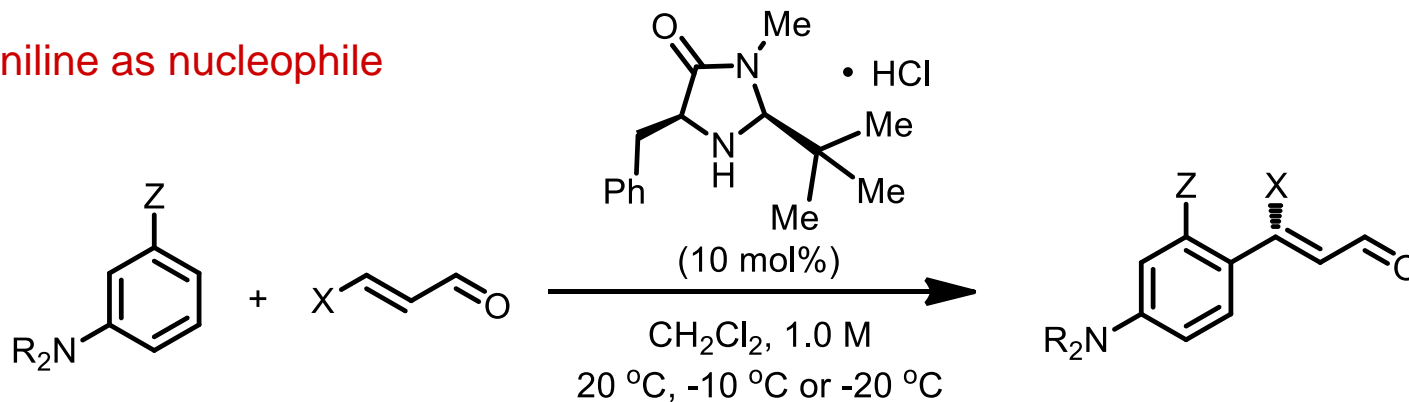
■ with new catalyst



entry	R	temp °C	time (h)	% yield	% ee ^a
1	Me	-83	19	82	92 ^b
2	Pr	-60	6	80	93
3	<i>i</i> -Pr	-50	32	74	93
4	CH ₂ OBz	-83	18	84	96 ^b
5	Ph	-55	45	84	90
6	CO ₂ Me	-83	21	89	91

Other Enantioselective Friedel-Crafts Type Alkylations

■ aniline as nucleophile

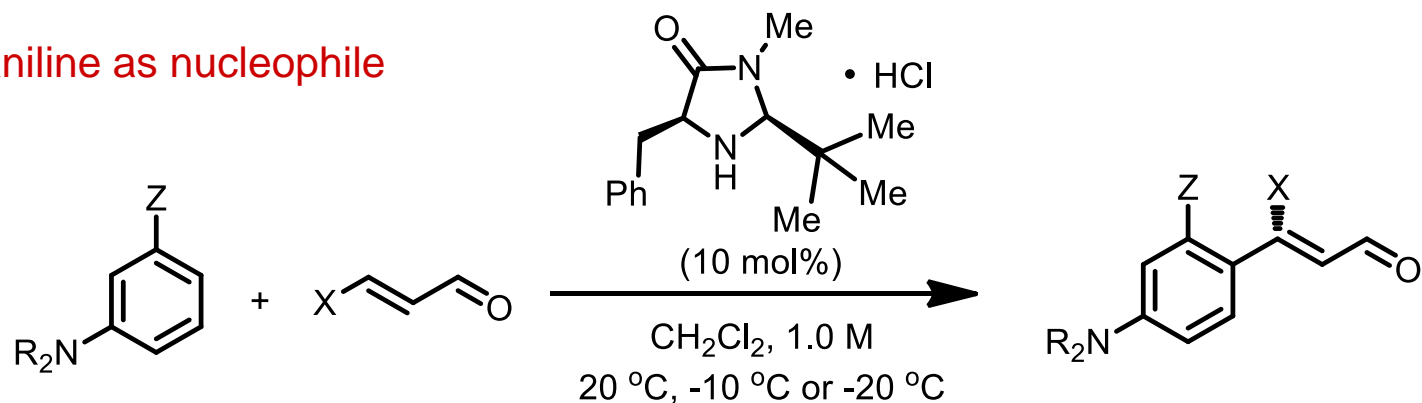


25 examples, 66-97% yield, 84-97 % ee

J. Am. Chem. Soc., 2002, 124, 7894-7895

Other Enantioselective Friedel-Crafts Type Alkylations

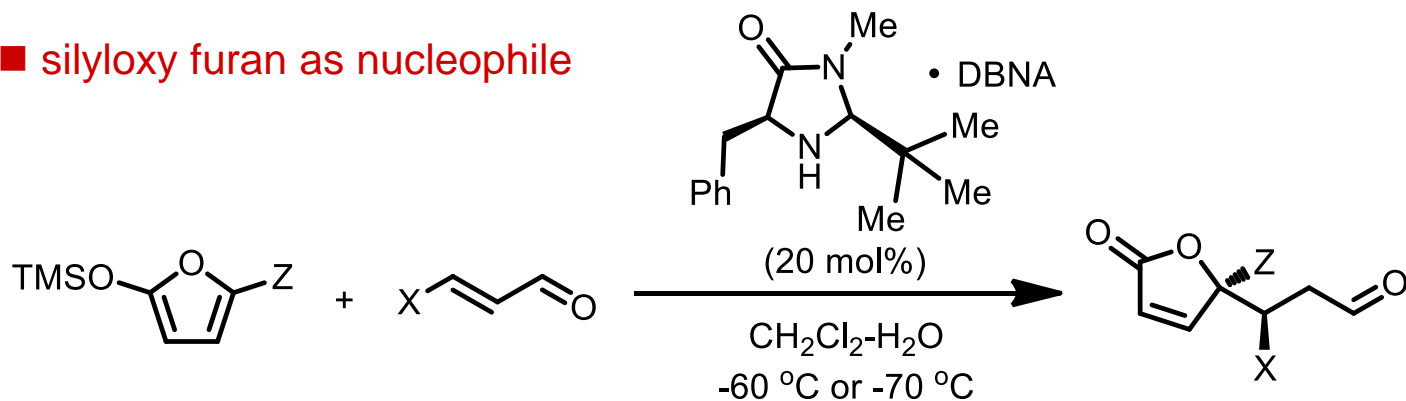
■ aniline as nucleophile



25 examples, 66-97% yield, 84-97 % ee

J. Am. Chem. Soc., **2002**, 124, 7894-7895

■ silyloxy furan as nucleophile



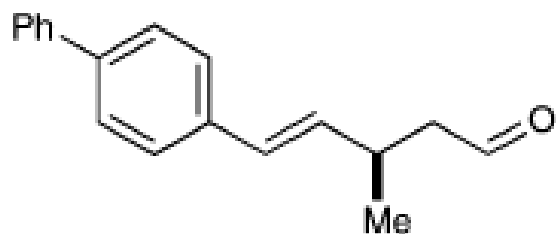
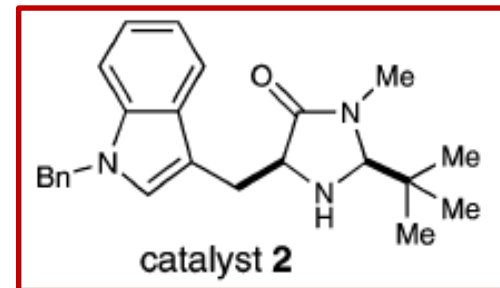
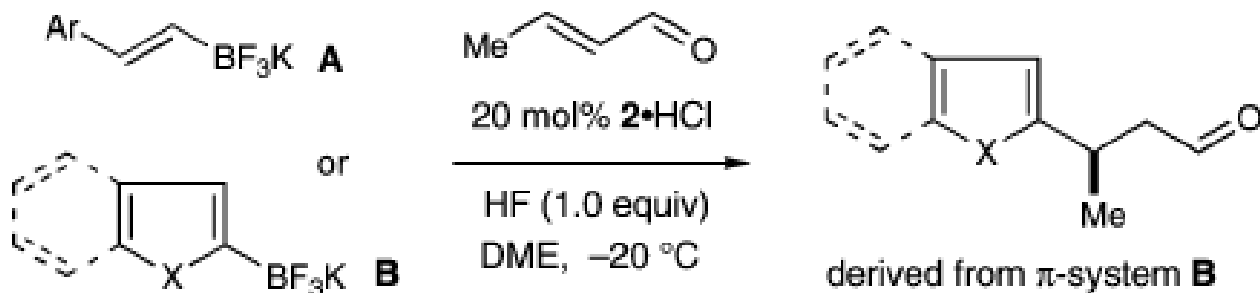
DBNA = 2,4-dinitrobenzoic acid (DBNA)

12 examples, 80-93% yield, 90-99 % ee

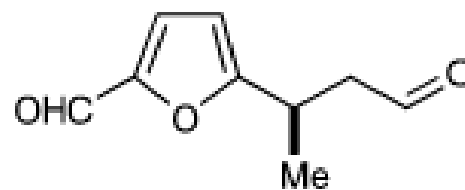
J. Am. Chem. Soc., **2003**, 125, 1192-1194

Other Enantioselective Friedel-Crafts Type Alkylations

- Trifluoroborate salts (Molander reagent) as nucleophile



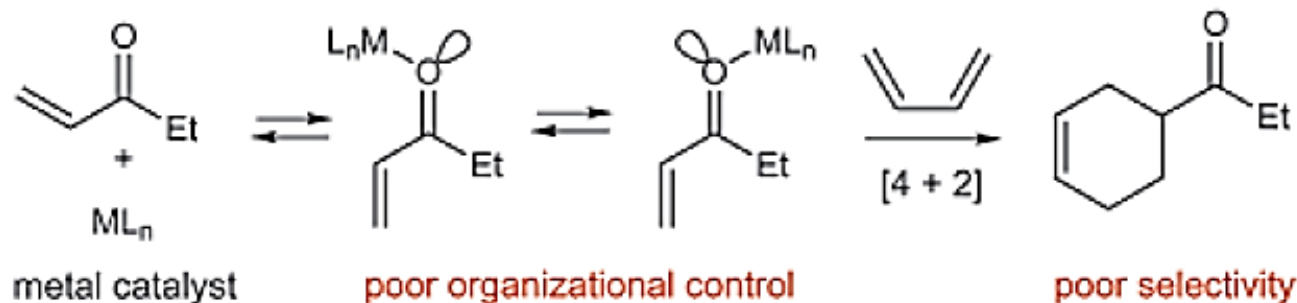
91 % yield, 95 % ee



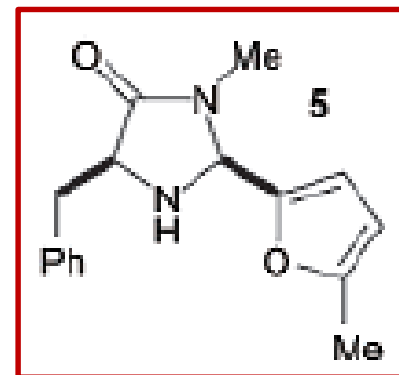
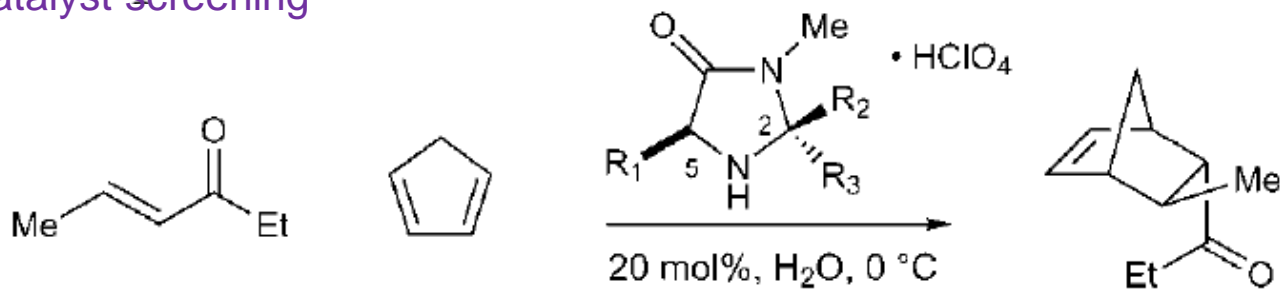
85 % yield, 95 % ee

The First General Enantioselective Catalytic Diels-Alder Reaction with Simple α,β -Unsaturated Ketones

- regular chiral metal catalyst is difficult to distinguish the two asymmetric lone pair



- catalyst screening

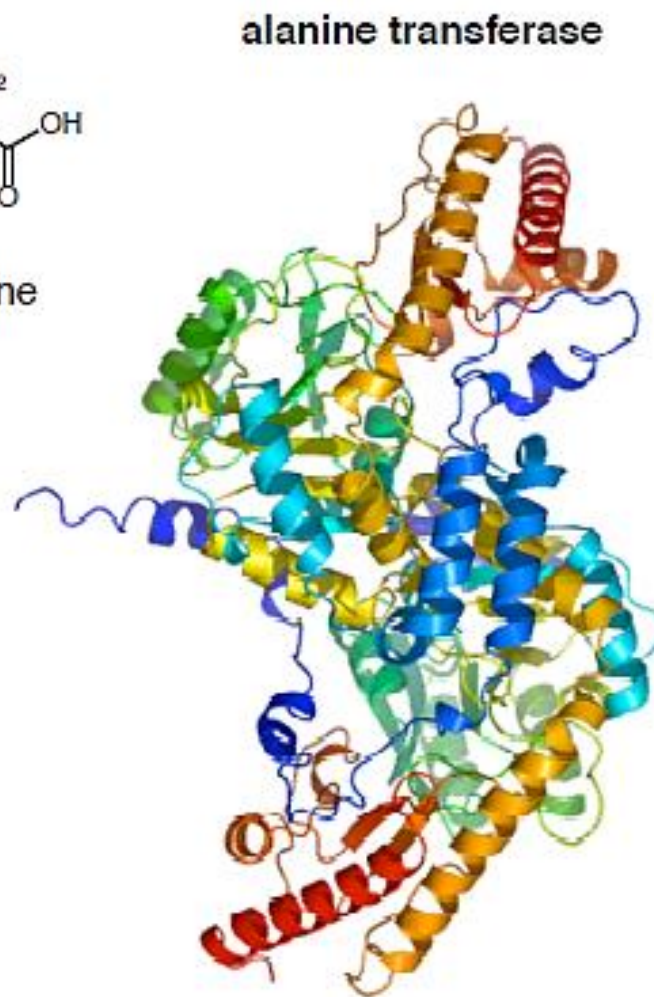
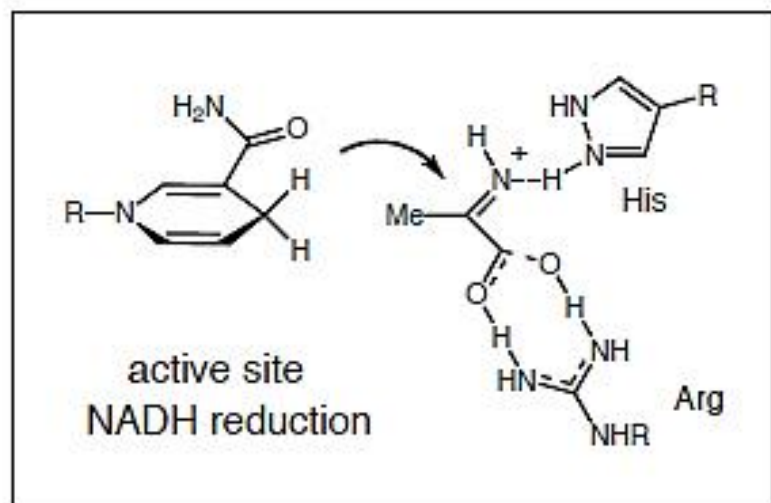
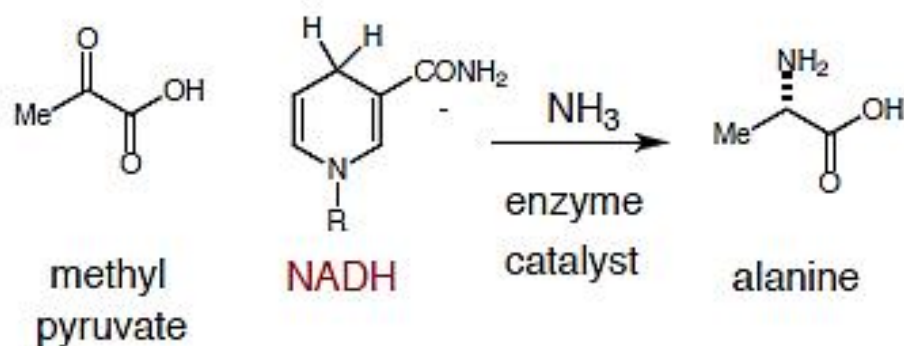


entry	cat.	R ₁	R ₂ (R ₃)	time (h)	% yield	endo:exo	% ee ^{a,b}
1	1	Bn	Me (Me)	48	20 ^c	7:1	0
2	2	Bn	<i>t</i> -Bu (H)	48	27 ^c	9:1	0
3	3	Ph	Ph (H)	22	88	21:1	47
4	4	Bn	Ph (H)	42	83	23:1	82
5	5	Bn	5-Me-furyl (H)	22	89	25:1	90

78-92 % yield,
85-98 % ee

Organic Catalyzed Reduction in Biological Systems

■ NADH: Nature's Reduction (Hydrogenation) Reagent (Coenzyme)



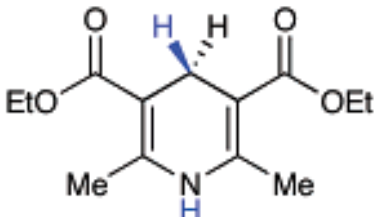
Selective reduction of pyruvate imines to create amino acids

Could this organocatalytic sequence be utilized in the reduction of carbon-carbon double bonds

J. Am. Chem. Soc., **2005**, *127*, 32-33

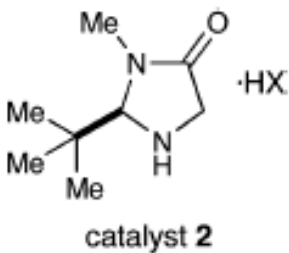
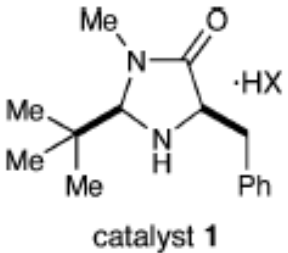
Enantioselective Organocatalytic Hydride Reduction

■ Hantzsch ester: analogue of NADH



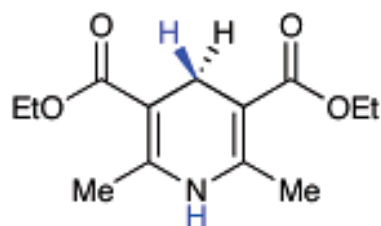
Hantzsch ester
hydride source

■ LUMO lowering amine catalyst: analogue of enzyme



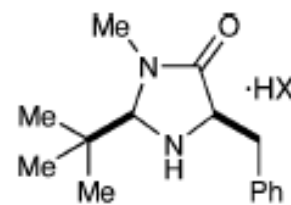
Enantioselective Organocatalytic Hydride Reduction

- Hantzsch ester: analogue of NADH

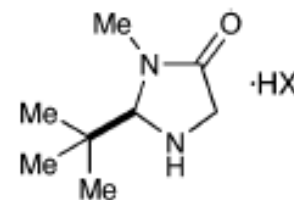


Hantzsch ester
hydride source

- LUMO lowering amine catalyst: analogue of enzyme

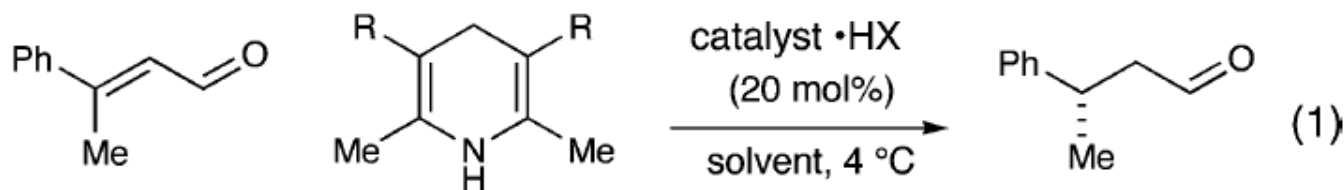


catalyst 1



catalyst 2

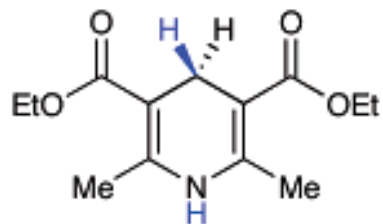
- catalyst screening



entry	catalyst	HX	solvent	time (h)	% conversion ^b	% ee ^c
1	L-proline	TFA	toluene	5	47	15
2	1	TFA	toluene	1	96	75
3	2	TFA	toluene	1	95	88

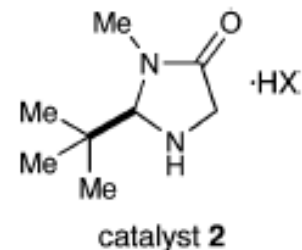
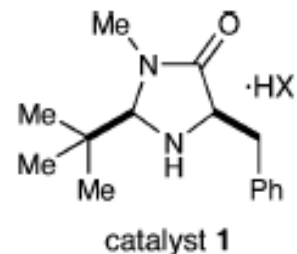
Enantioselective Organocatalytic Hydride Reduction

- Hantzsch ester: analogue of NADH



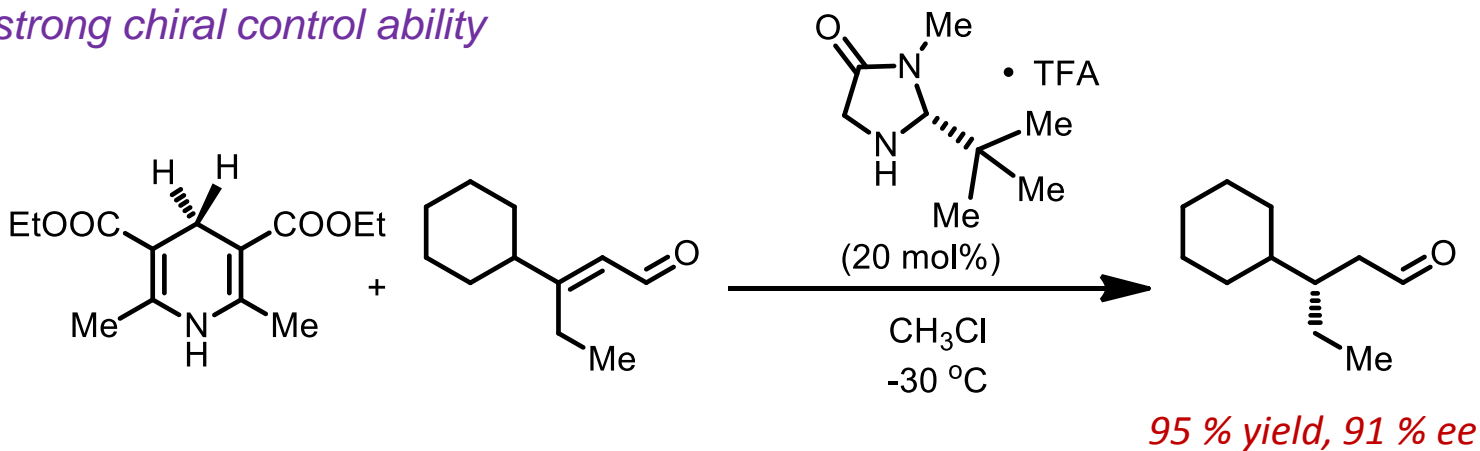
Hantzsch ester
hydride source

- LUMO lowering amine catalyst: analogue of enzyme



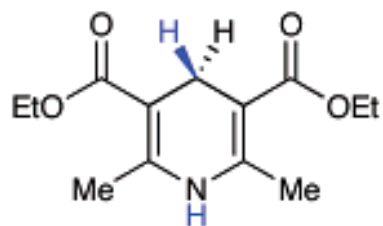
- substrate scope research:

strong chiral control ability



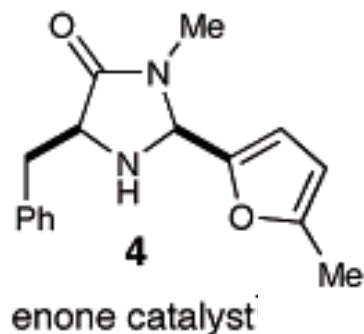
Enantioselective Organocatalytic Hydride Reduction

- Hantzsch ester: analogue of NADH



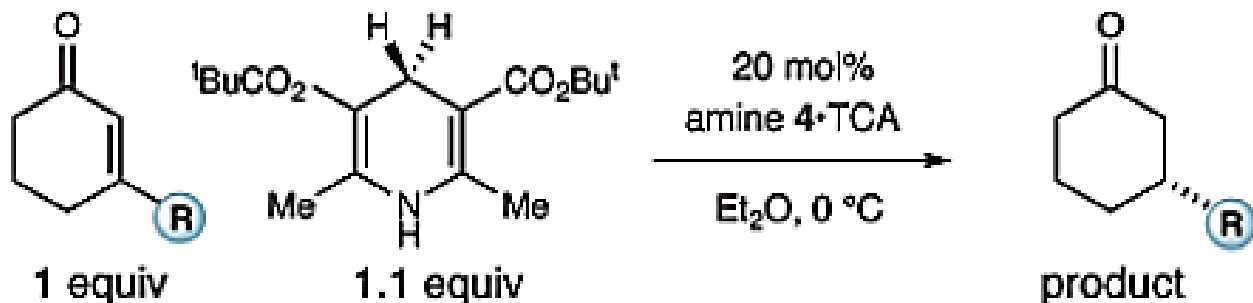
Hantzsch ester
hydride source

- LUMO lowering amine catalyst: analogue of enzyme



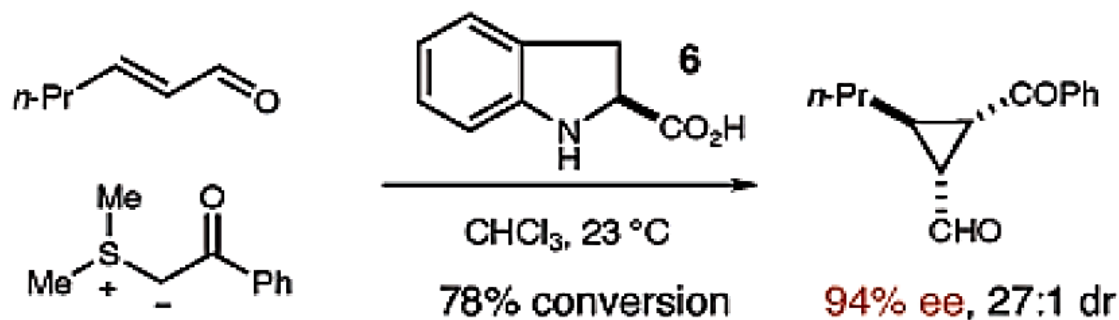
4
enone catalyst

- In 2006, further expanded to cyclic enone substrate!

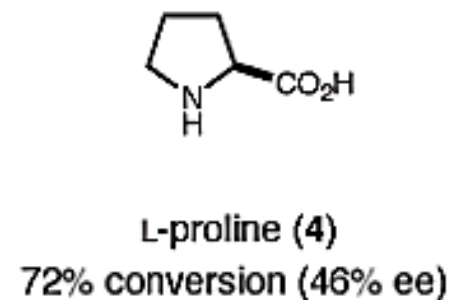
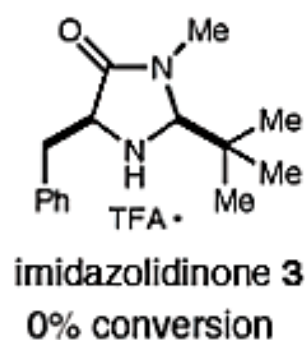


*12 examples (5-7 member rings)
70-89 % yield, 88-96 % ee*

Enantioselective Cyclopropanation Reaction

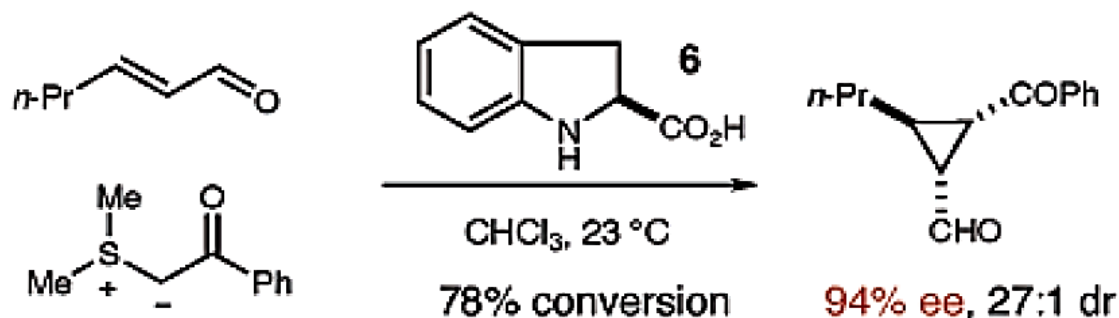


■ Catalyst screening:

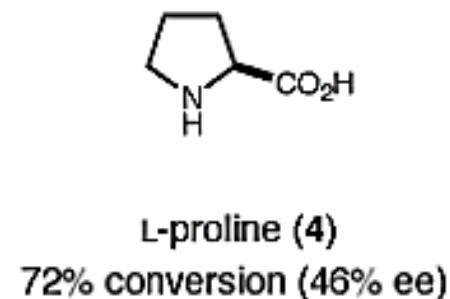
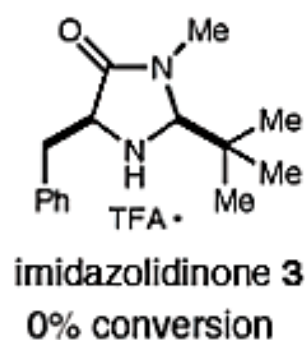


only L-proline gives the desired product! Why?

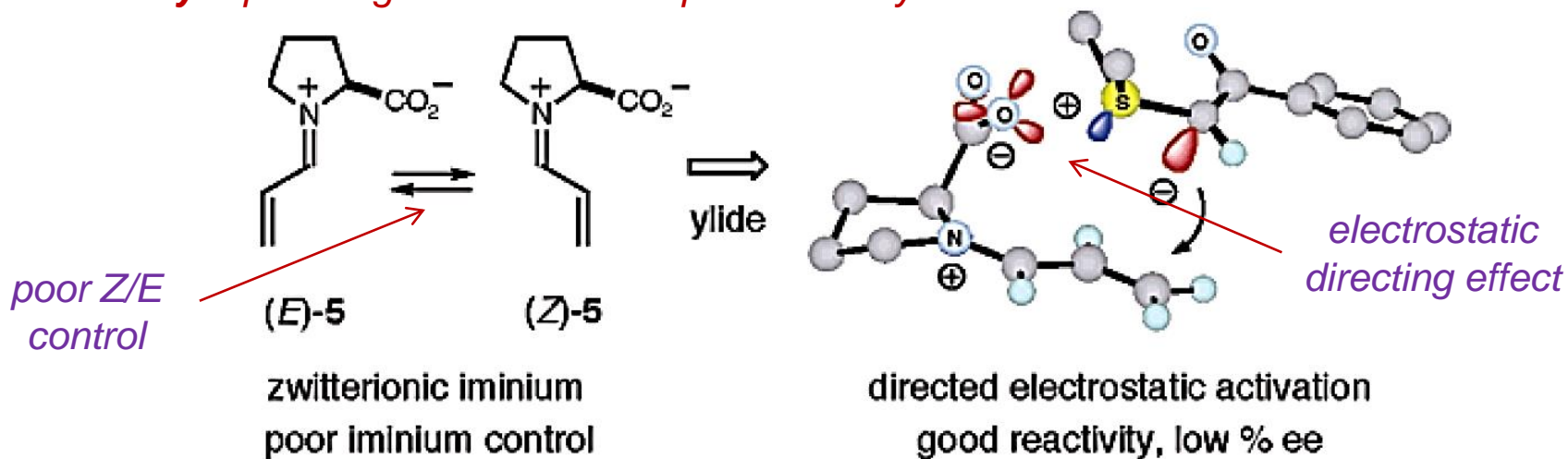
Enantioselective Cyclopropanation Reaction



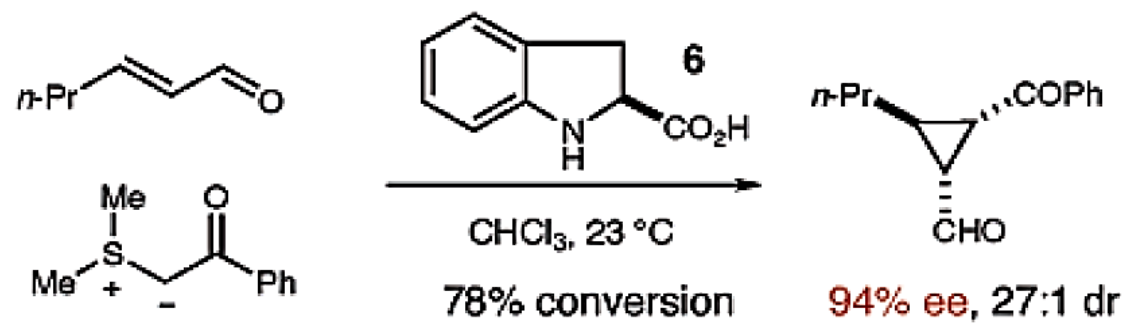
■ Catalyst screening:



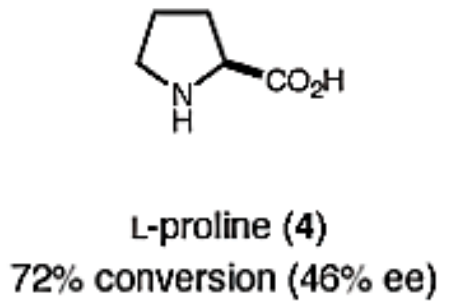
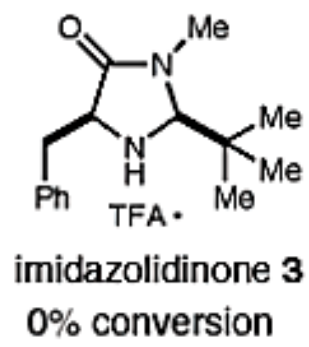
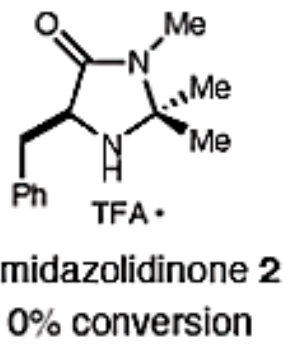
only L-proline gives the desired product! Why?



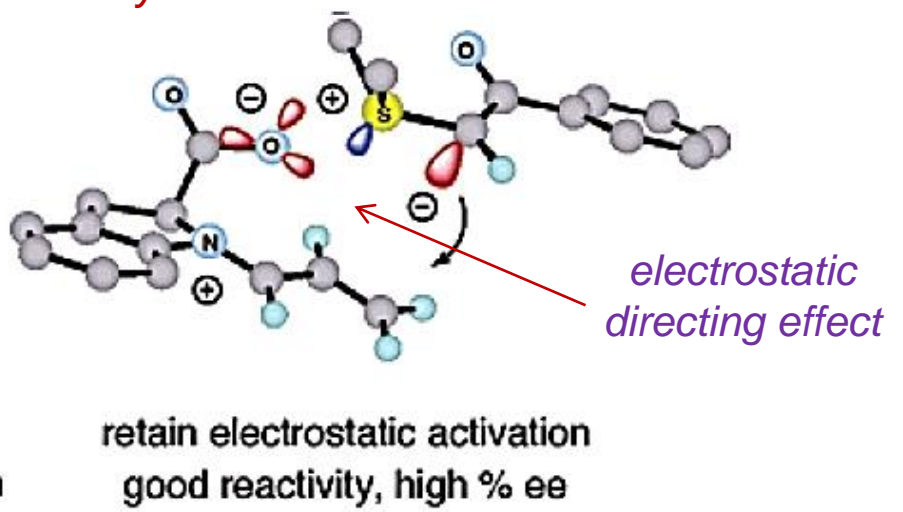
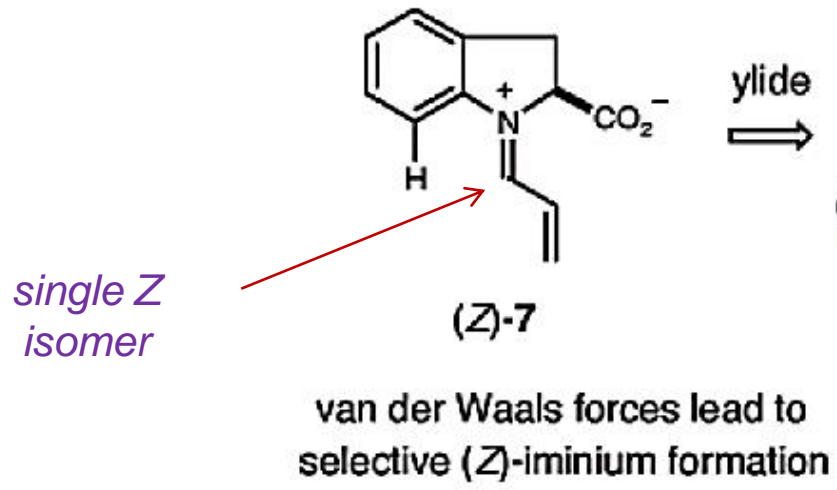
Enantioselective Cyclopropanation Reaction



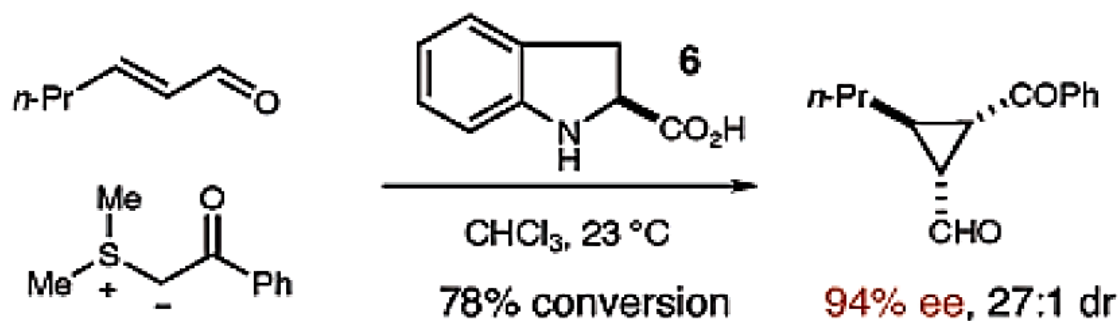
■ Catalyst screening:



only L-proline gives the desired product! Why?

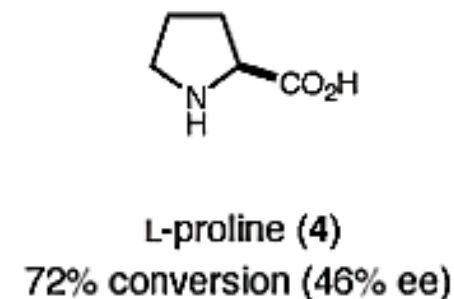
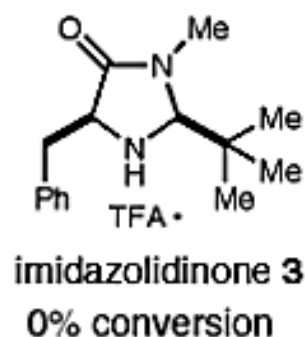
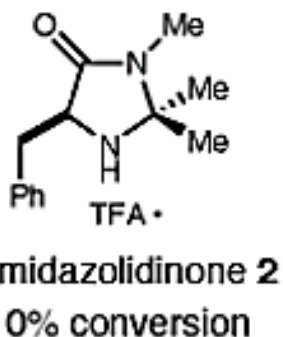


Enantioselective Cyclopropanation Reaction

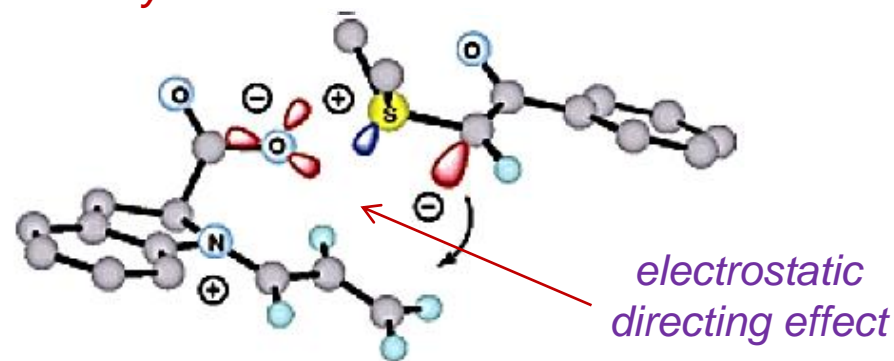
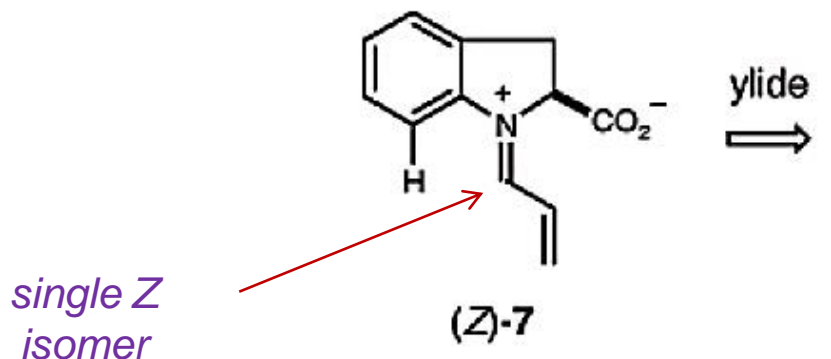


solvent	%conv	%ee
DMF	20	-30
acetone	16	28
THF	25	77
CHCl ₃	85	95

■ Catalyst screening:



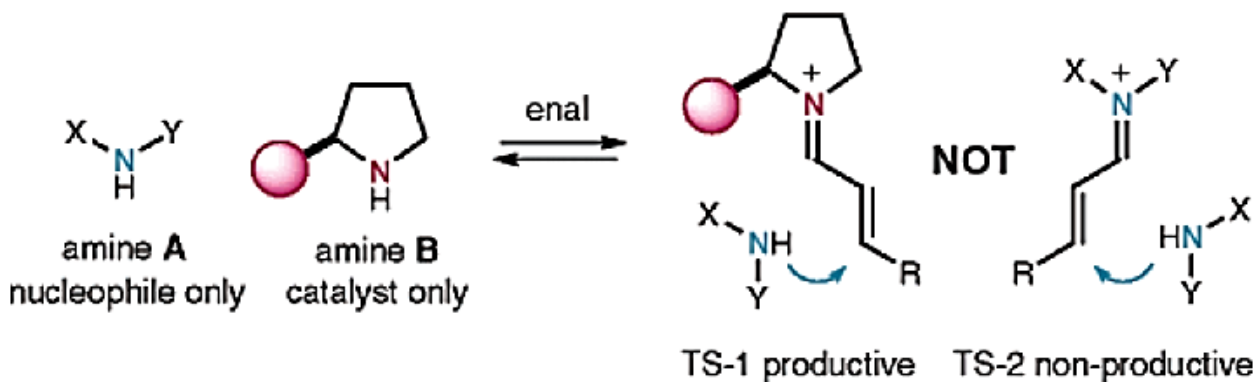
only L-proline gives the desired product! Why?



retain electrostatic activation
good reactivity, high % ee

Enantioselective Amination Reaction

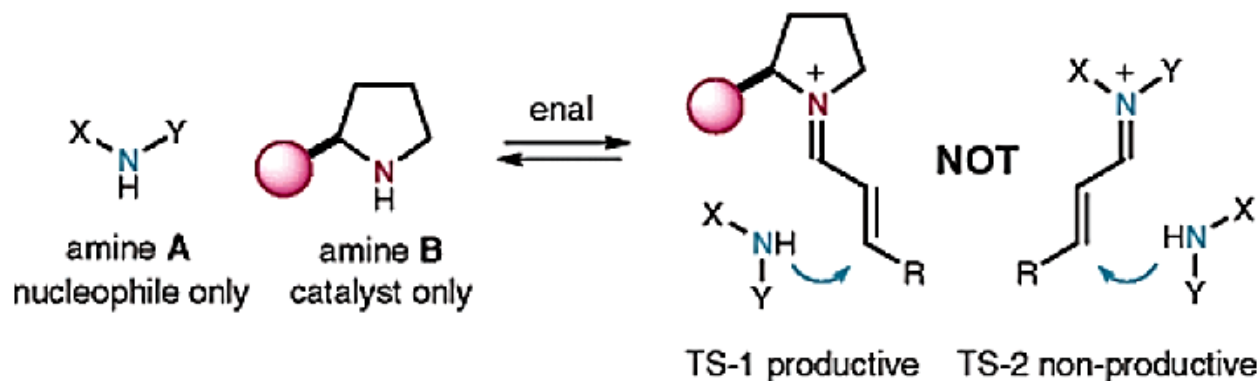
- Iminum catalyzed amination requires selective amine partition



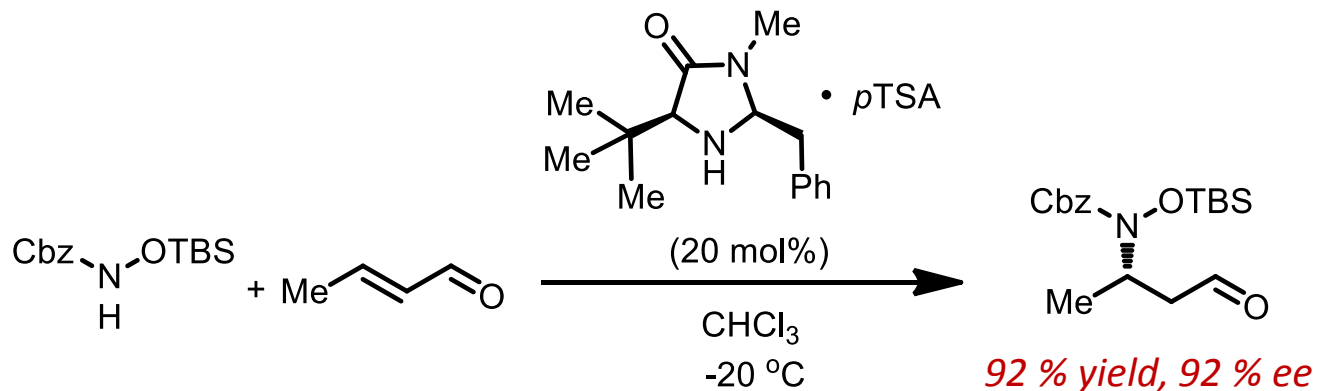
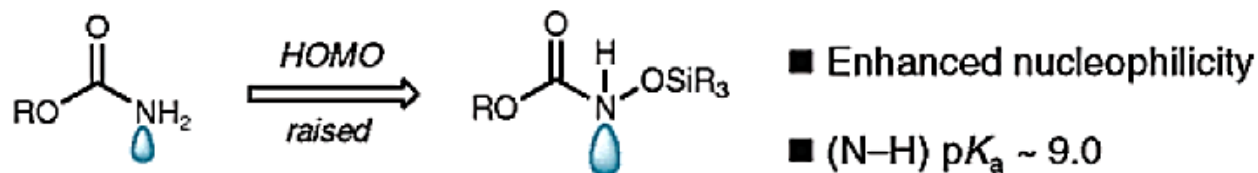
- Carbamate is good, but with poor nucleophilicity

Enantioselective Amination Reaction

- Iminium catalyzed amination requires selective amine partition



- Carbamate is good, but poor nucleophilicity
- Carbamate nucleophilicity enhanced by α -effect



- 1 ***LUMO Catalysis***.....
- 2 ***HOMO Catalysis***.....
- 3 ***Cascade LUMO-HOMO Catalysis***.....
- 4 ***SOMO Catalysis***.....
- 5 ***Photoredox Organo Catalysis***.....
- 6 ***Photoredox Organo Catalysis (Type II)***.....
- 7 ***Summary***.....

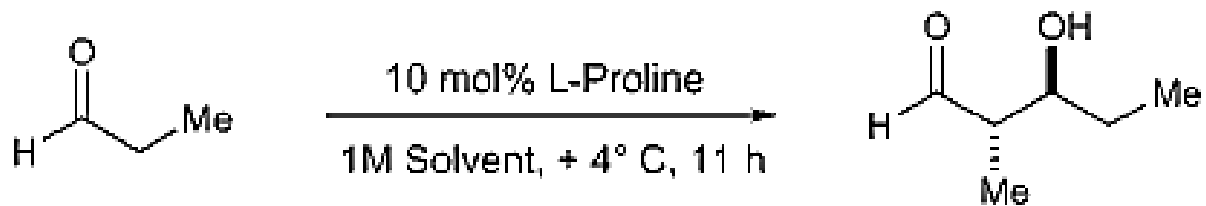


- 1 *LUMO Catalysis*
- 2 ***HOMO Catalysis***
- 3 *Cascade LUMO-HOMO Catalysis*
- 4 *SOMO Catalysis*
- 5 *Photoredox Organo Catalysis*
- 6 ***Photoredox Organo Catalysis (Type II)***
- 7 *Summary*



Enantioselective Aldol Reaction

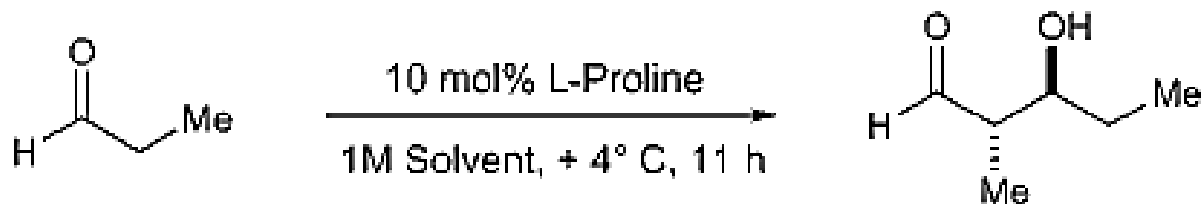
■ Aldehyde dimerization



91 % yield, 3:1 dr, 99 % ee

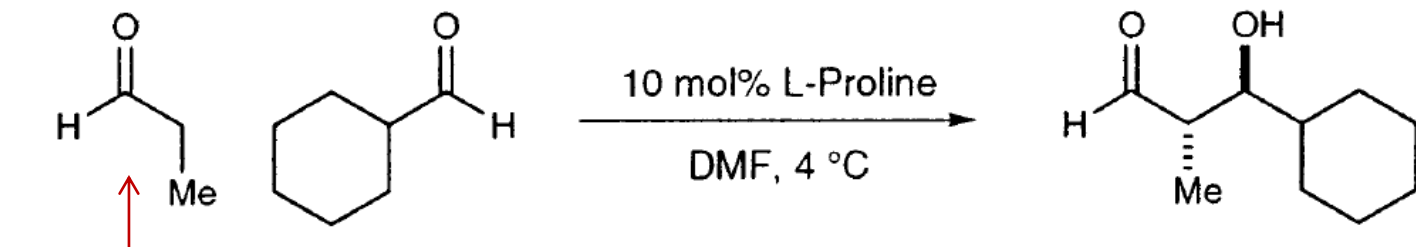
Enantioselective Aldol Reaction

Aldehyde dimerization

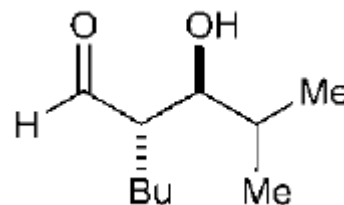
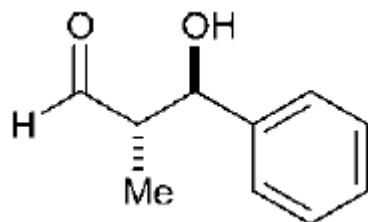


91 % yield, 3:1 dr, 99 % ee

Aldehyde cross-aldol reaction: *with non-enaminizable aldehyde*

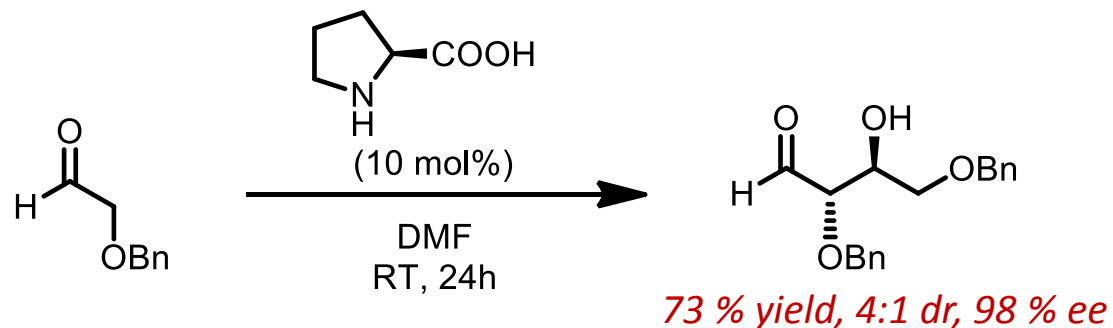


87 % yield, 14:1 dr, 99 % ee

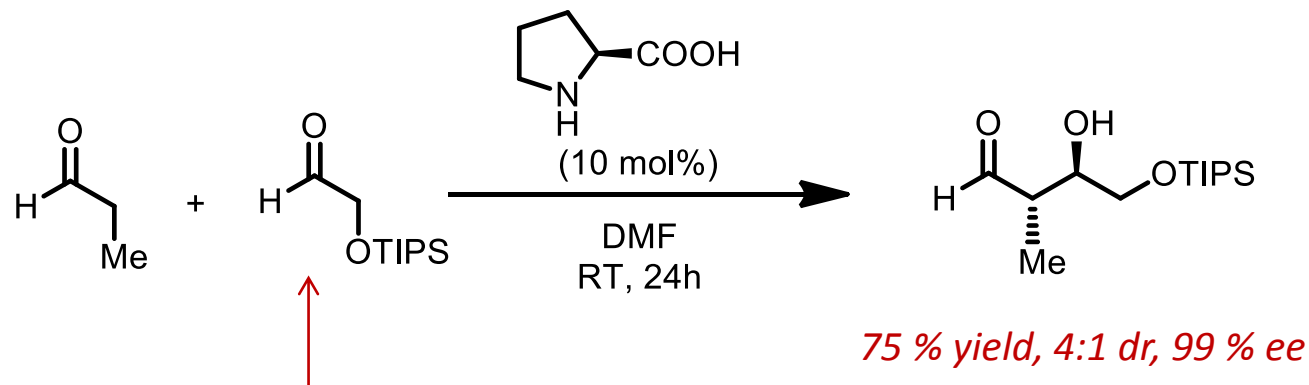


Enantioselective Aldol Reaction: Further Expansion

■ α -Oxy-aldehyde dimerization



■ Cross-aldol reaction: *with non-enaminizable aldehyde*



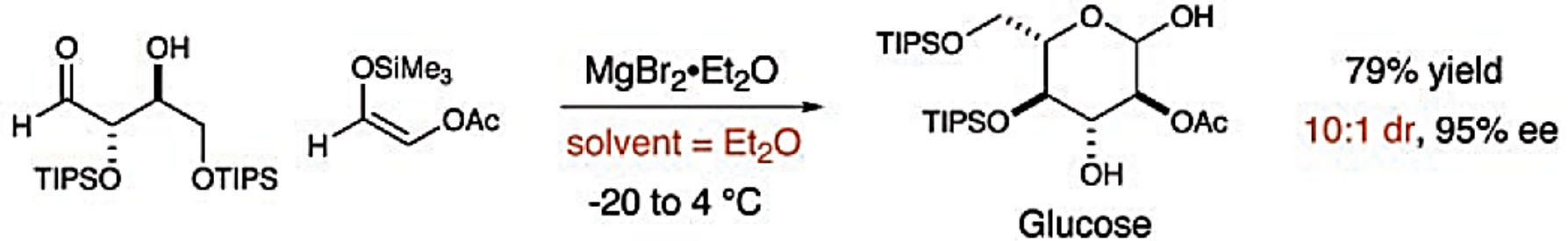
Here as **Aldol acceptor**
Differs with metal mediated reaction

Angew. Chem. Int. Ed. **2004**, 43, 2152–2154

Angew. Chem. Int. Ed. **2004**, 43, 6722–6724

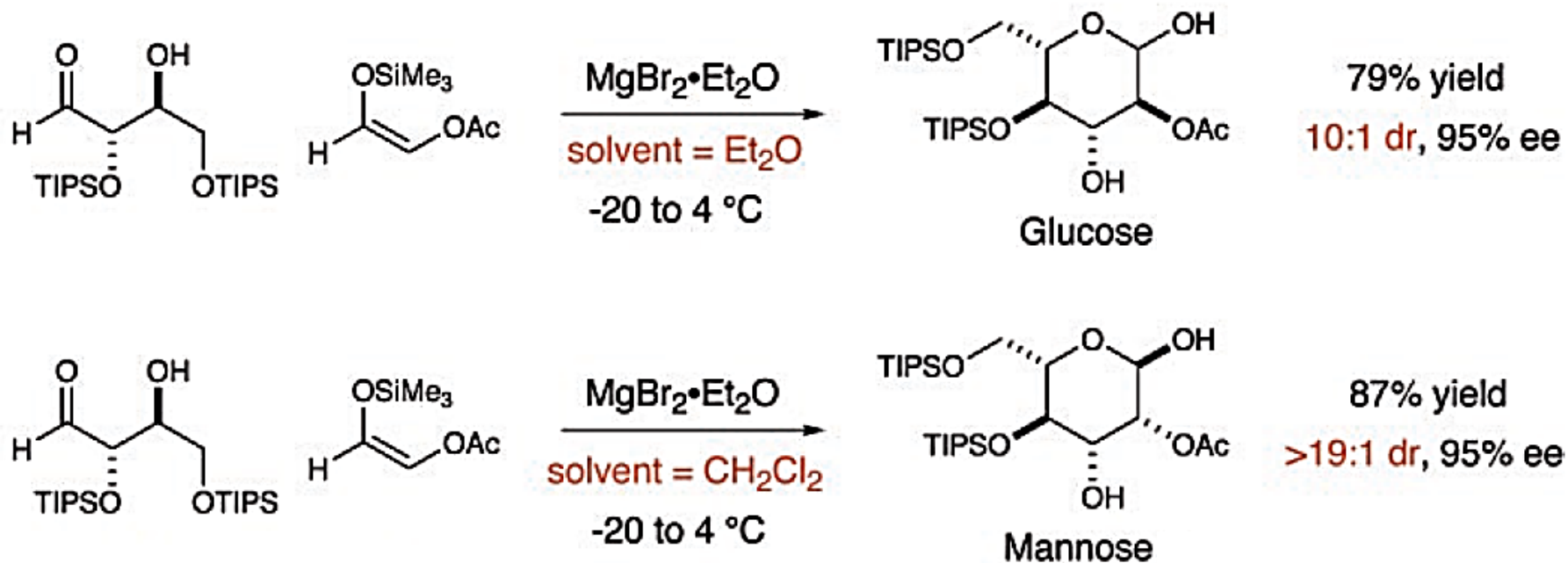
Enantioselective Aldol Reaction: Further Expansion²

■ monosaccharide synthesis!



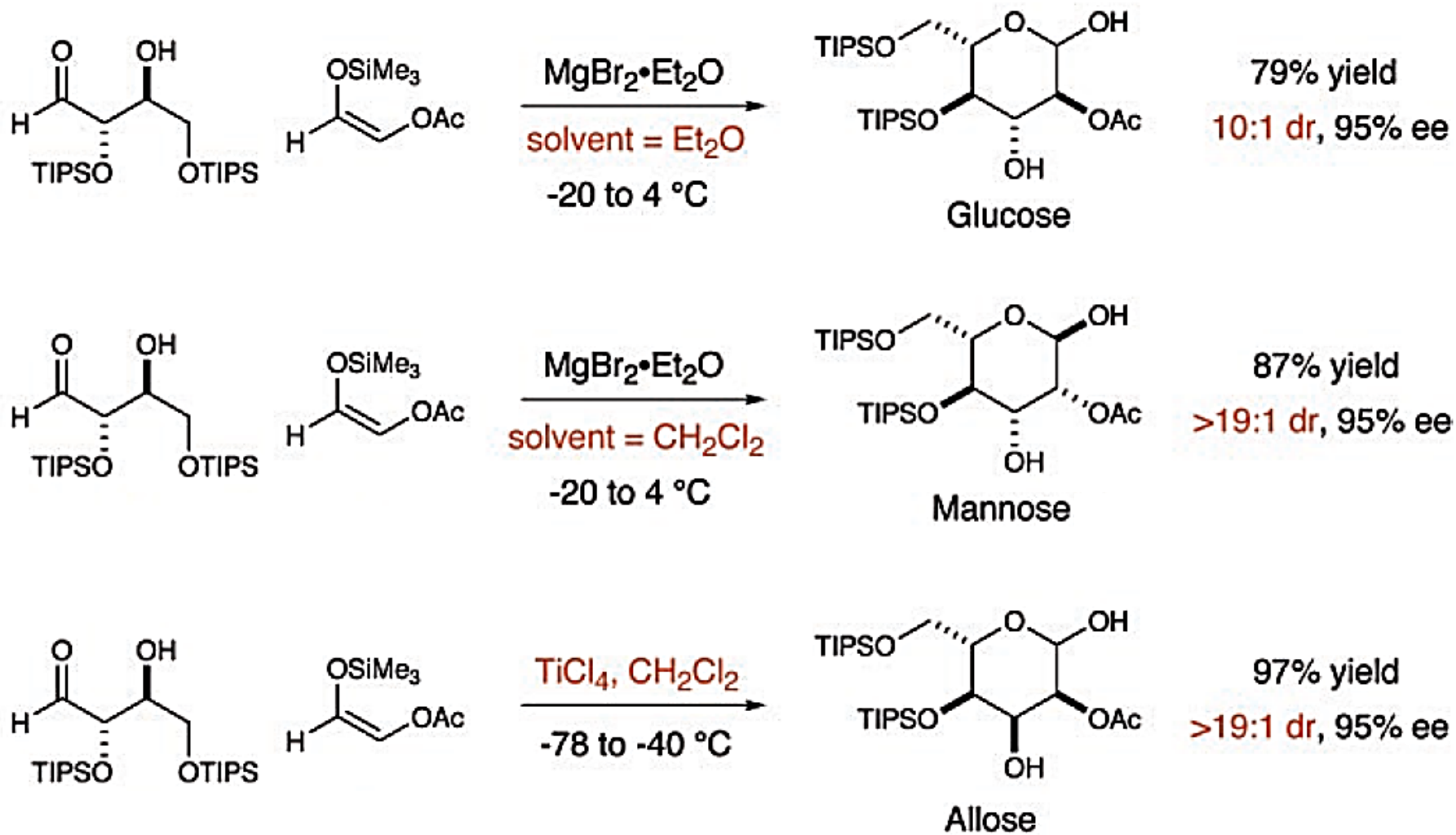
Enantioselective Aldol Reaction: Further Expansion²

■ monosaccharide synthesis!



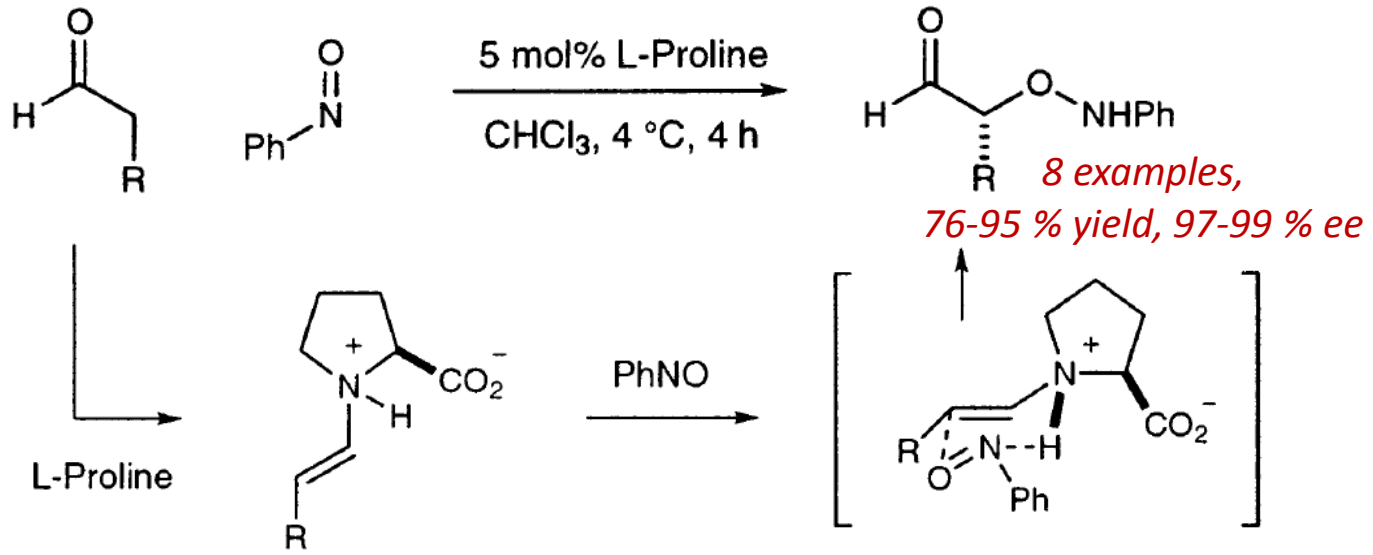
Enantioselective Aldol Reaction: Further Expansion²

■ monosaccharide synthesis!



HOMO Catalysis: other than Aldol

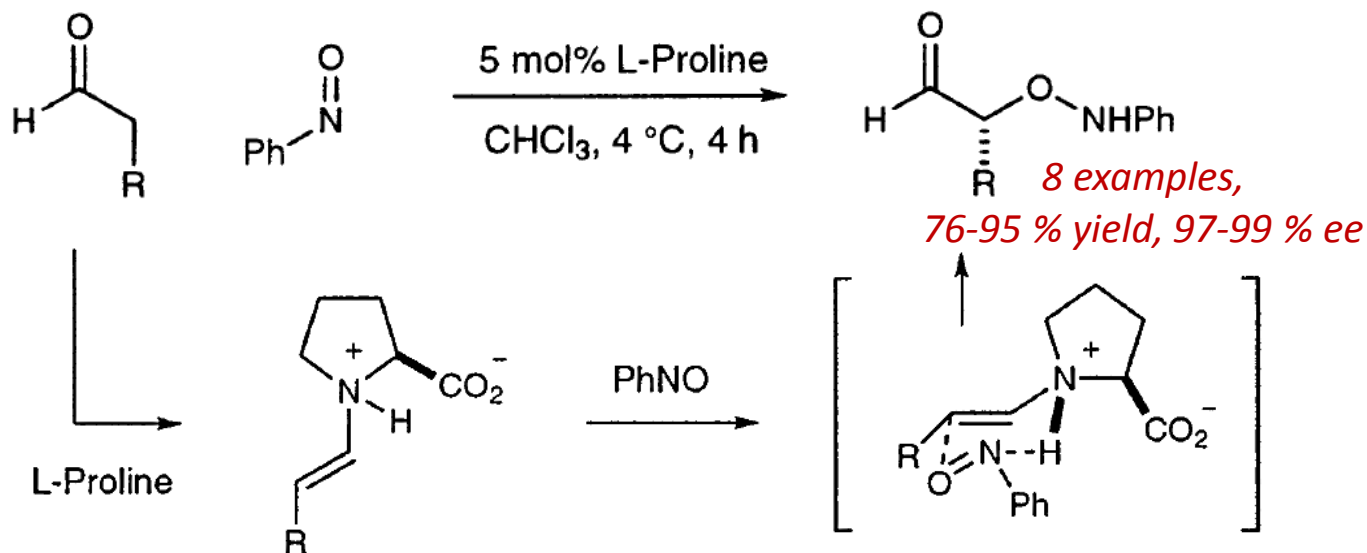
α -Oxidation of Aldehydes



J. Am. Chem. Soc., **2003**, 125, 10808-10809

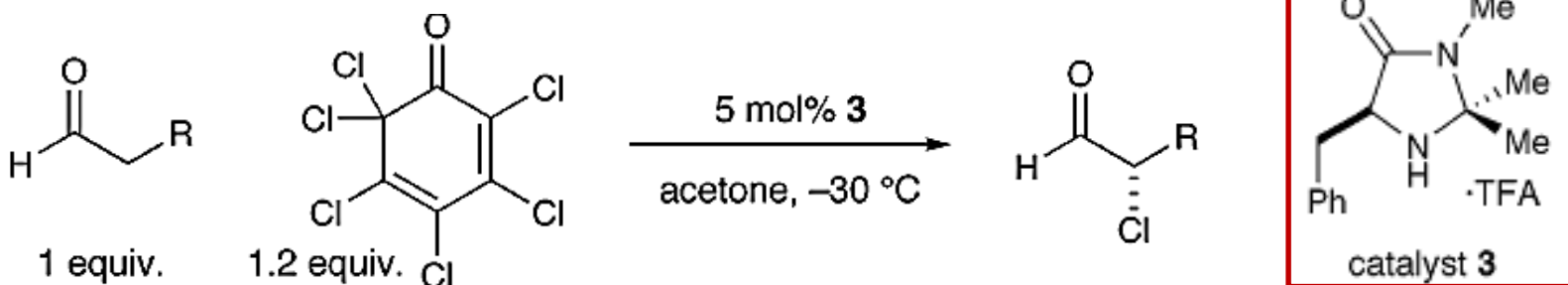
HOMO Catalysis: other than Aldol

α -Oxidation of Aldehydes



J. Am. Chem. Soc., **2003**, *125*, 10808-10809

α -Chlorination of Aldehydes



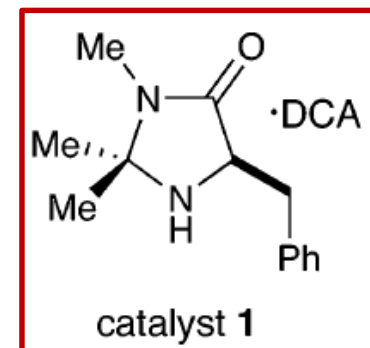
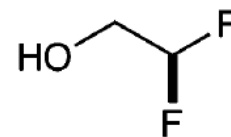
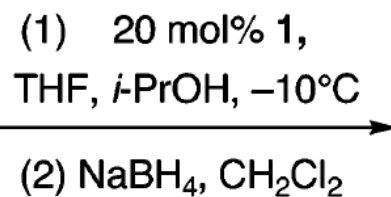
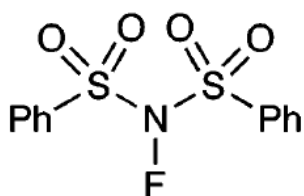
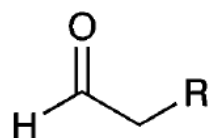
the Leckta quinone

7 examples,
71-92 % yield, 87-95 % ee

J. Am. Chem. Soc., **2004**, *126*, 4108-4109

HOMO Catalysis: other than Aldol

α -Fluorination of Aldehydes

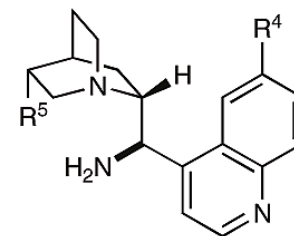


54-96% yield, 91-99% ee

J. Am. Chem. Soc., **2005**, *127*, 8826-8828

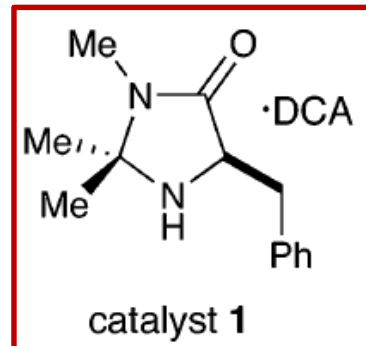
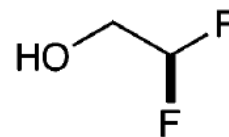
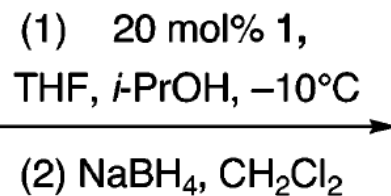
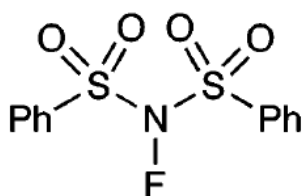
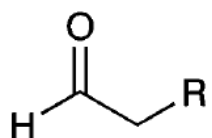
For the α -Fluorination of cyclic ketone
using *cinchonine-type catalyst*, see:

J. Am. Chem. Soc., **2011**, *133*, 1738-1741



HOMO Catalysis: other than Aldol

α -Fluorination of Aldehydes

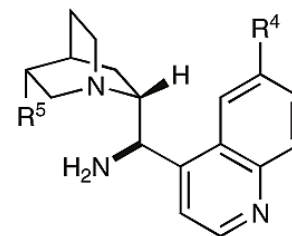


54-96% yield, 91-99% ee

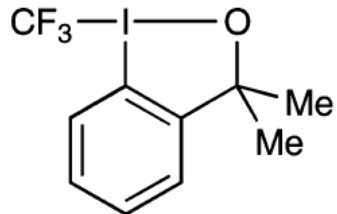
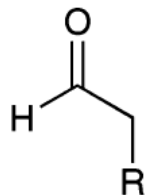
J. Am. Chem. Soc., **2005**, *127*, 8826-8828

For the α -Fluorination of cyclic ketone
using *cinchonine-type catalyst*, see:

J. Am. Chem. Soc., **2011**, *133*, 1738-1741

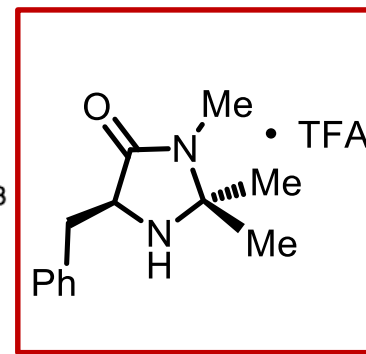
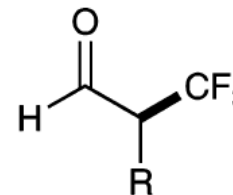


α -Trifluoromethylation of Aldehydes



20 mol% **3**•TFA

CuCl (5 mol%)
CHCl₃, -20°C



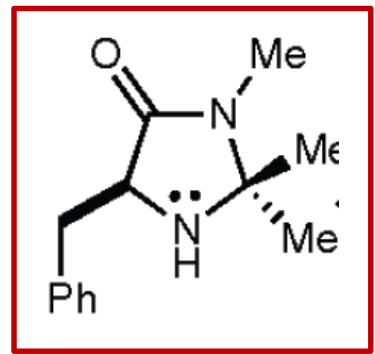
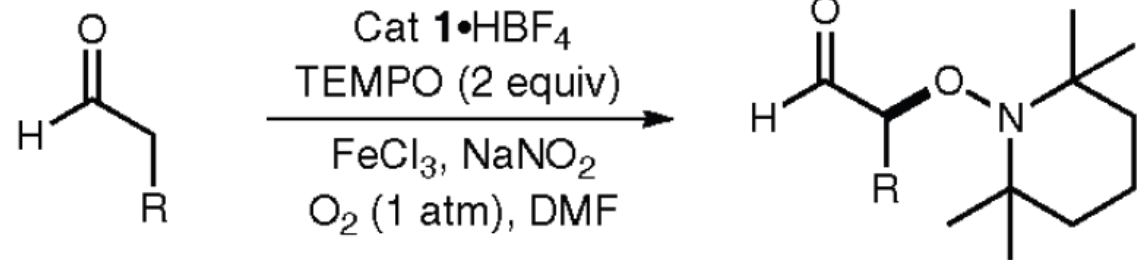
Togni reagent

70-87% yield, 93-97% ee

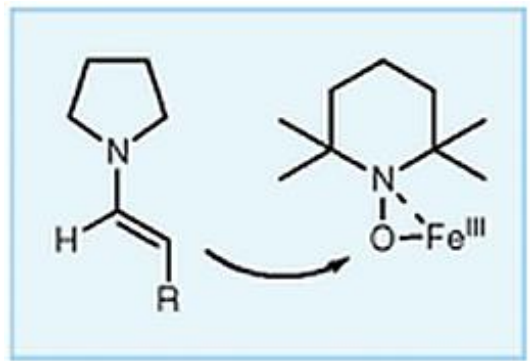
J. Am. Chem. Soc., **2010**, *132*, 4986-4987

HOMO Catalysis: other than Aldol

α -Oxidation of Aldehydes

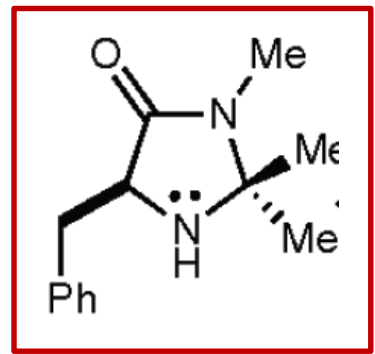
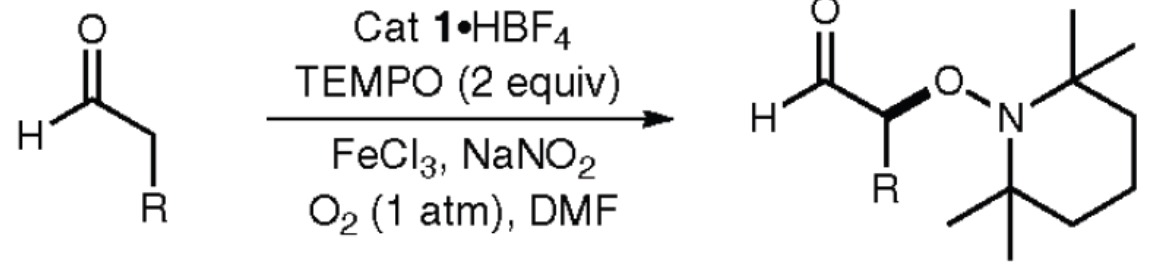


49-80% yield, 32--90% ee

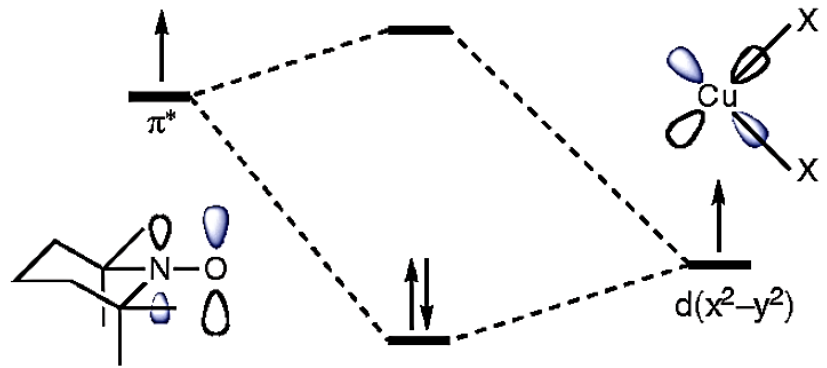
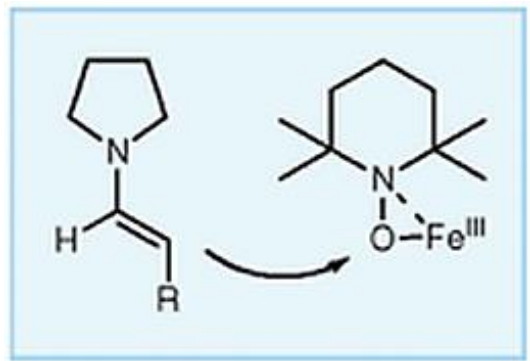


HOMO Catalysis: other than Aldol

α -Oxidation of Aldehydes



49-80% yield, 32--90% ee



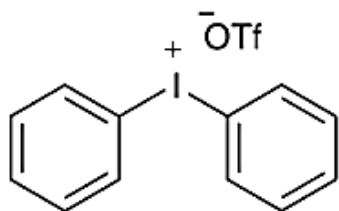
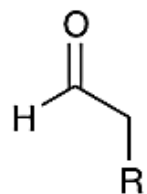
Complex formation:
LUMO centered on
TEMPO oxygen

Baerends, E. *J. Inorg. Chem.* **2009**, *48*, 11909

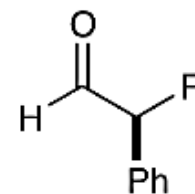
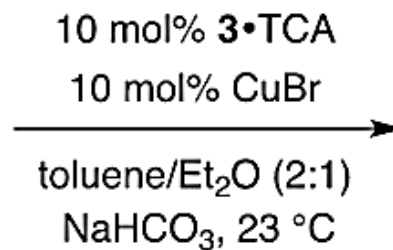
J. Am. Chem. Soc., **2010**, *132*, 10012-10014

HOMO Catalysis: other than Aldol

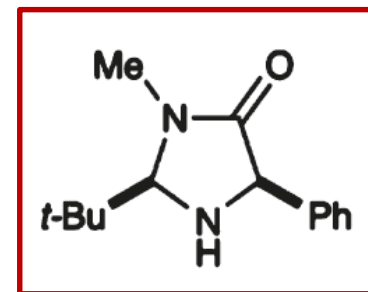
α -Arylation of Aldehydes

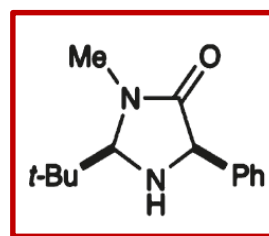
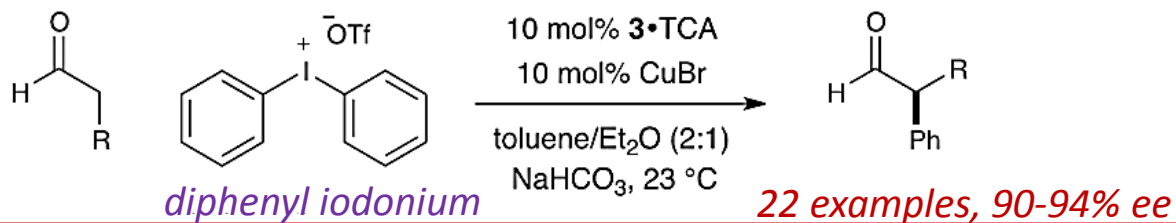


diphenyl iodonium



22 examples, 90-94% ee

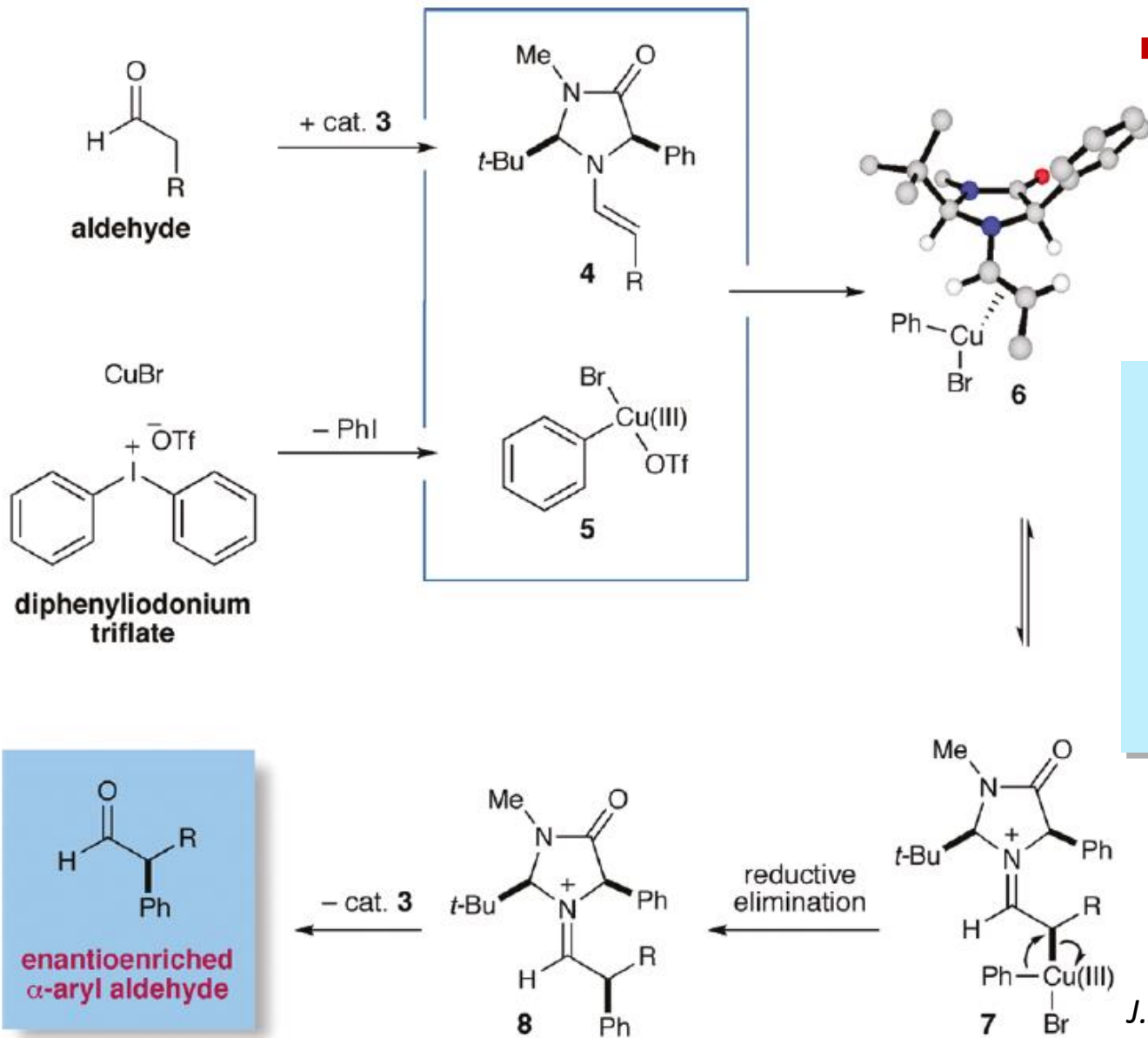




diphenyl iodonium

22 examples, 90-94% ee

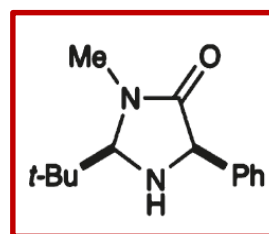
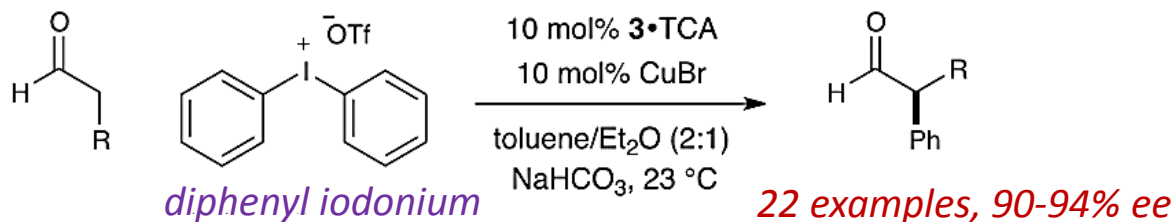
■ proposed mechanism



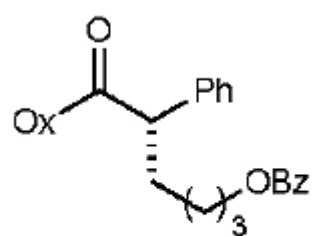
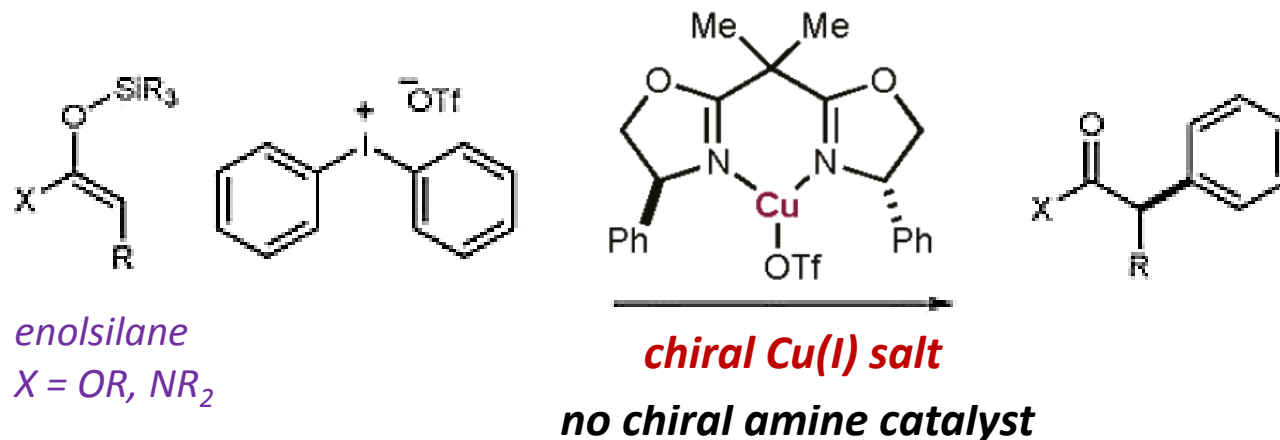
For studies on the mechanism of copper-catalyzed arylation, see:

- (a) Lockhart, T. P. *J. Am. Chem. Soc.* **1983**, *105*, 1940.
- (b) Beringer, F. M.; Geering, E. J.; Kuntz, I.; Mausner, M. J. *Phys. Chem.* **1956**, *60*, 141.

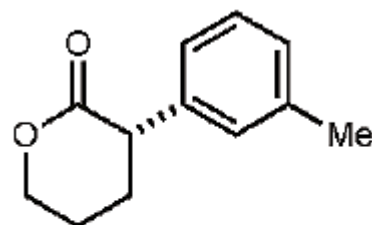
J. Am. Chem. Soc., **2011**, *133*, 4260



- Further expansion to **ester** and **amide** substrate: *the use of enolsilane*



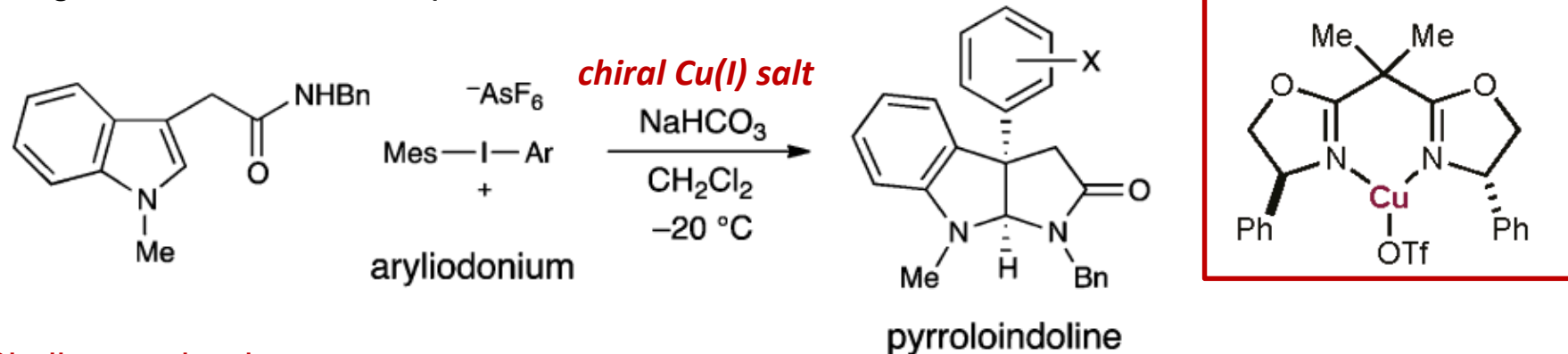
94% yield, 93% ee



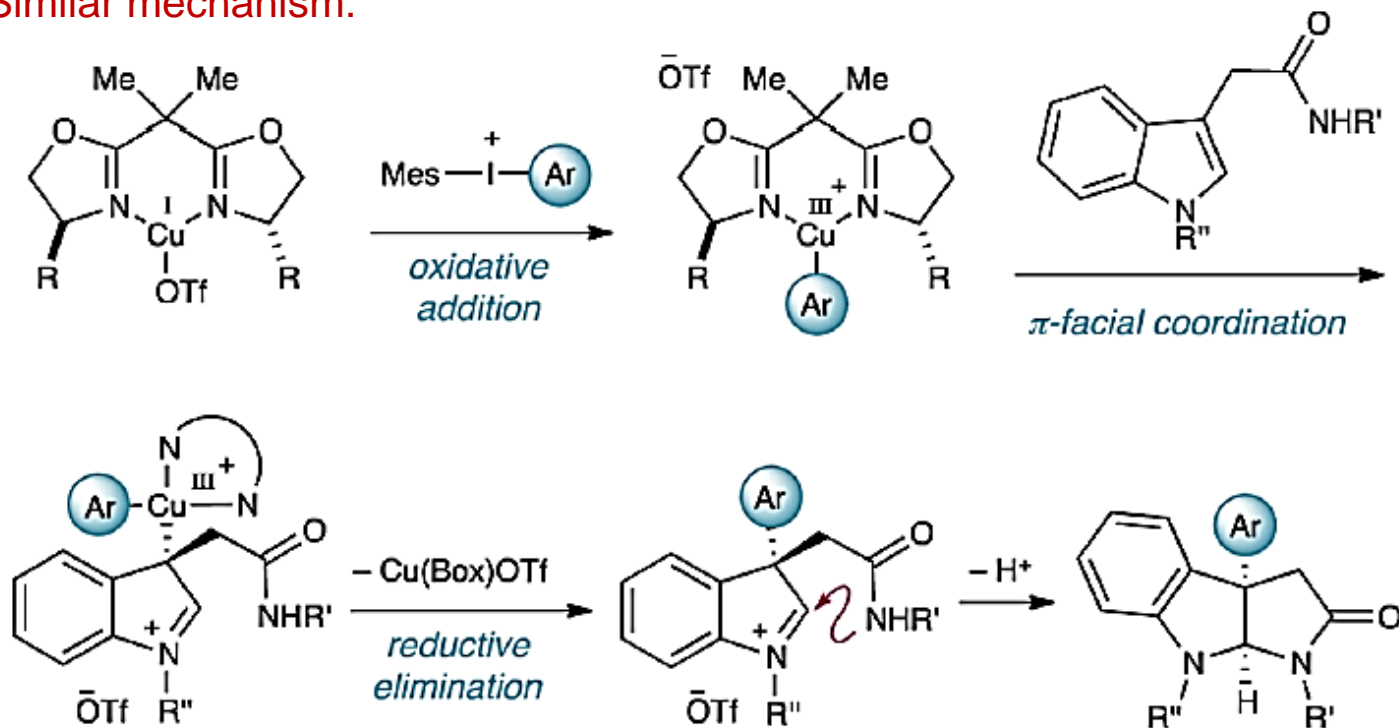
79% yield, 90% ee

Not belong to the HOMO catalysis, but strongly related:

- using **indole** as the nucleophile instead of **enolsilane**

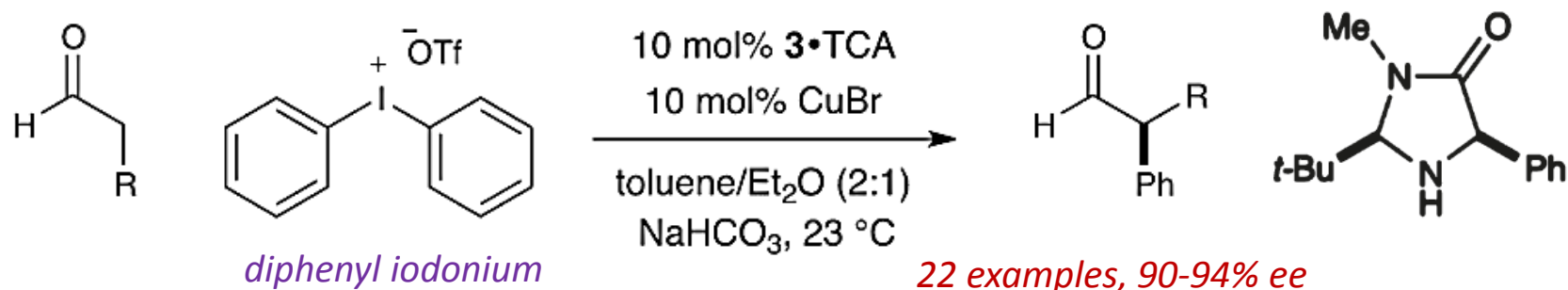


- Similar mechanism:

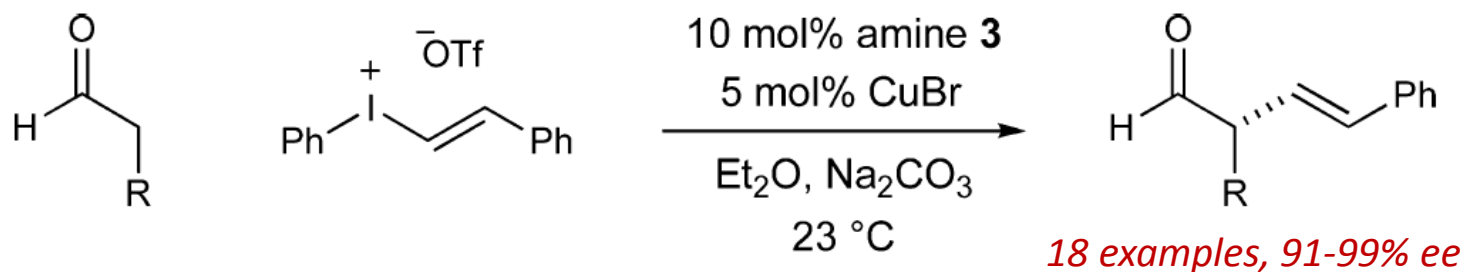


HOMO catalysis: Further development

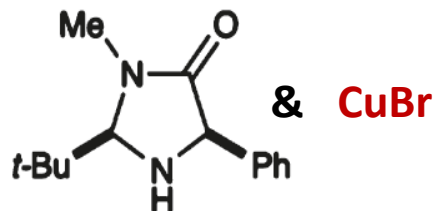
α -Arylation of Aldehydes



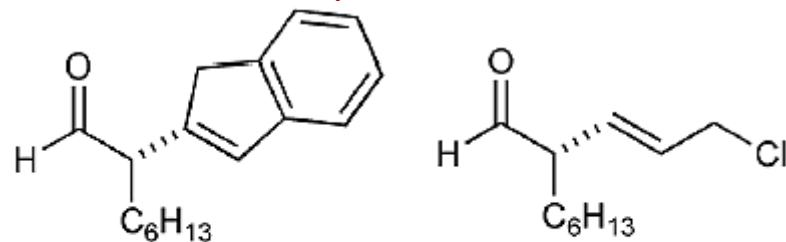
α -Vinylation of Aldehydes



■ Identical catalyst combination



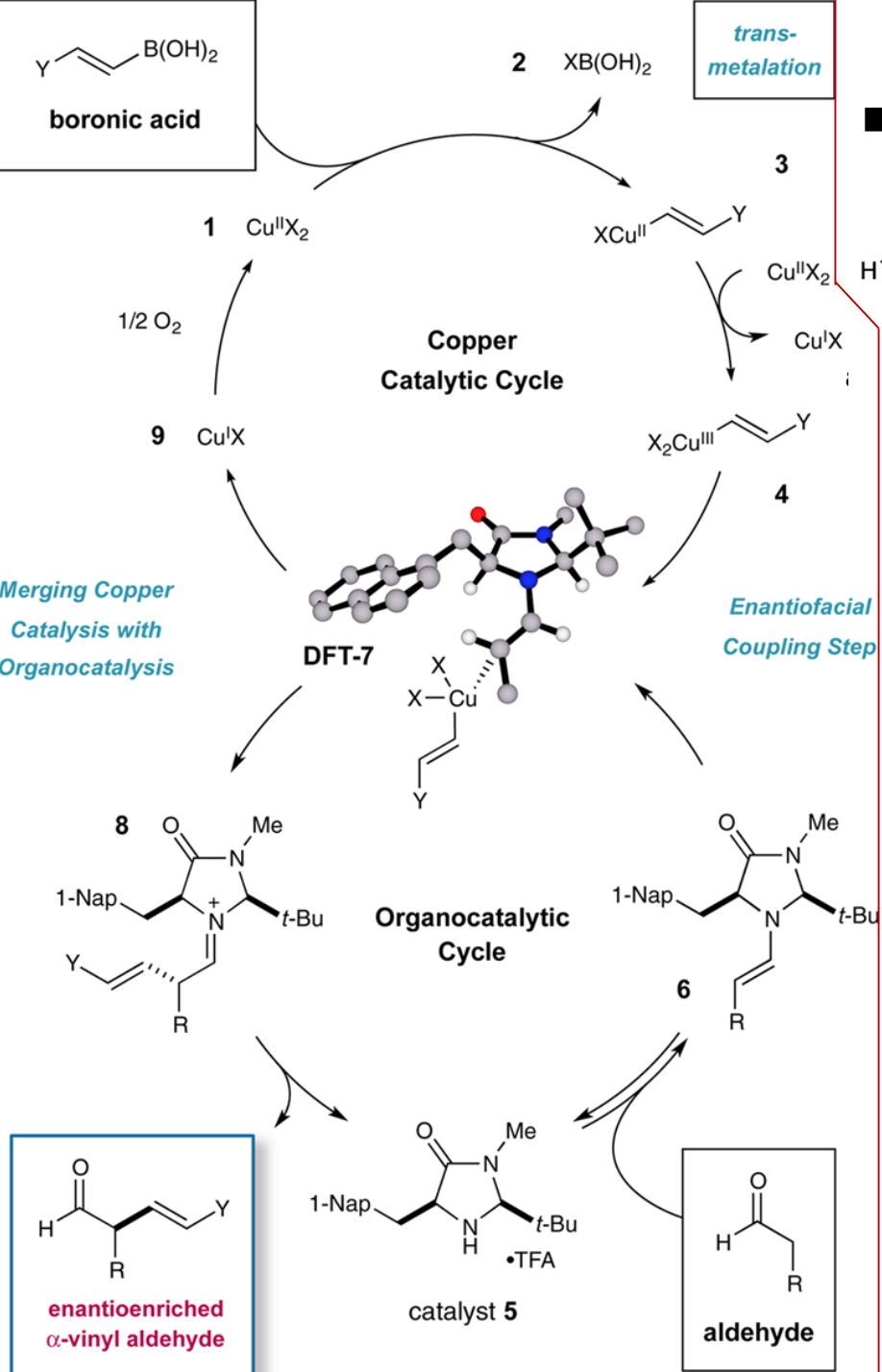
■ Substrate scope



71% yield, 96% ee

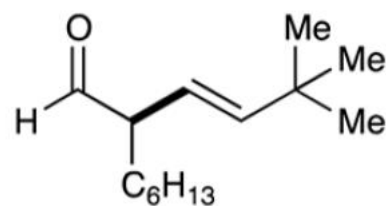
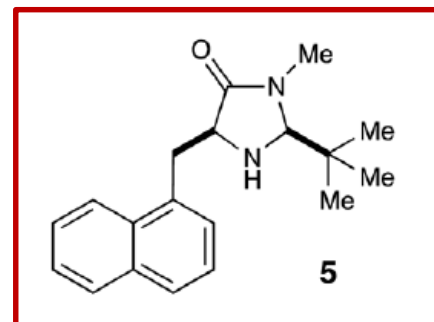
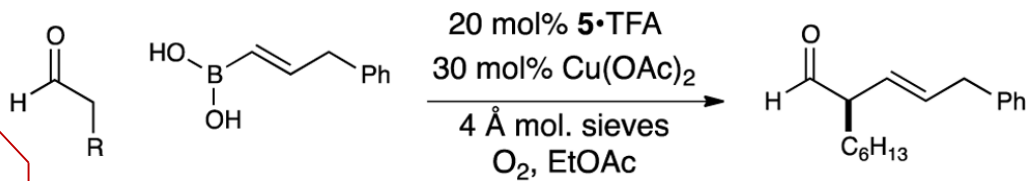
91% yield, 94% ee

■ Vinyl hypervalent iodide

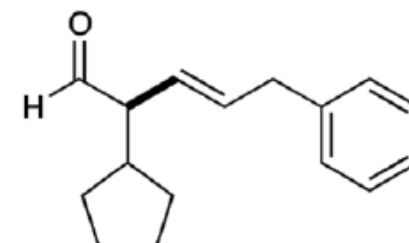


HOMO catalysis: Further development

Combination of *vinyl boronic acid* and O_2



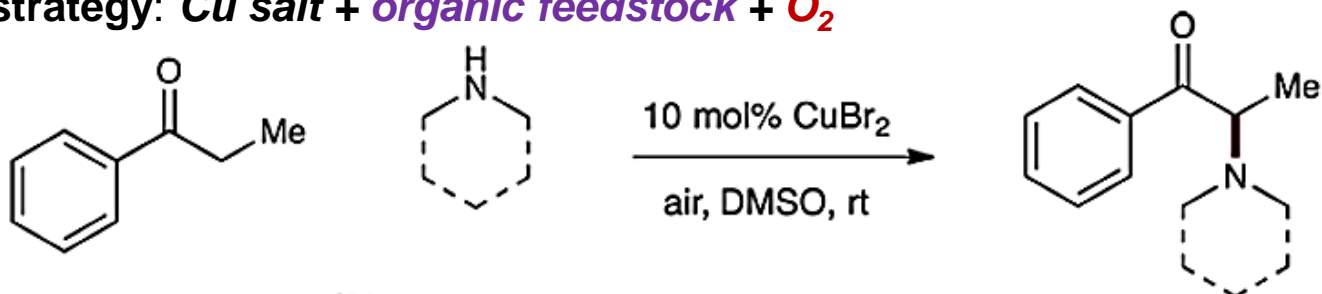
80% yield, 95% ee



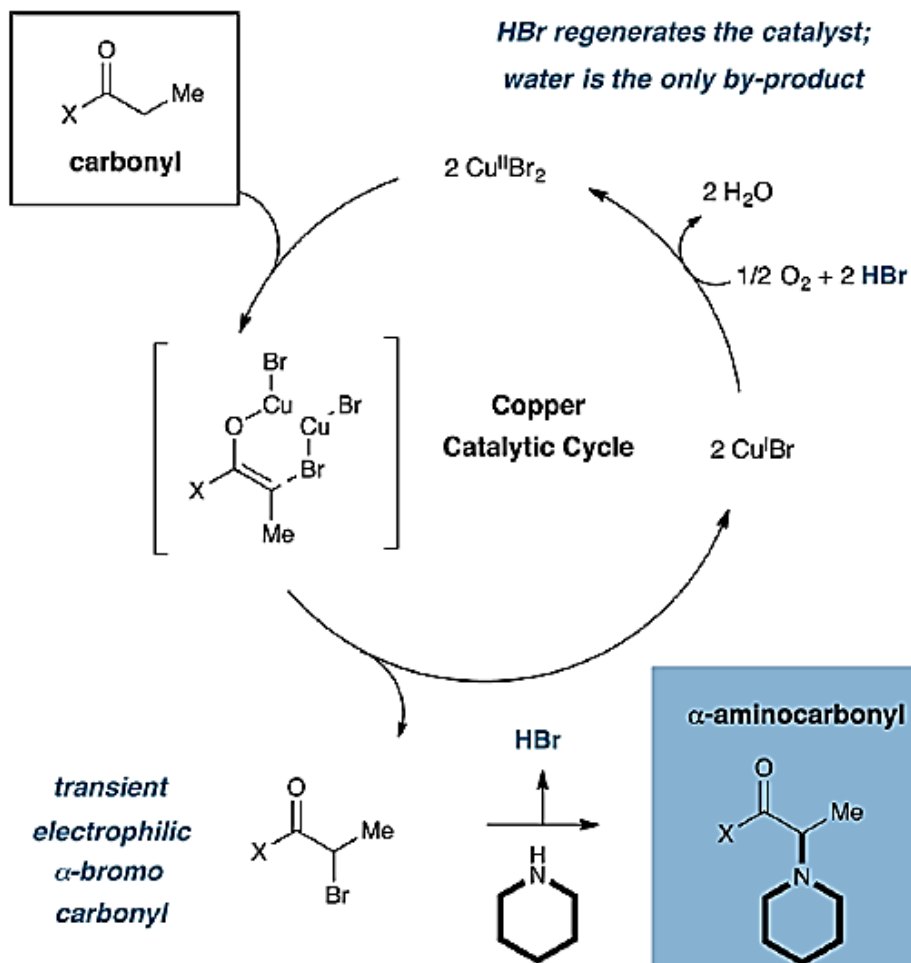
73% yield, 90% ee

Not belong to the HOMO catalysis, but strongly related:

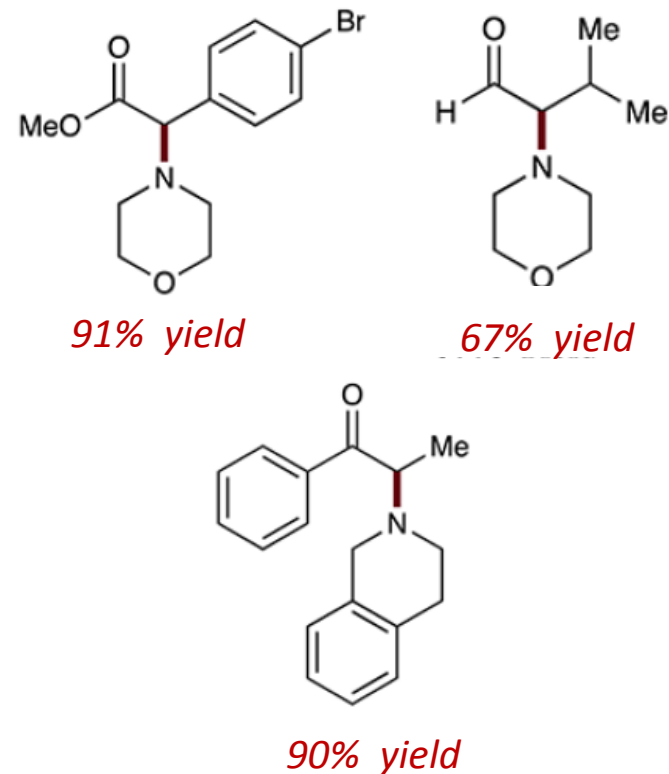
- Same strategy: **Cu salt** + **organic feedstock** + **O₂**



- Proposed Mechanism:



- Substrate scope



- 1 *LUMO Catalysis*
- 2 *HOMO Catalysis*
- 3 *Cascade LUMO-HOMO Catalysis*
- 4 *SOMO Catalysis*
- 5 *Photoredox Organo Catalysis*
- 6 *Photoredox Organo Catalysis (Type II)*
- 7 *Summary*

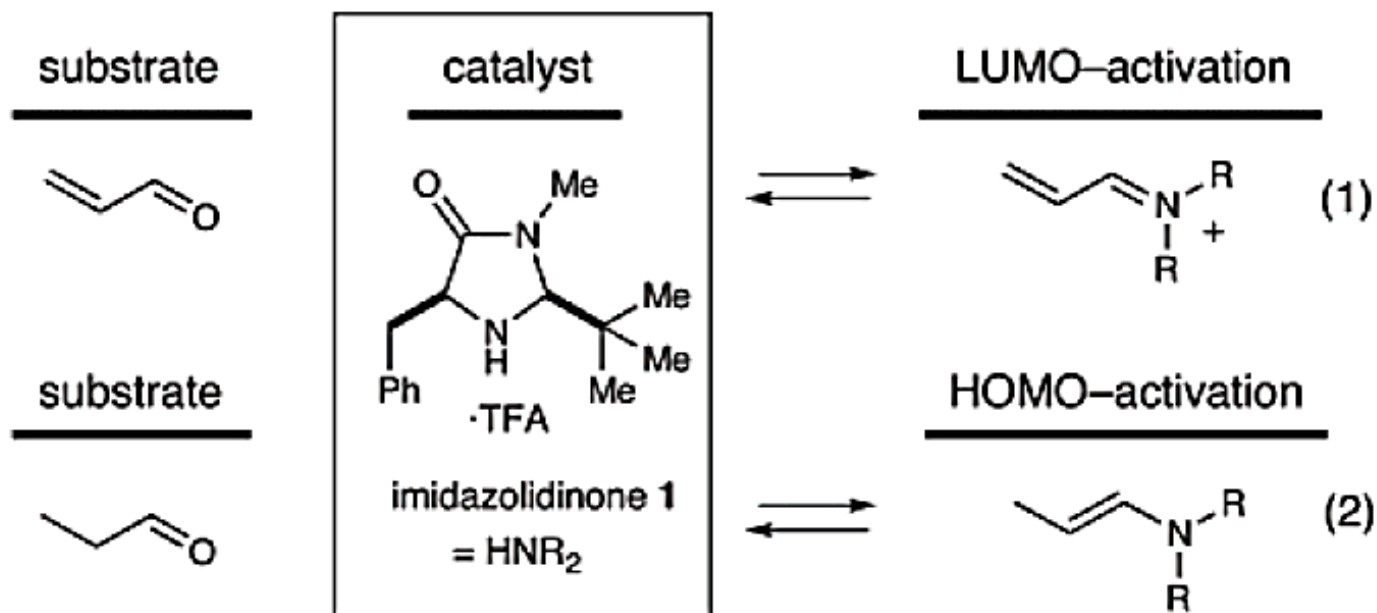


- 1 *LUMO Catalysis*
- 2 *HOMO Catalysis*
- 3 ***Cascade LUMO-HOMO Catalysis***
- 4 *SOMO Catalysis*
- 5 *Photoredox Organo Catalysis*
- 6 *Photoredox Organo Catalysis (Type II)*
- 7 *Summary*

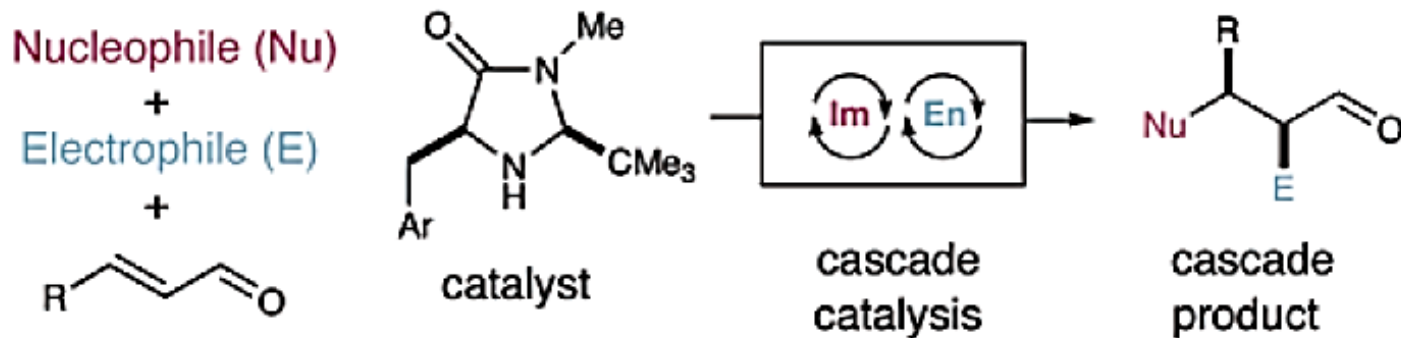


Cascade Catalysis: Merging HOMO and LUMO Activation

- Imidazolidinones: Organocatalysts for HOMO or LUMO Activation



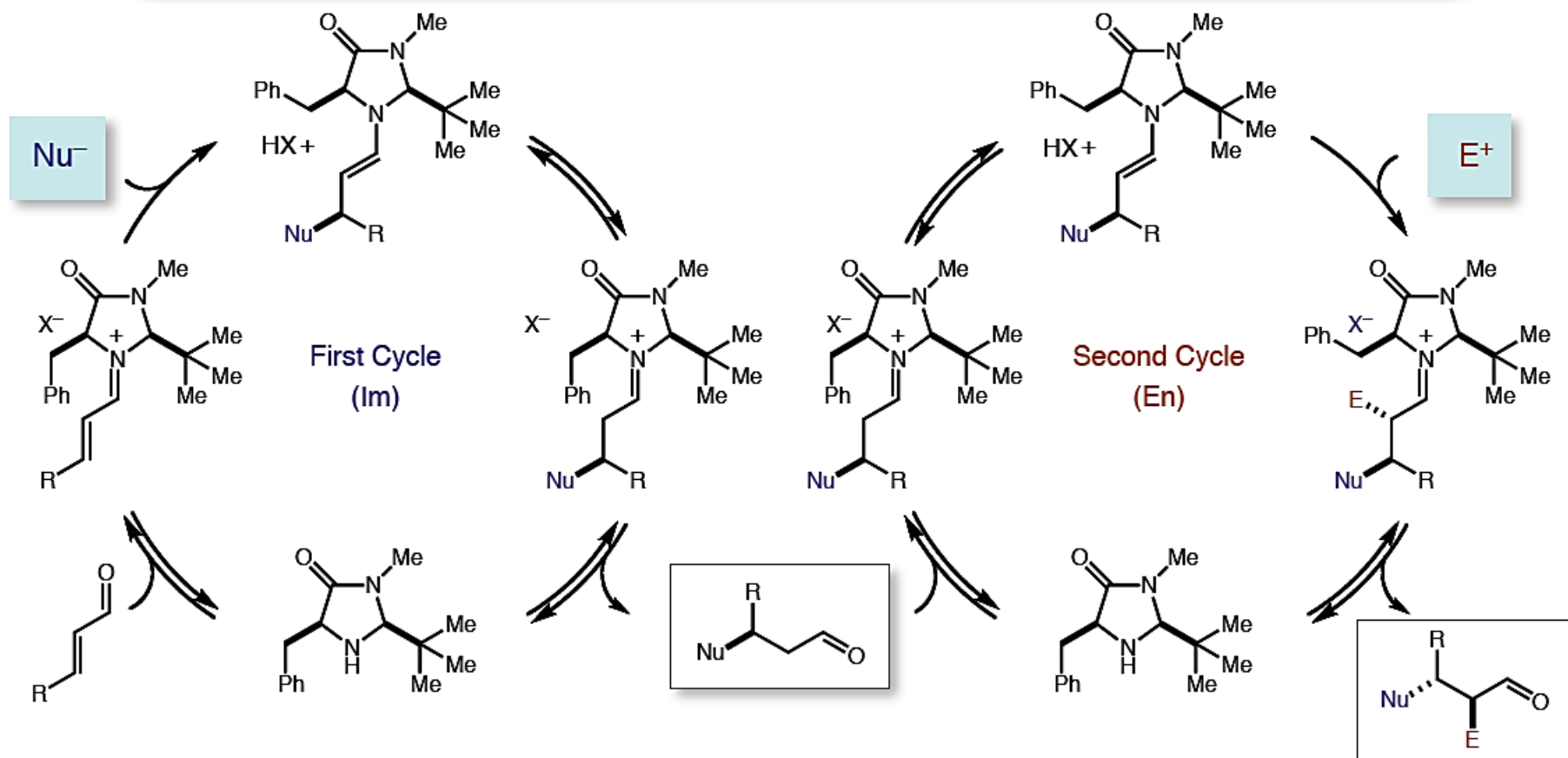
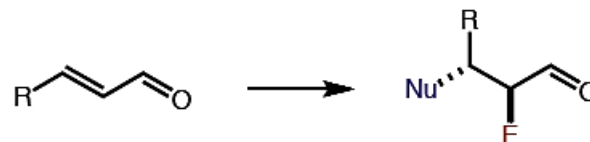
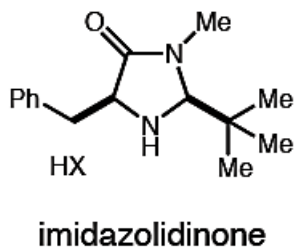
- Cascade Catalysis: Merging HOMO and LUMO Activation with one catalyst



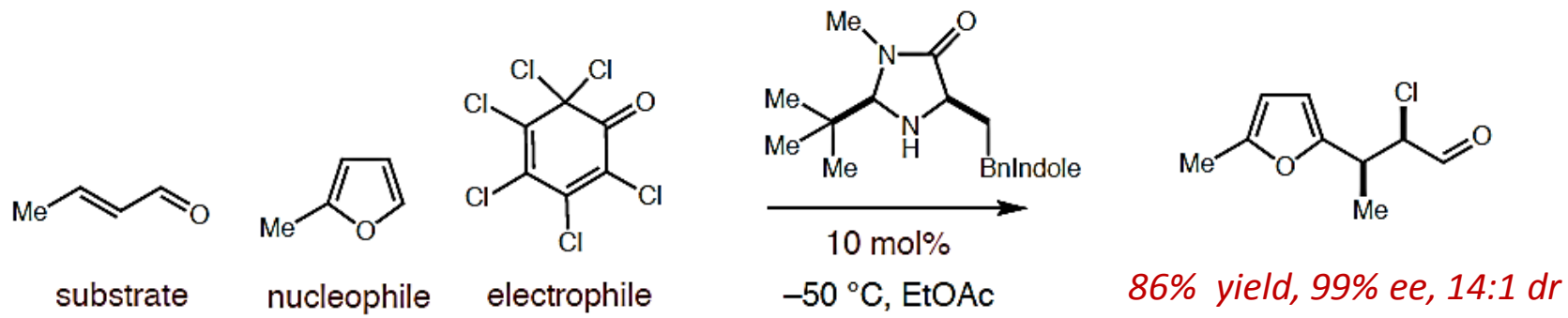
Cascade Catalysis: Merging HOMO and LUMO Activation

■ First step:
Iminium catalysis

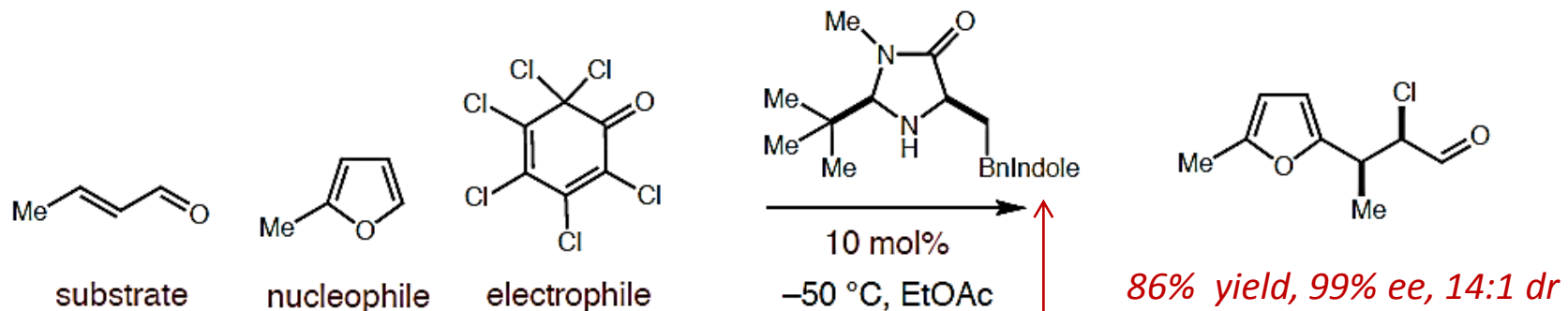
■ Second step:
Enamine catalysis



Cascade Catalysis: Enantioselective β -aryl- α -chlorination

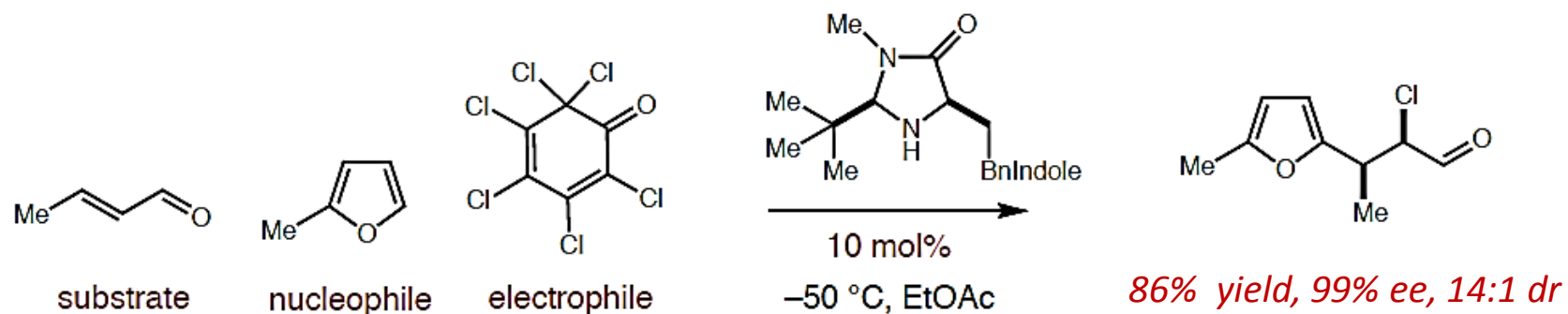


Cascade Catalysis: Enantioselective β -aryl- α -chlorination

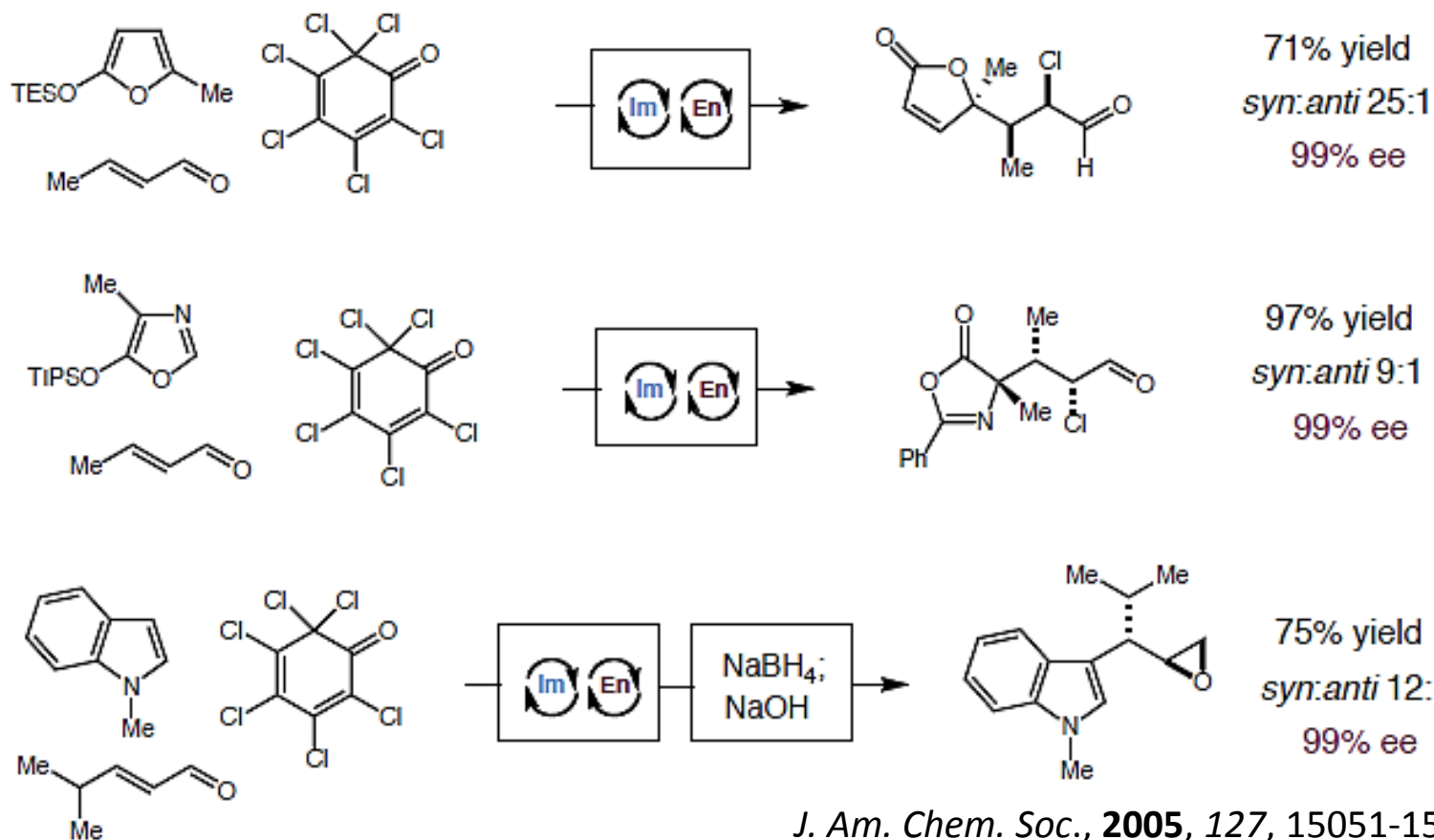


Large Bn-indole side chain:
Higher shield of the upward side
overcome the substrate control in the second catalytic circle

Cascade Catalysis: Enantioselective β -aryl- α -chlorination

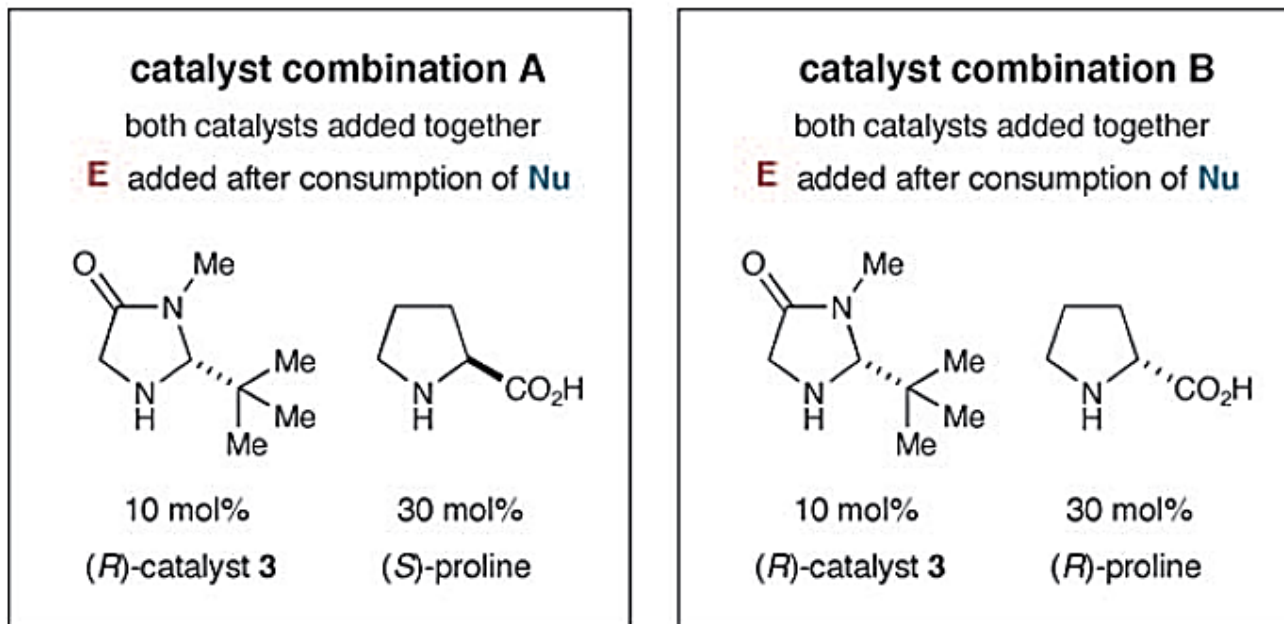


Substrate scope



Cascade Catalysis: More reaction types

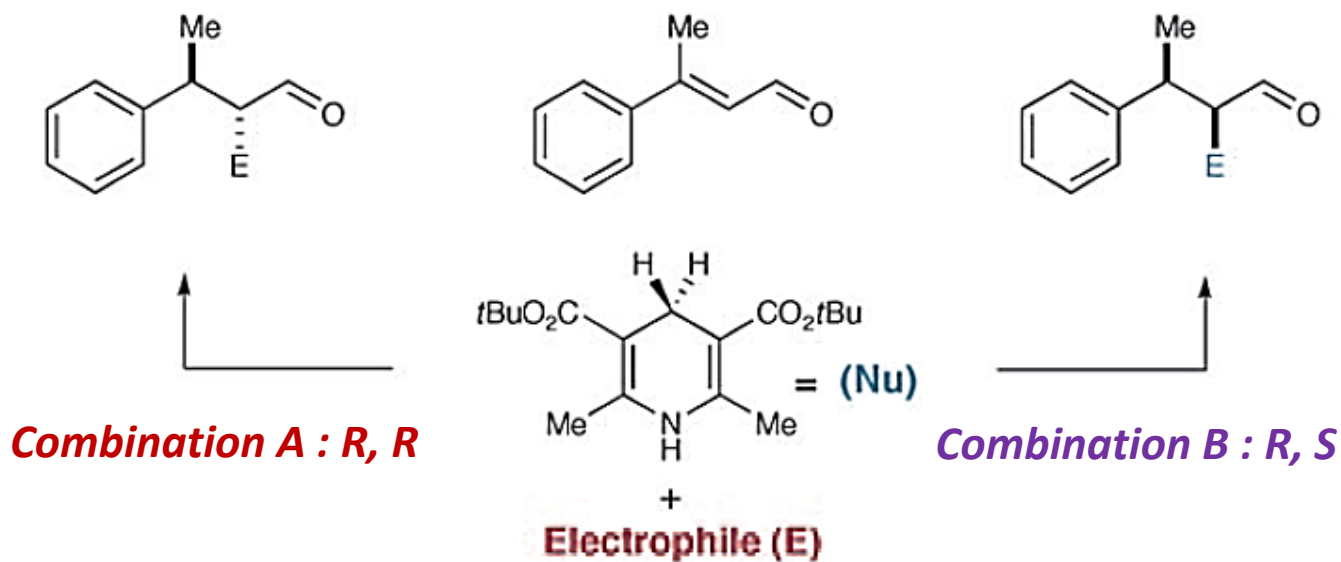
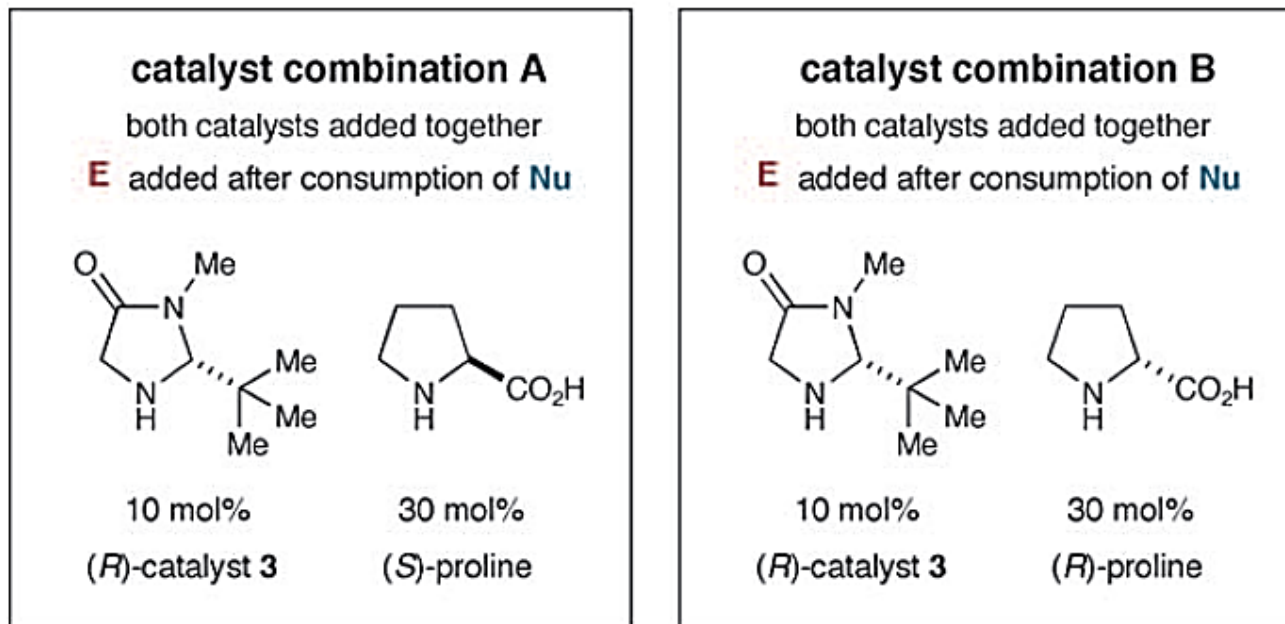
- Modular combination of *proline* and *Macmillan amine*

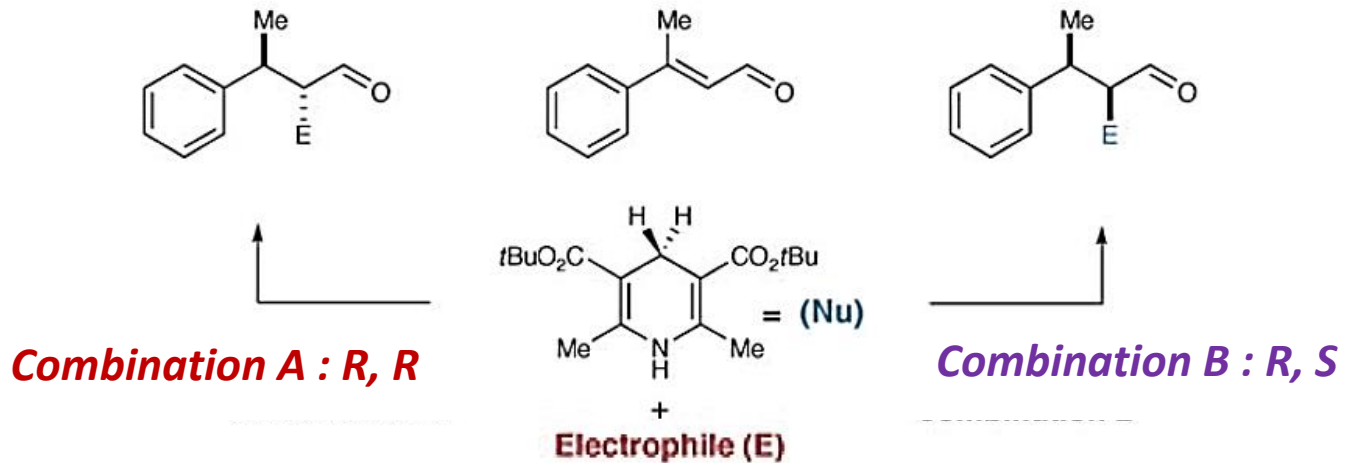


- *Macmillan amine: Iminium catalyst*
- *Proline: Enamine catalyst*

Cascade Catalysis: More reaction types

- Modular combination of *proline* and *Macmillan amine*



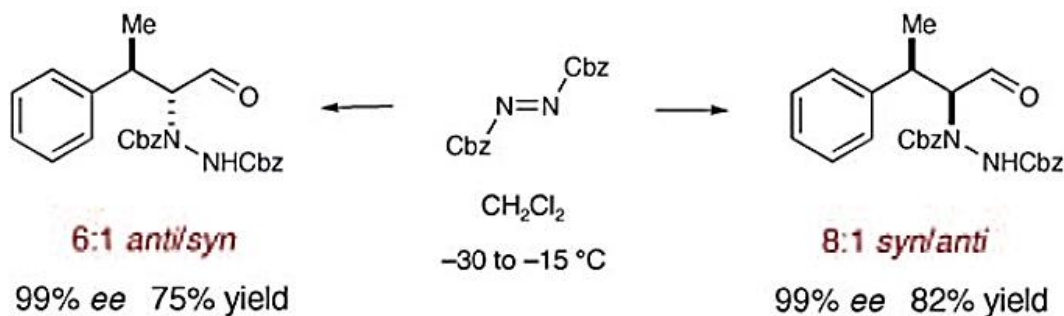


Combination A

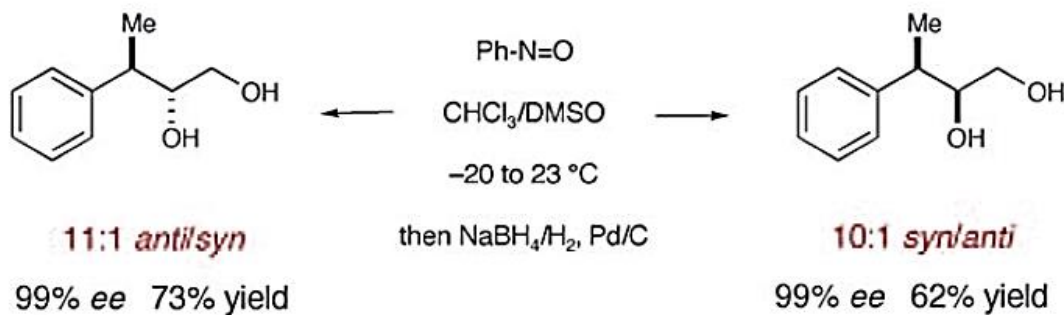
E + conditions

Combination B

Enantioselective Hydro-Amination:



Enantioselective Hydro-Oxidation:



- 1 ***LUMO Catalysis***.....
- 2 ***HOMO Catalysis***.....
- 3 ***Cascade LUMO-HOMO Catalysis***.....
- 4 ***SOMO Catalysis***.....
- 5 ***Photoredox Organo Catalysis***.....
- 6 ***Photoredox Organo Catalysis (Type II)***.....
- 7 ***Summary***.....



1 *LUMO Catalysis*

2 *HOMO Catalysis*

3 *Cascade LUMO-HOMO Catalysis*

4 ***SOMO Catalysis***

5 *Photoredox Organo Catalysis*

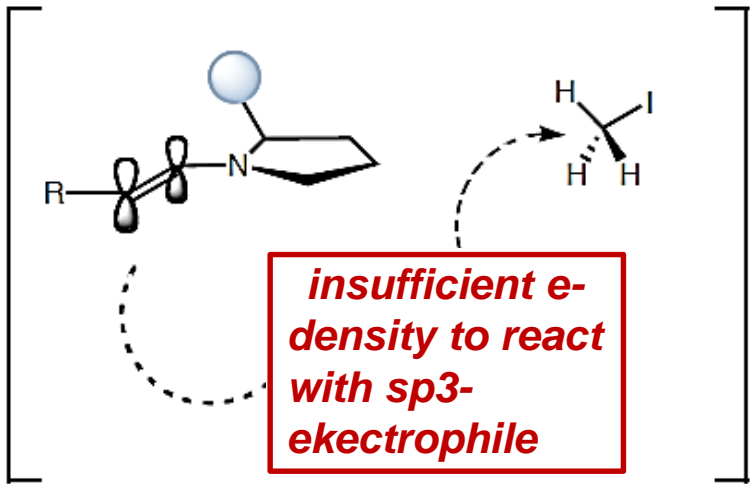
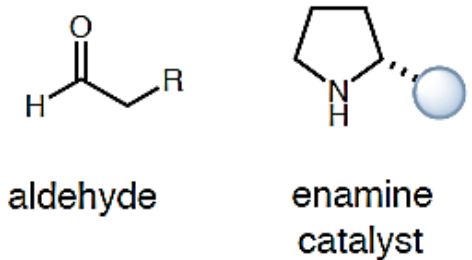
6 *Photoredox Organo Catalysis (Type II)*

7 *Summary*

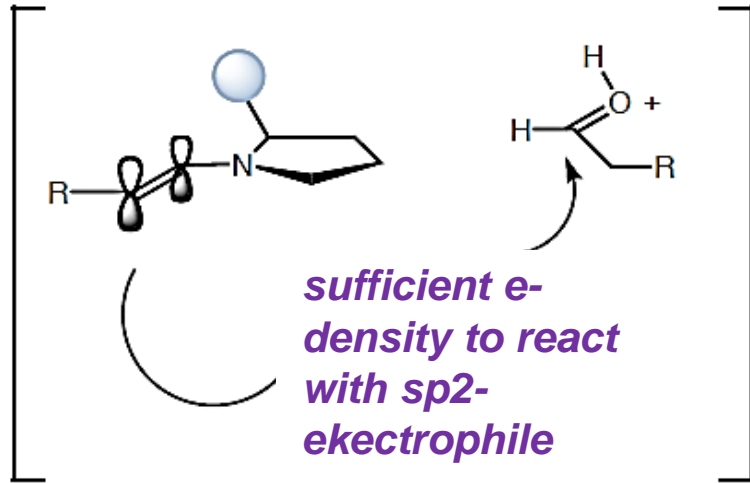
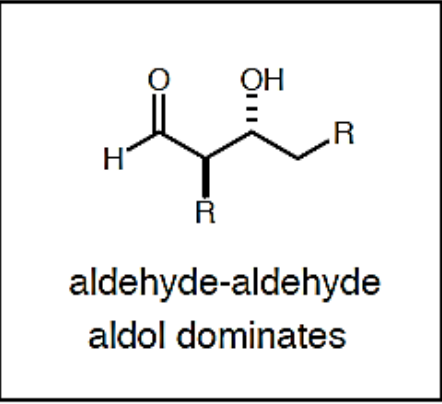


HOMO catalyst: inefficient with some nucleophile

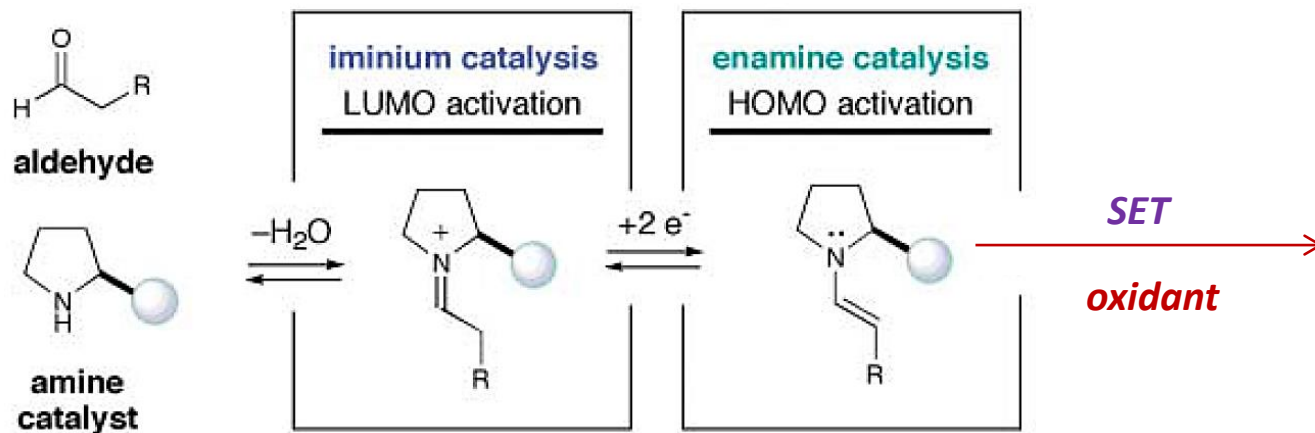
- Potential issues for enantioselective alkylation using HOMO catalysis



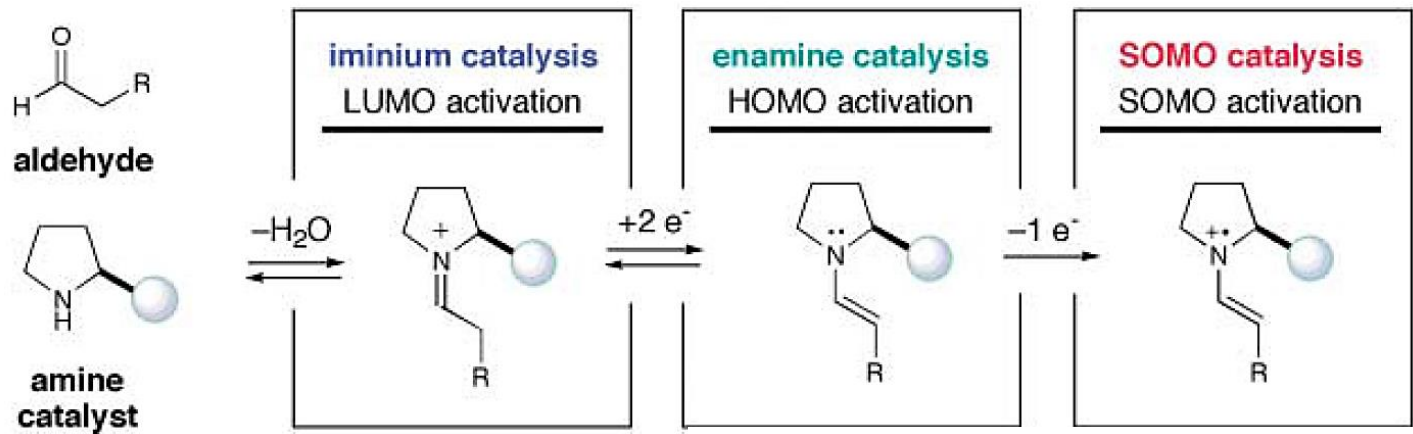
in comparison to sp2-aldehyde



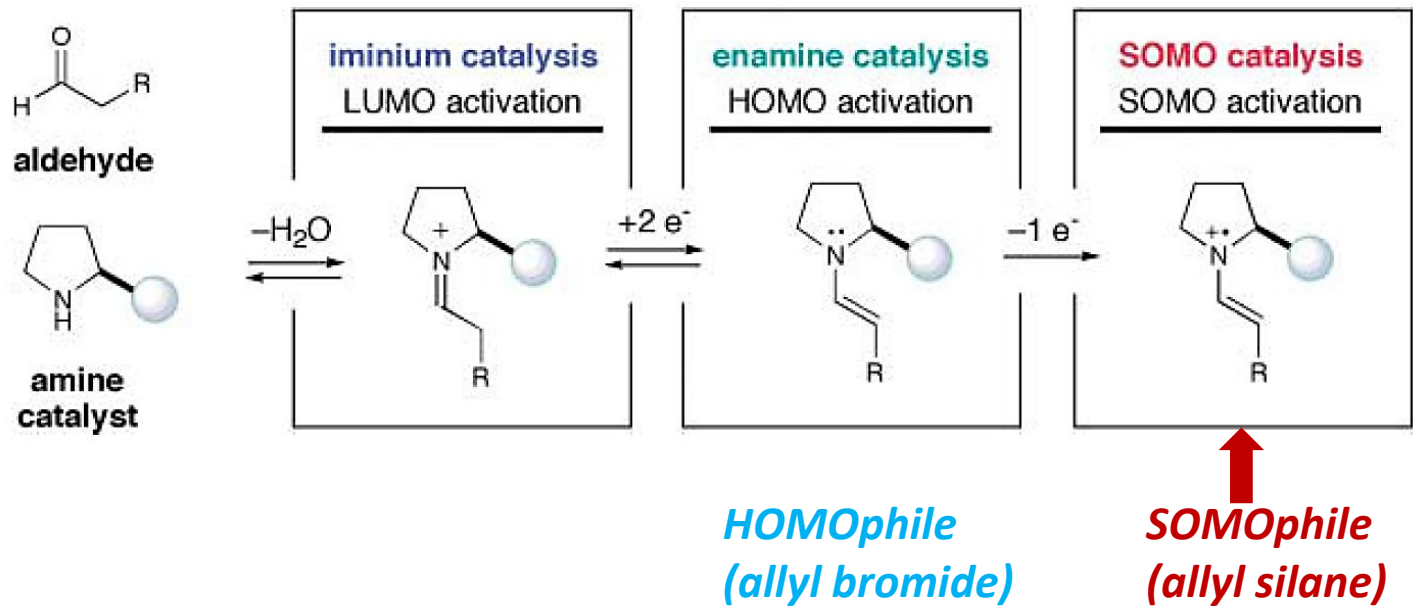
New Idea: SOMO catalysis after a SET process



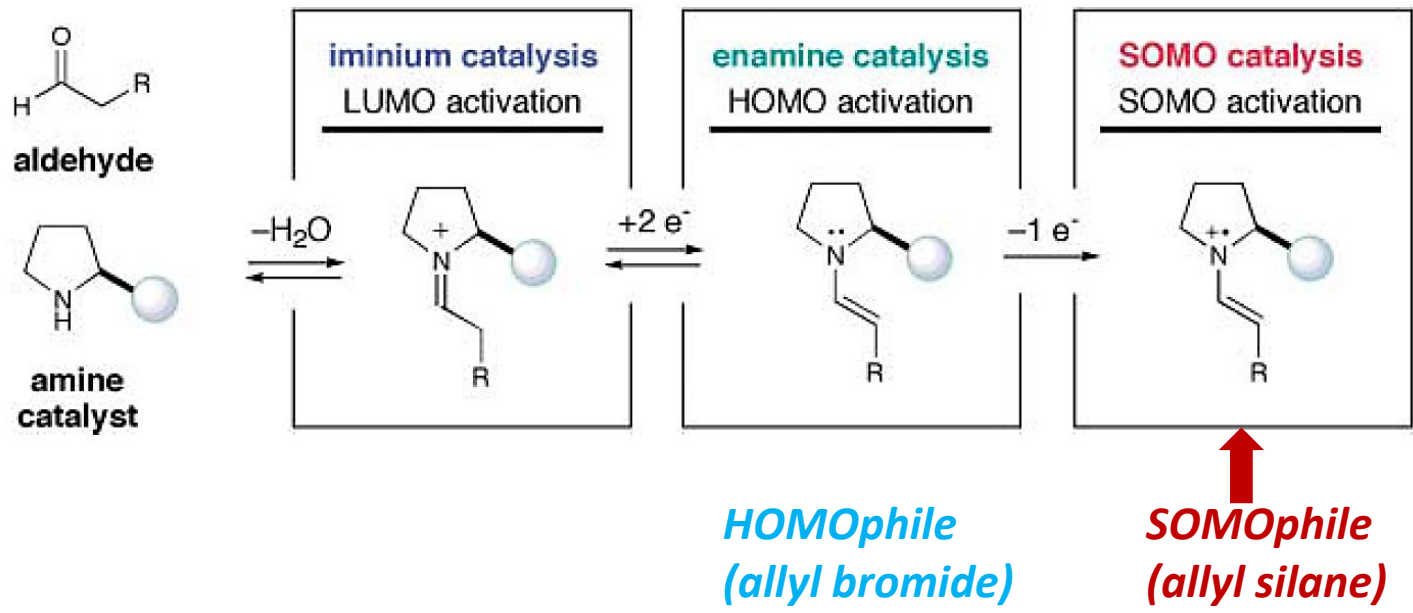
New Idea: SOMO catalysis after a SET process



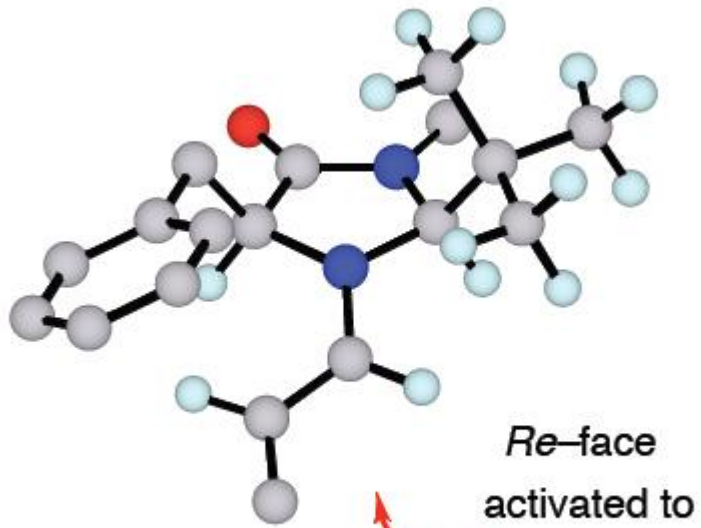
New Idea: SOMO catalysis after a SET process



New Idea: SOMO catalysis after a SET process

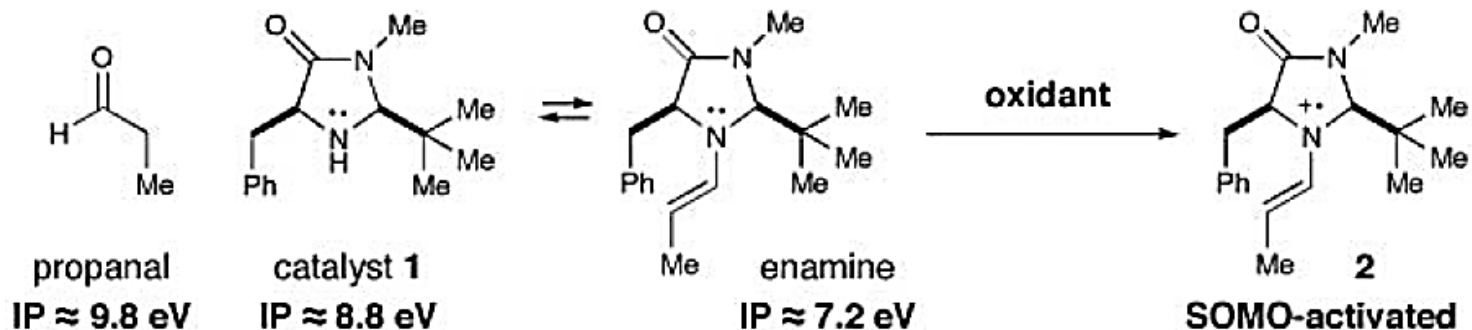


■ SOMO intermediate: same chiral control as HOMO intermediate

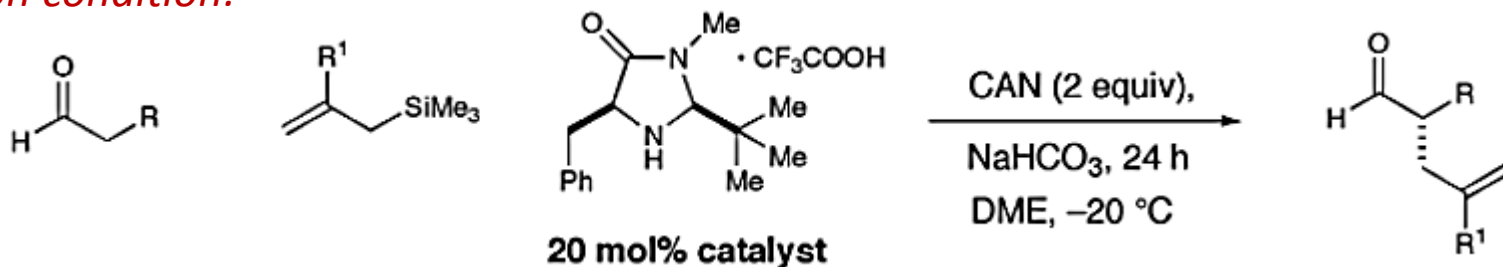


SOMO catalysis: Enantioselective Aldehyde α -Allylation

■ CAN: selective oxidant

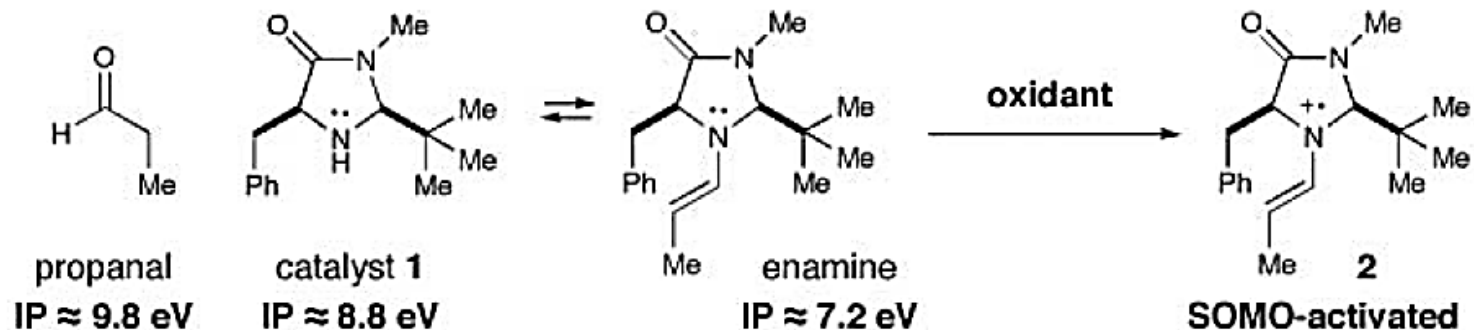


■ Reaction condition:

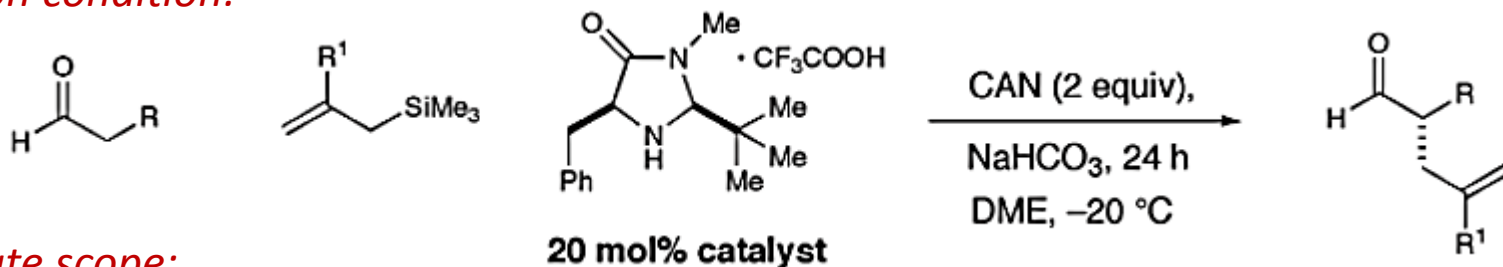


SOMO catalysis: Enantioselective Aldehyde α -Allylation

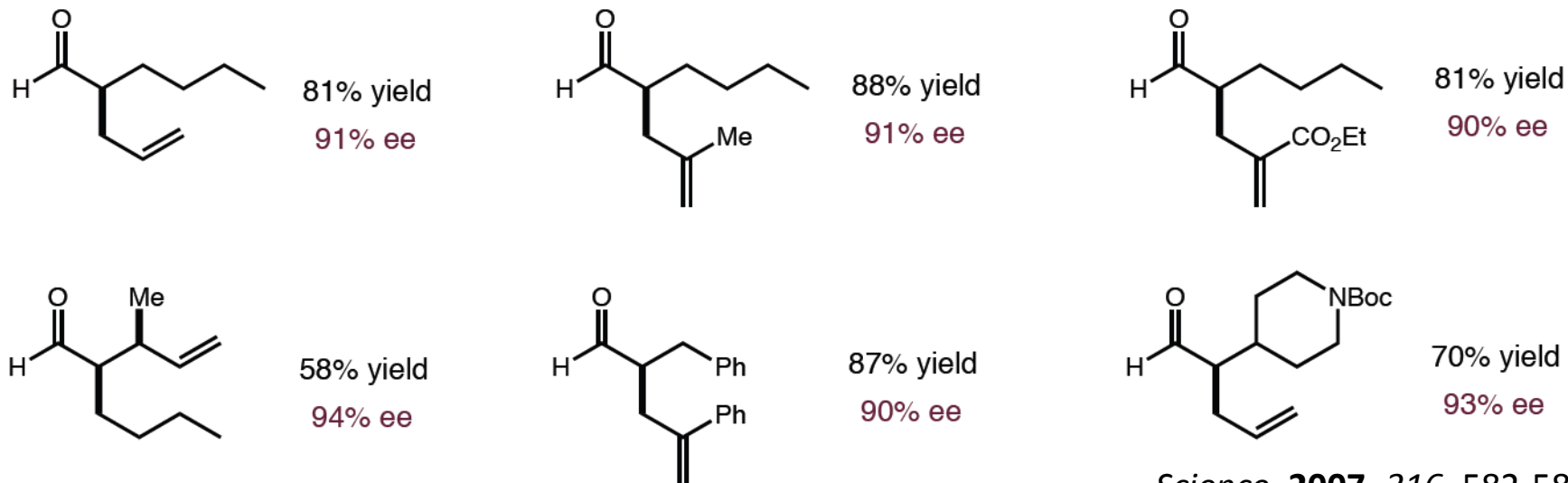
■ CAN: selective oxidant



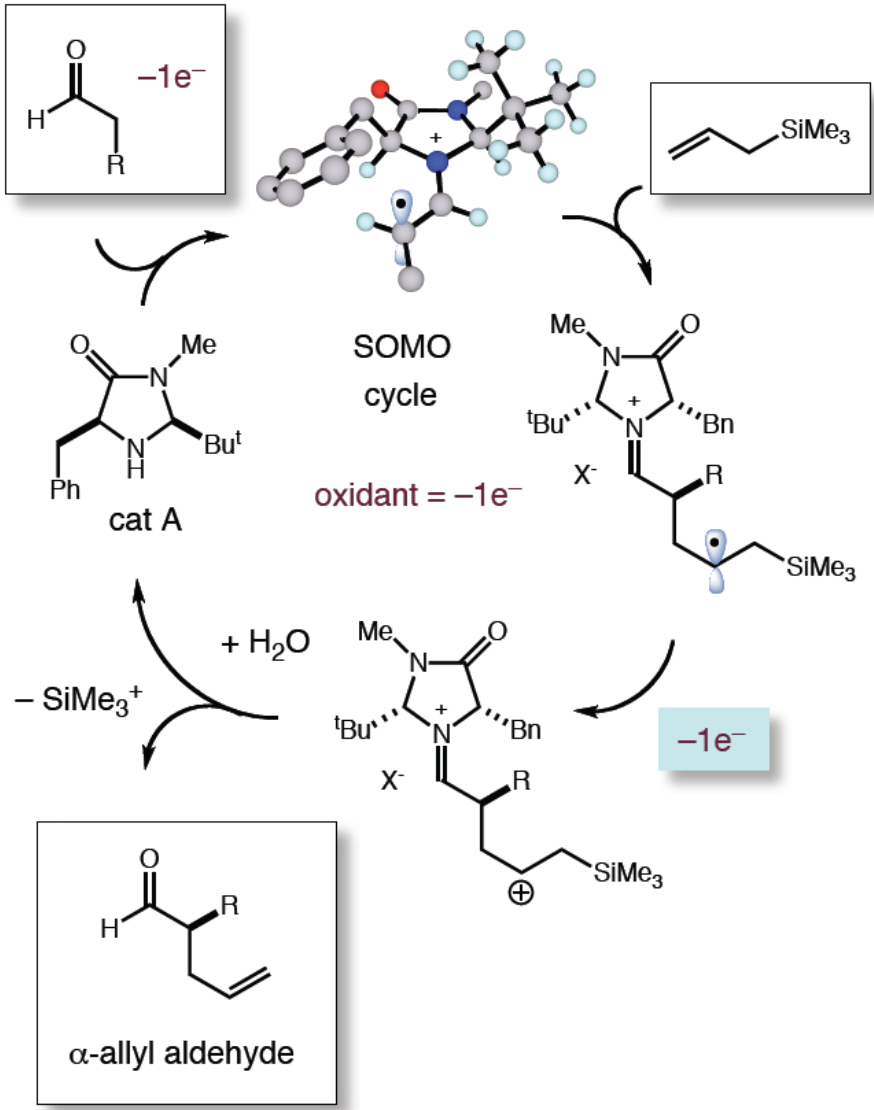
■ Reaction condition:



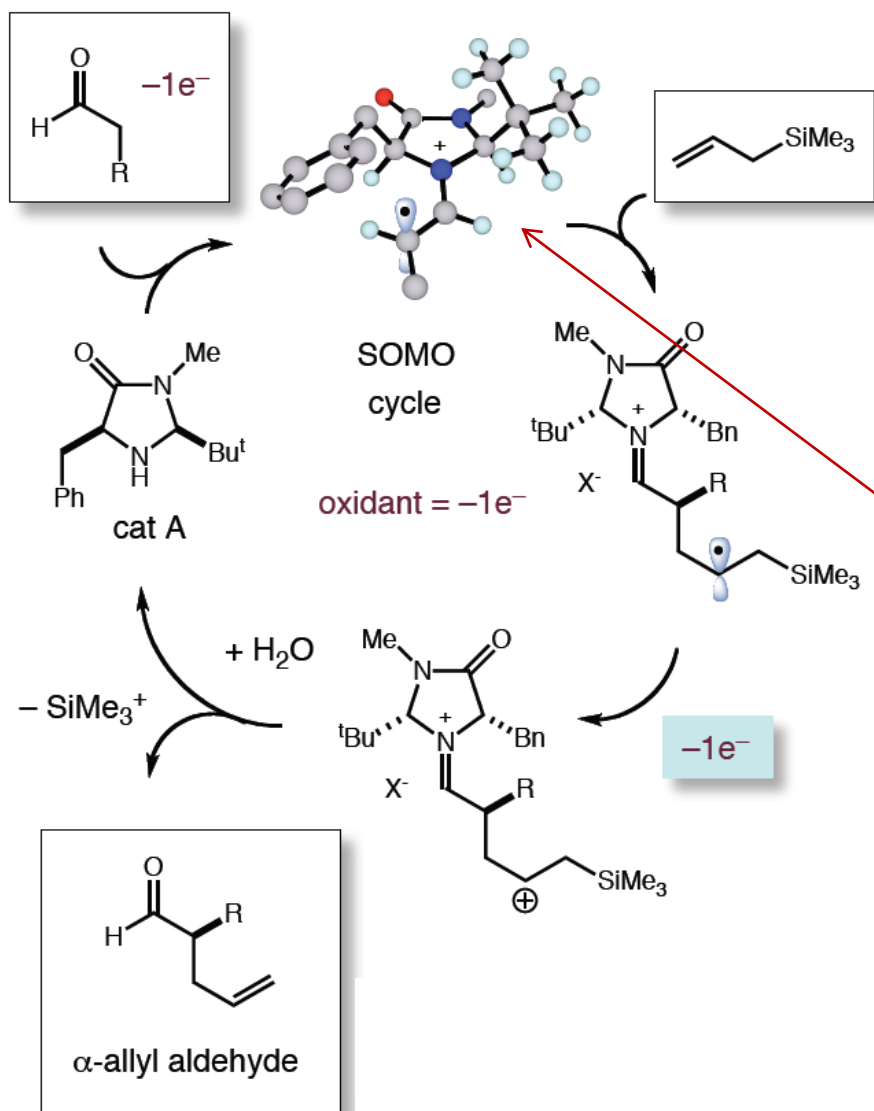
Substrate scope:



SOMO catalysis: the proof of the mechanism

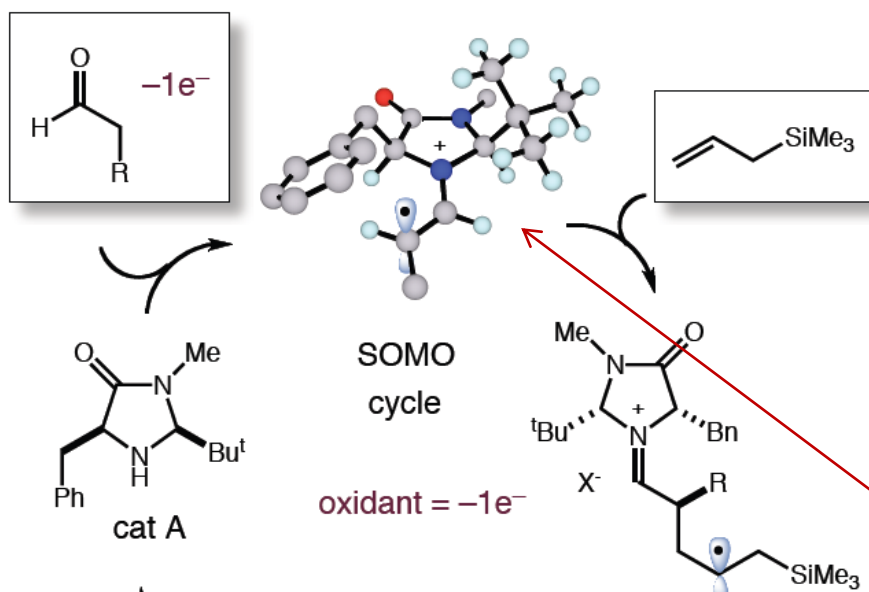


SOMO catalysis: the proof of the mechanism



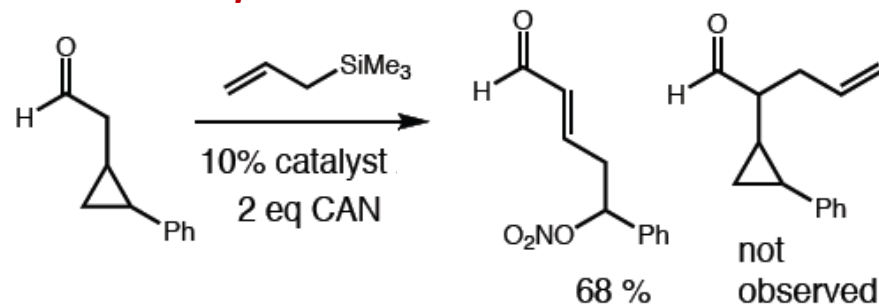
■ **Radical-Cation Intermediate?**

SOMO catalysis: the proof of the mechanism

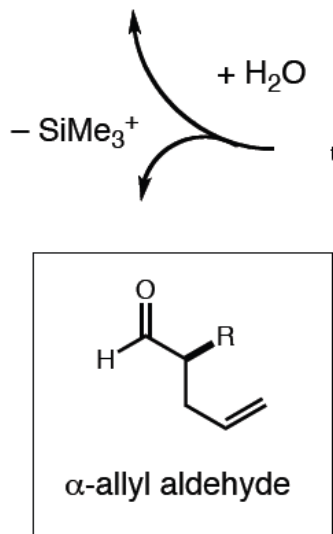
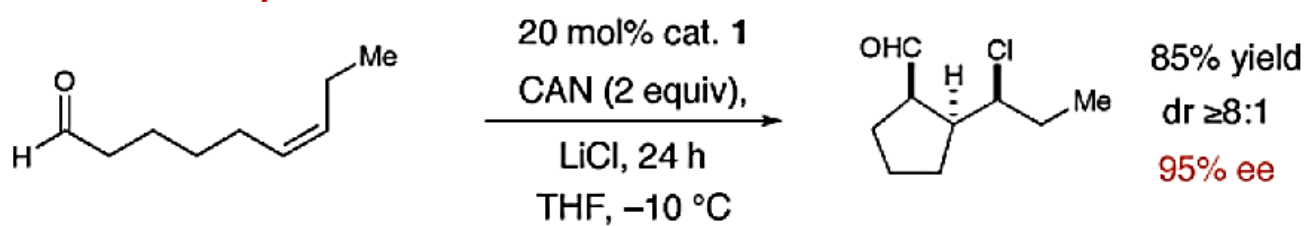


Radical-Cation Intermediate?

Radical Clock Experiment 1:

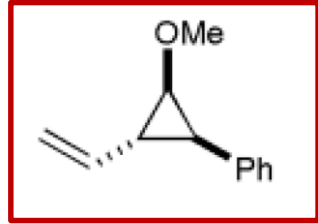
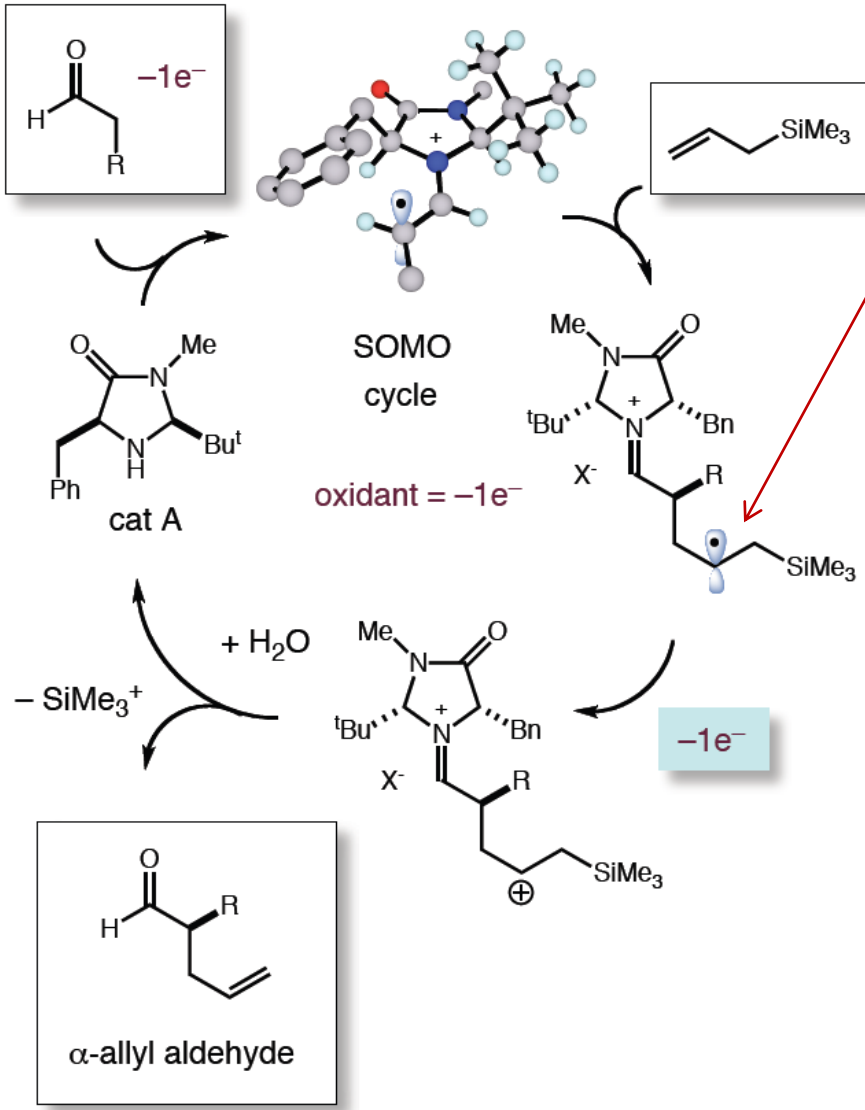


Radical Clock Experiment 2:



SOMO catalysis: the proof of the mechanism

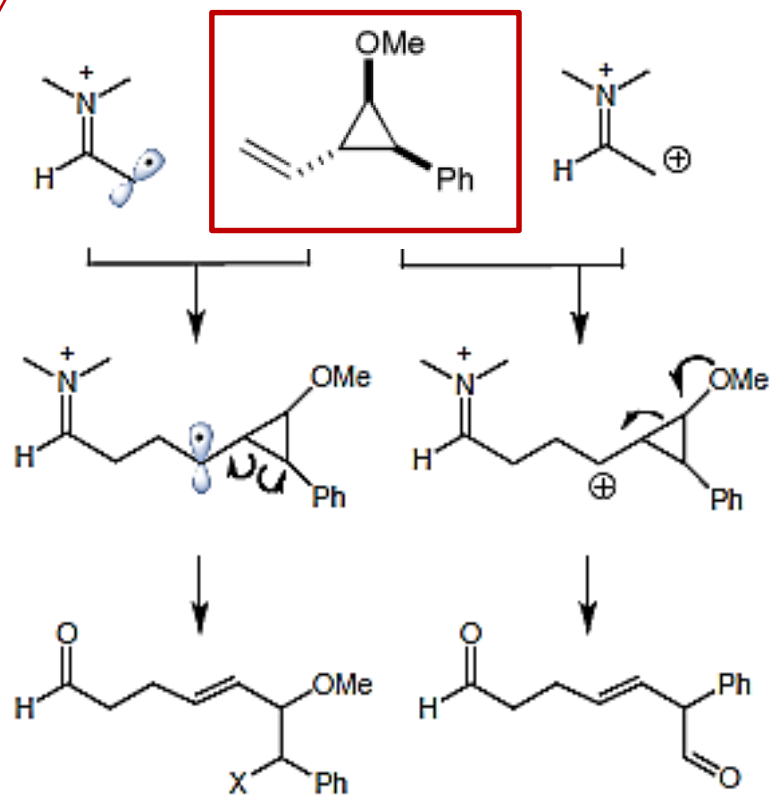
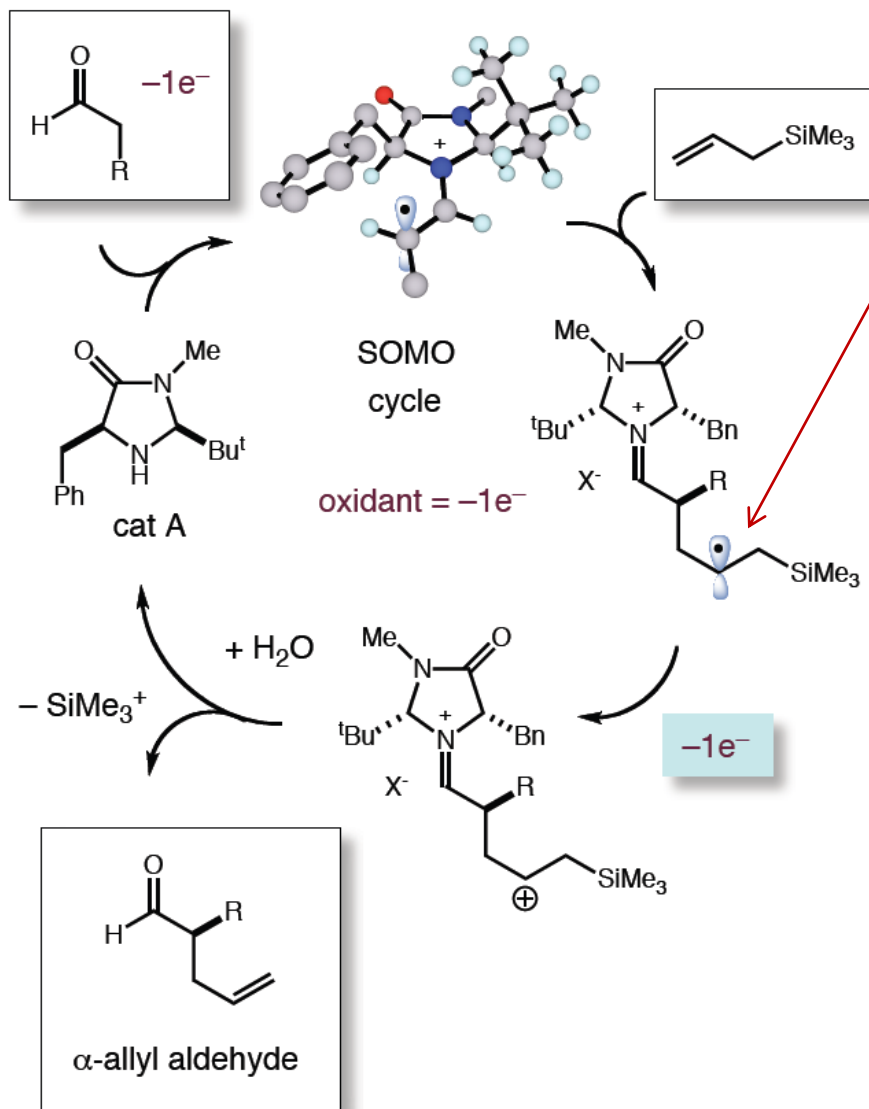
Radical or Carbocation?



radical clock & "cation clock"

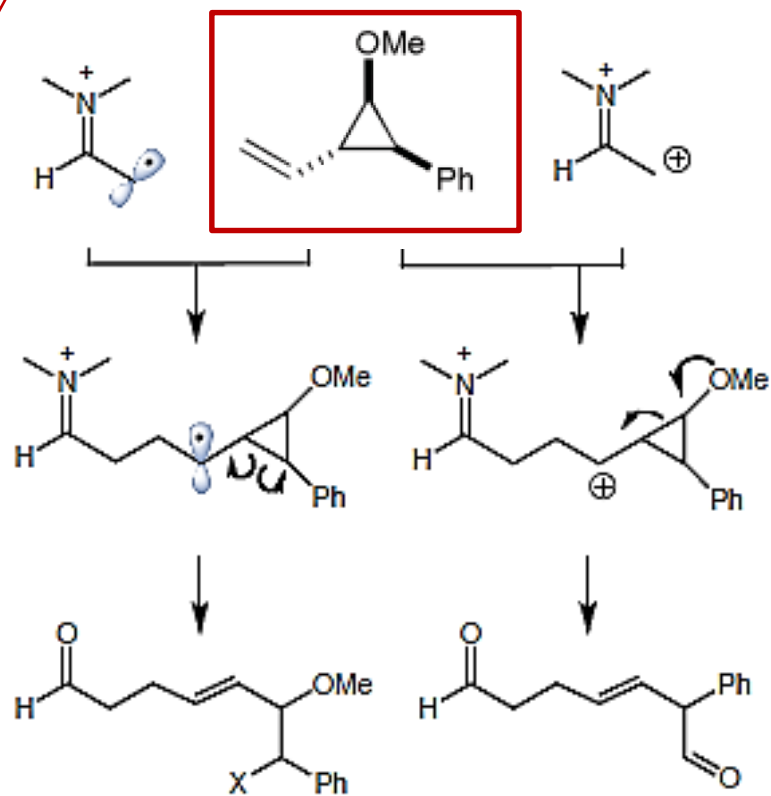
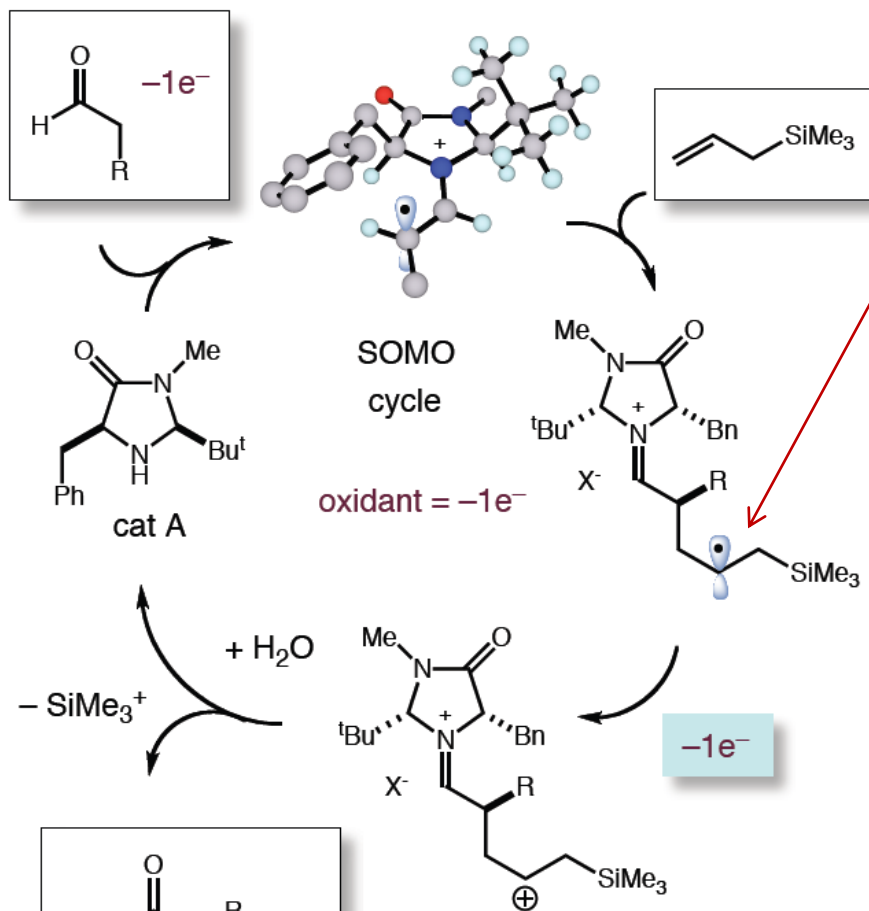
SOMO catalysis: the proof of the mechanism

■ Radical or Carbocation?

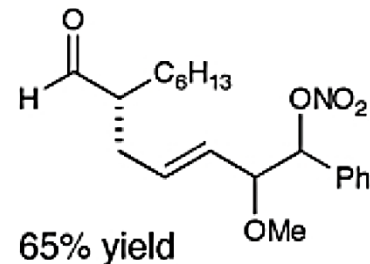
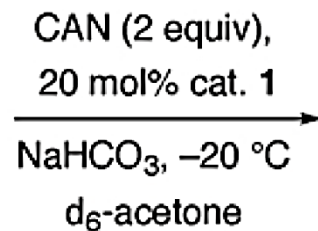
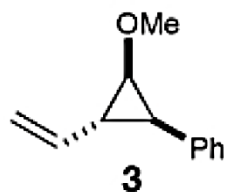
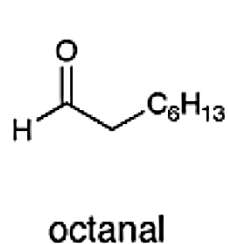
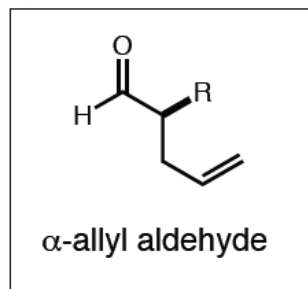


SOMO catalysis: the proof of the mechanism

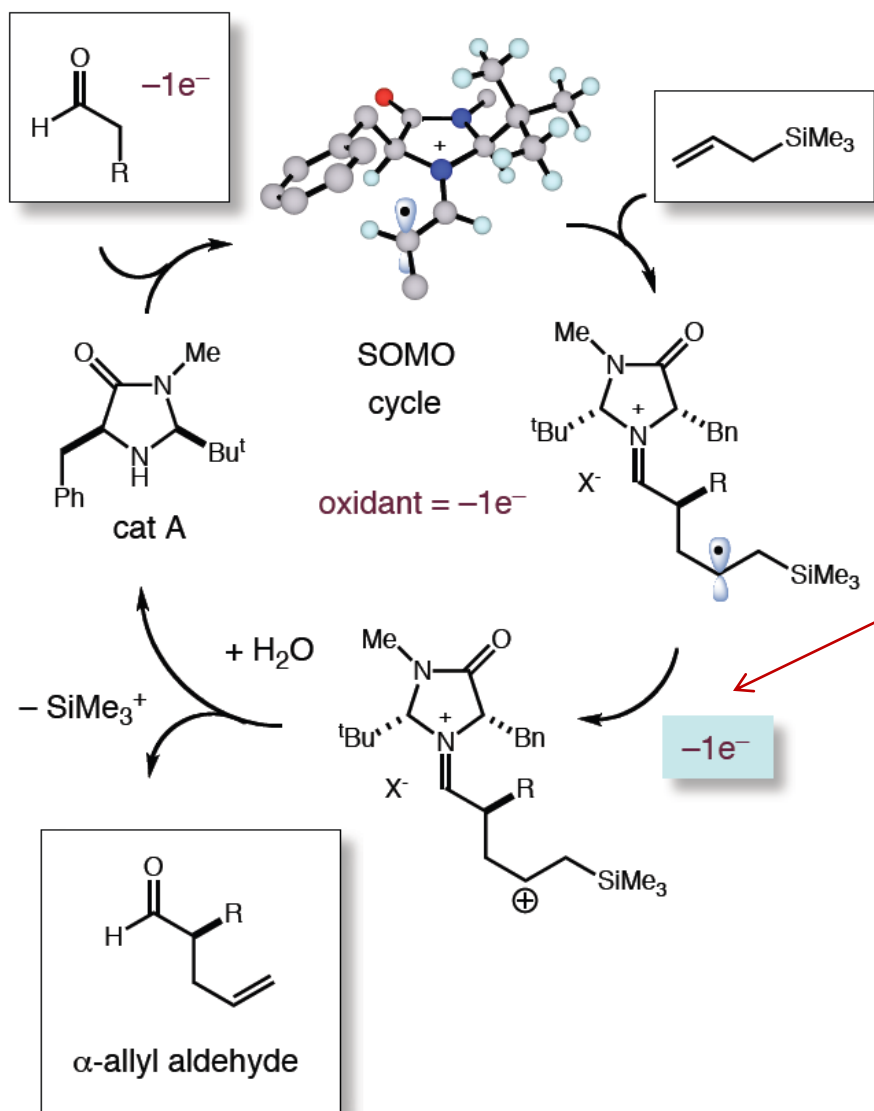
■ Radical or Carbocation?



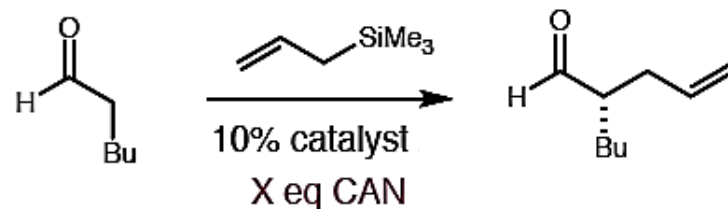
Experiment result: radical-pathway product



SOMO catalysis: the proof of the mechanism

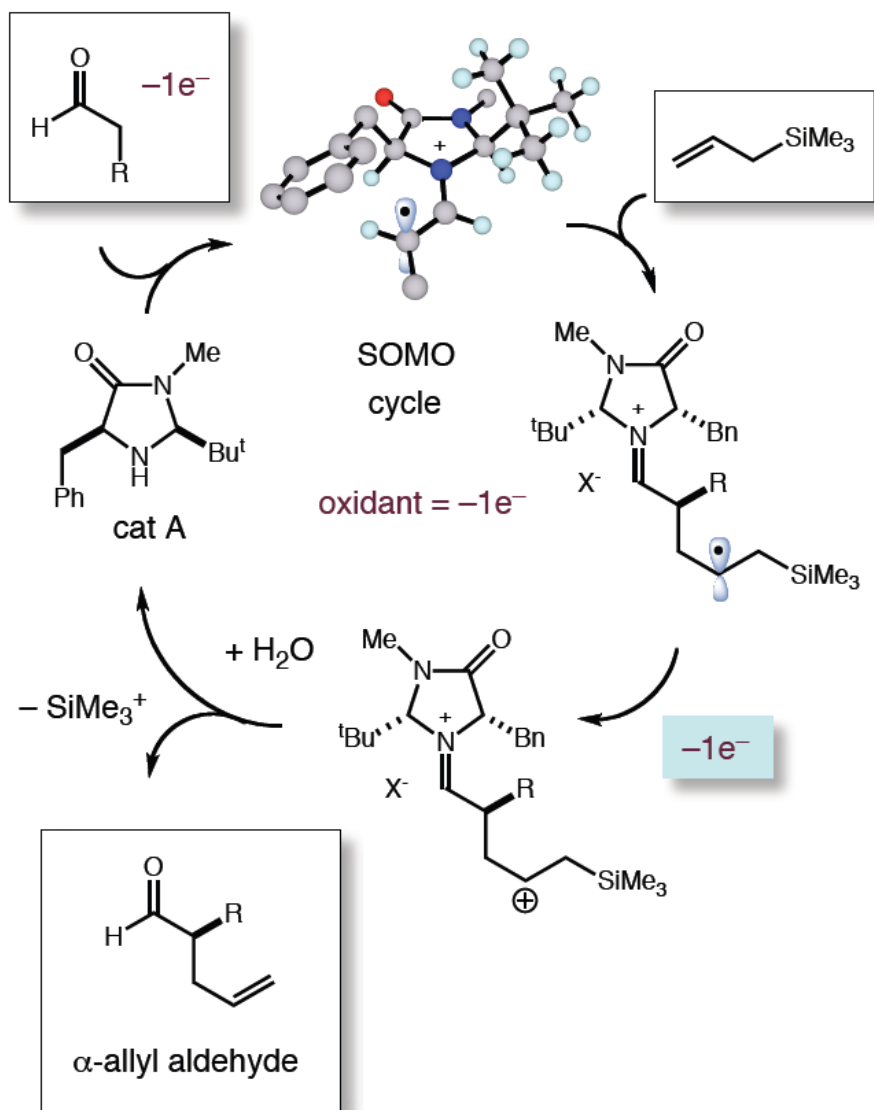


Second SET oxidation?



<u>X eq CAN</u>	<u>% Yield</u>
1.0	37%
1.5	61%
2.0	88%
3.0	87%

SOMO catalysis: the proof of the mechanism



SOMOphile:

electron rich nucleophile with the ability to stabilize a new generated radical

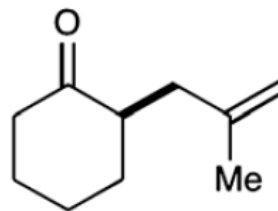
many nucleophilic C-C, C-O, C-N, C-S, and C-X (where X is a halogen) bond formations (15–19). Our analysis reveals the attractive prospect of applying asymmetric SOMO catalysis to important problems such as direct and enantioselective allylic alkylation, enolation, arylation, carbo-oxidation, vinylation, alkynylation, or intermolecular alkylation of aldehydes.

To test this activation concept, we selected

For the **intramolecular version**, see:

Chem. Sci., **2011**, 2, 1470-1473

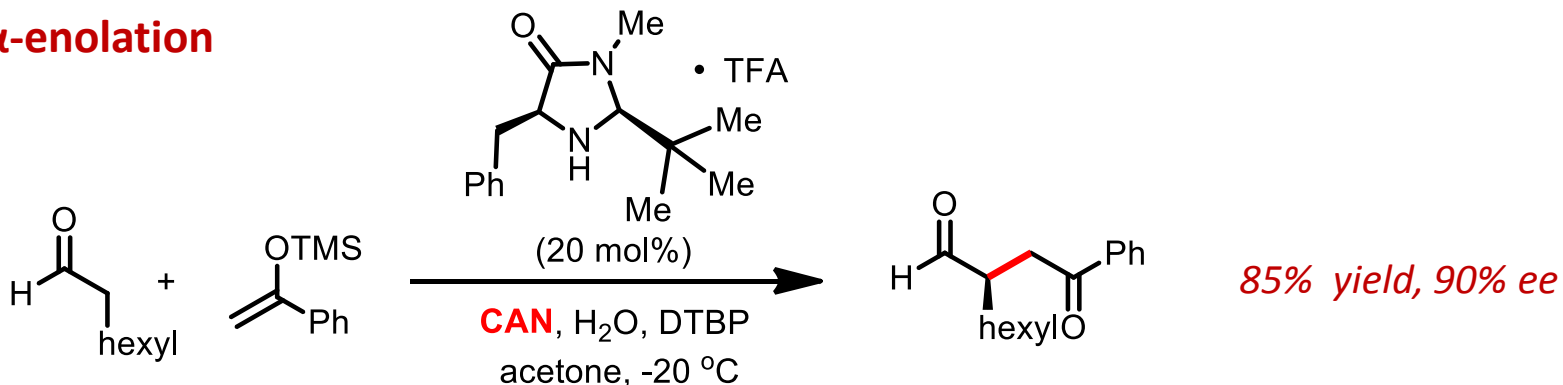
For the SOMO allylation of **cyclic ketone**, see:



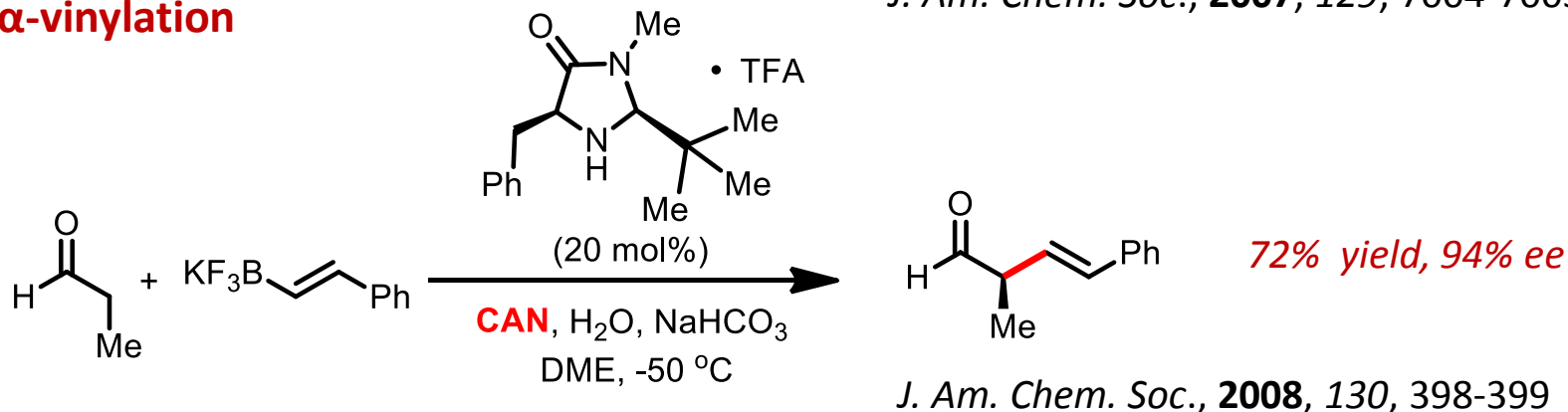
Proc. Nat. Acad. Sci. USA,
2010, 107, 20648-20651

SOMO catalysis: More than allylation

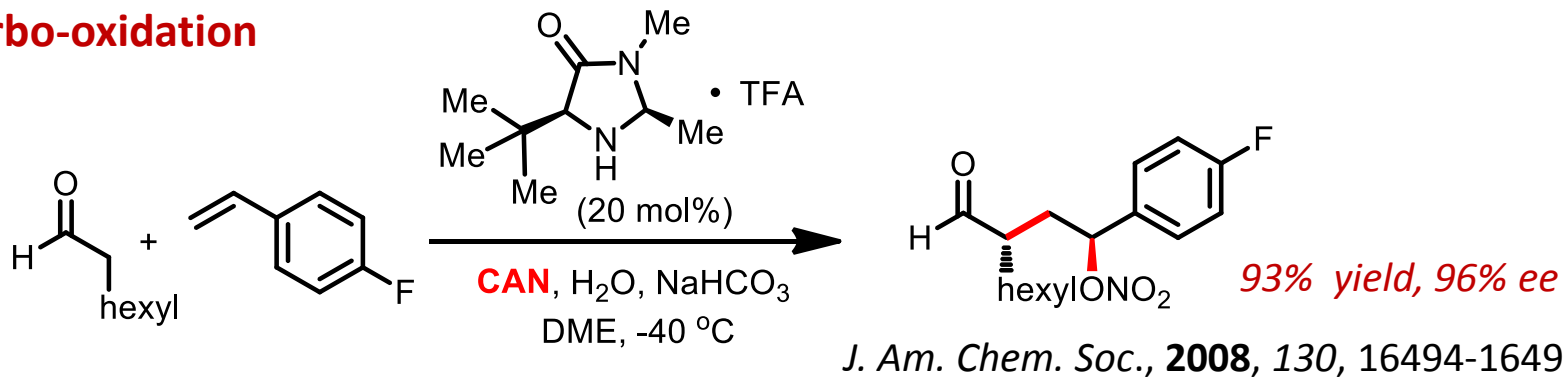
Aldehyde α -enolation



Aldehyde α -vinylation



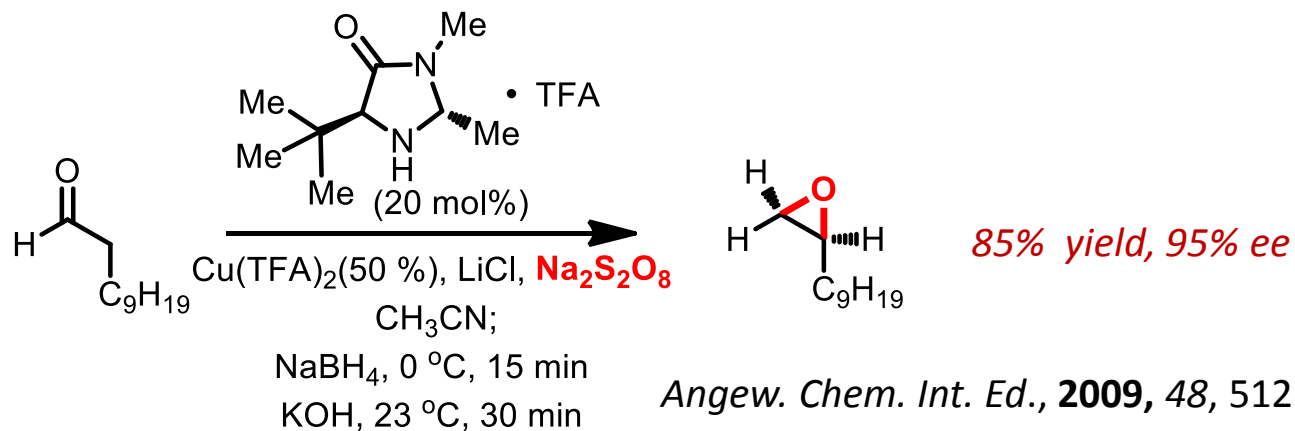
Styrene carbo-oxidation



For the styrene *carbo-amination*, see: *J. Am. Chem. Soc.*, **2012**, *134*, 11400-11403

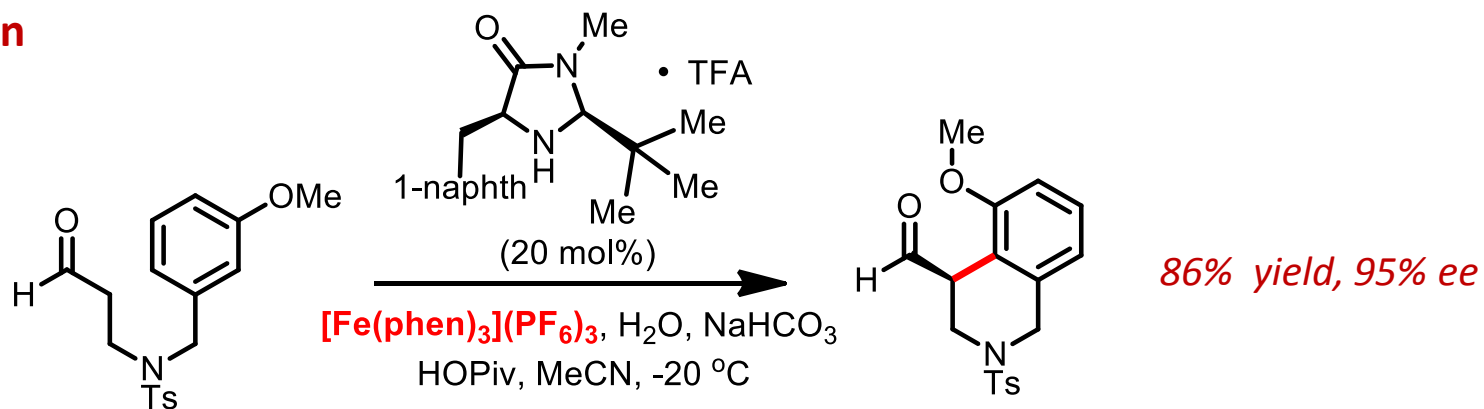
SOMO catalysis: More than allylation

Epoxidation



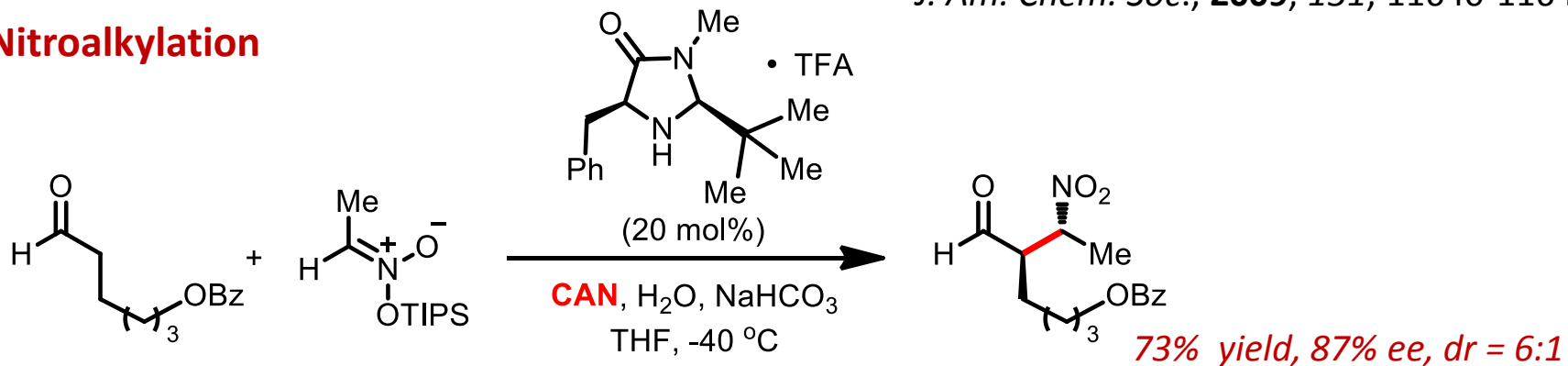
Angew. Chem. Int. Ed., **2009**, *48*, 5121-5124

α -Arylation



J. Am. Chem. Soc., **2009**, *131*, 11640-11641

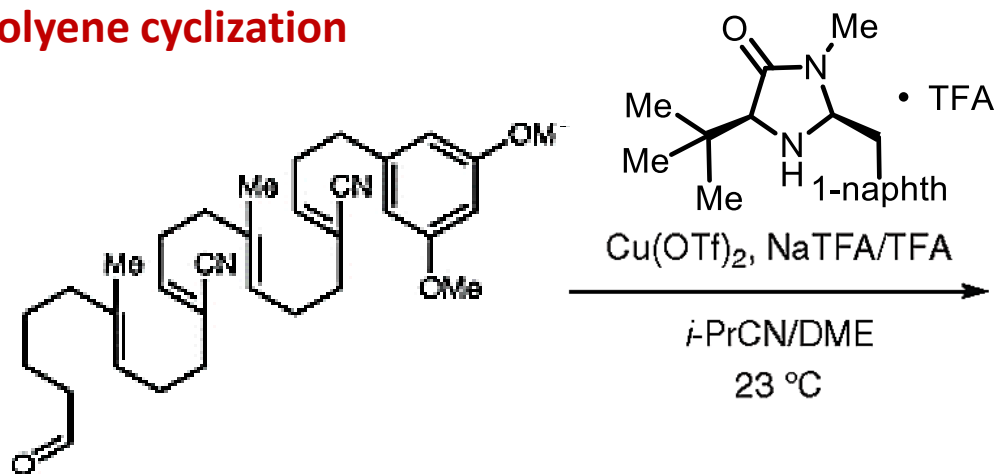
α -Nitroalkylation



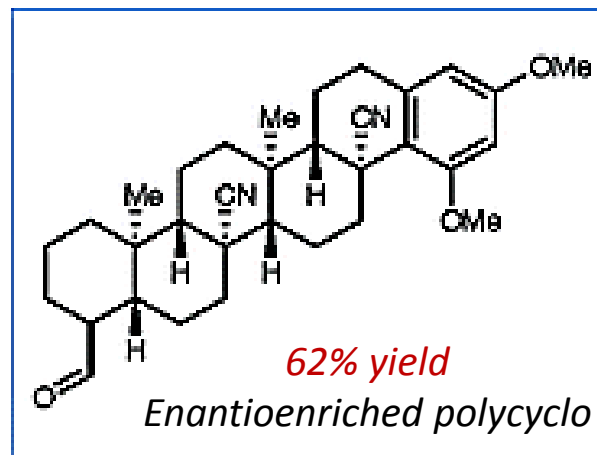
J. Am. Chem. Soc., **2009**, *131*, 11332-11334

SOMO catalysis: More than allylation

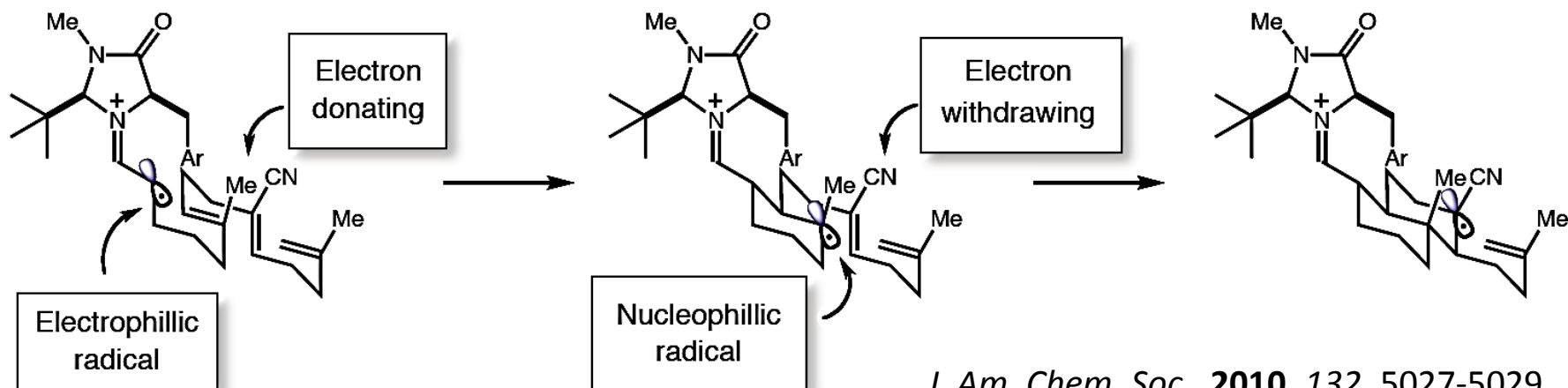
Polyene cyclization



11 contiguous stereocenters



- Propagating species is radical: alternating polarity favors cyclization

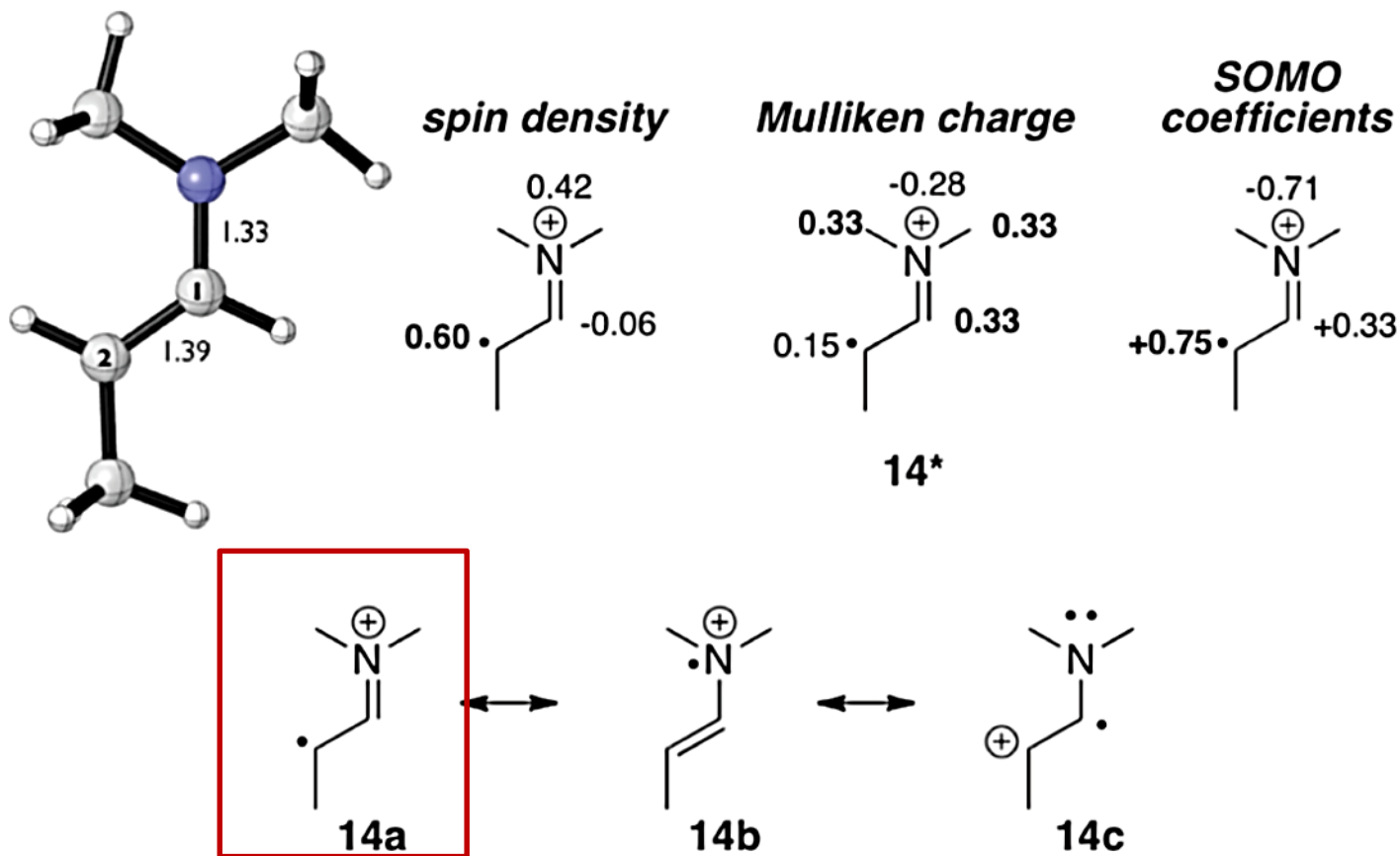


J. Am. Chem. Soc., **2010**, *132*, 5027-5029

For an extremely similar intramolecular *homo-ene reaction of aldehyde*, see:
J. Am. Chem. Soc., **2013**, *135*, 9358-9361

SOMO catalysis: Nature of Intermediates in Organo-SOMO Catalysis

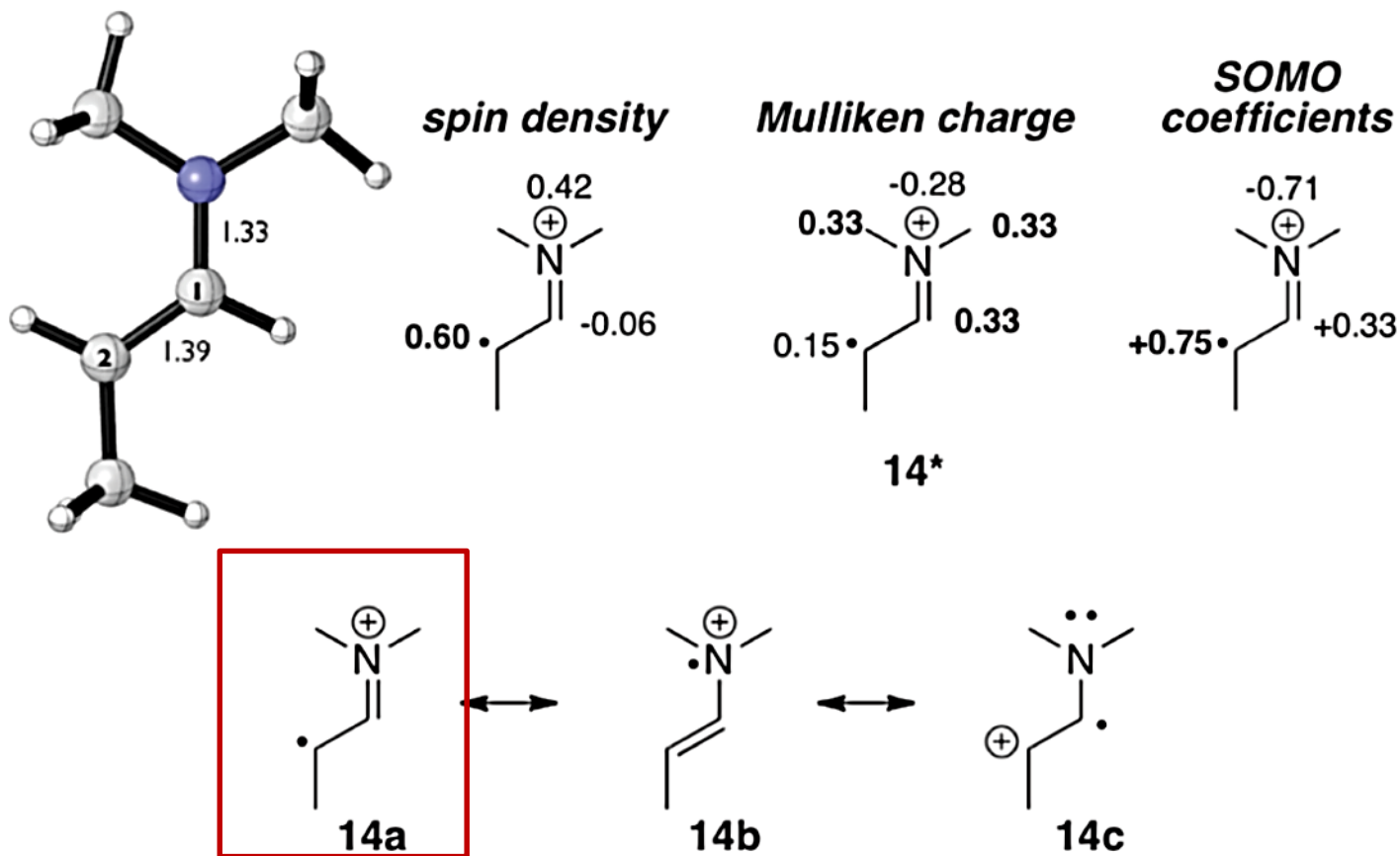
■ Calculation study



■ SOMO orbital is **mainly on the β -carbon**

SOMO catalysis: Nature of Intermediates in Organo-SOMO Catalysis

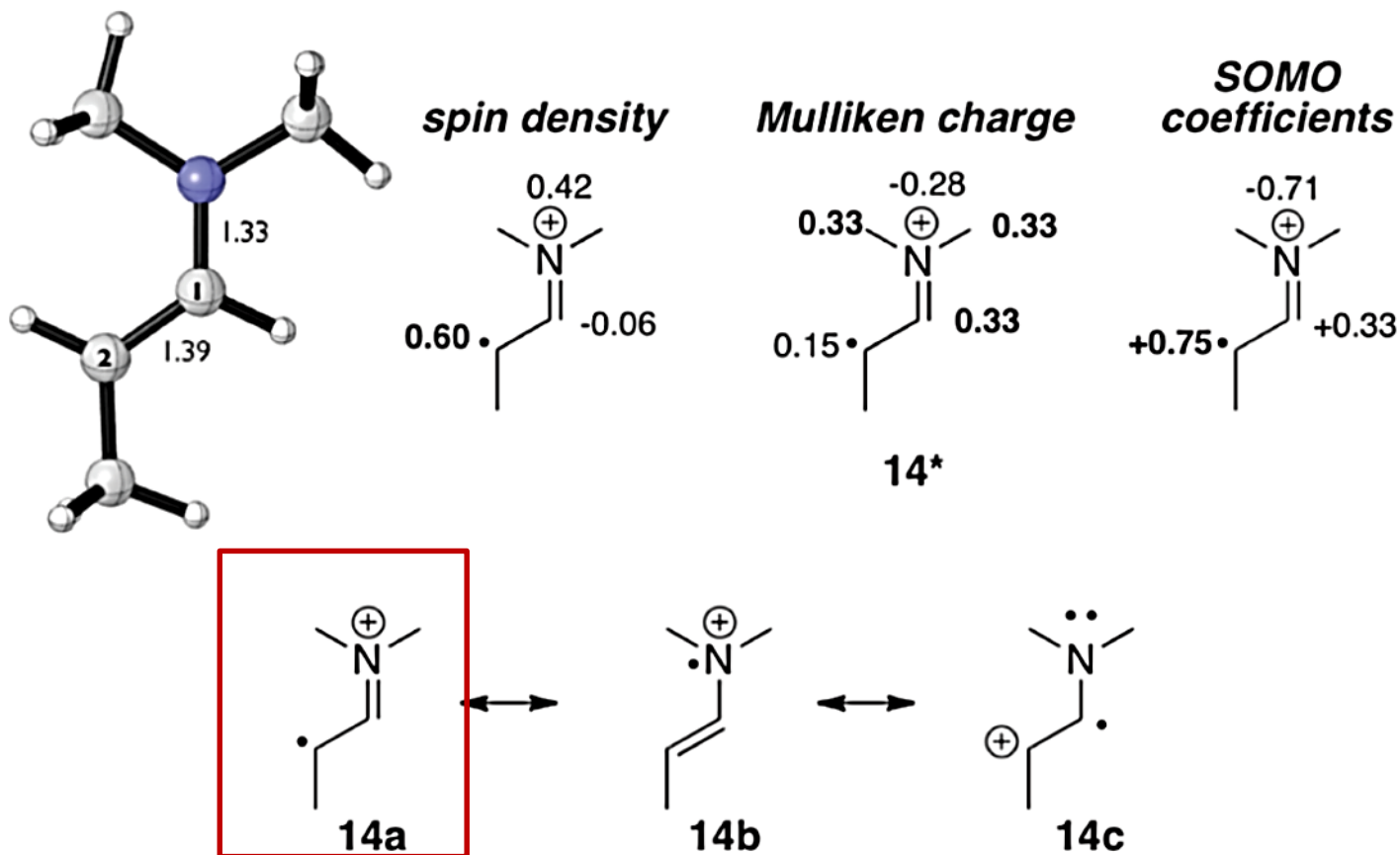
■ Calculation study



- SOMO orbital is **mainly on the β -carbon**
- can be best characterized as an **alkyl radical conjugated to an iminium cation**

SOMO catalysis: Nature of Intermediates in Organo-SOMO Catalysis

■ Calculation study



- SOMO orbital is **mainly on the β -carbon**
- can be best characterized as an **alkyl radical conjugated to an iminium cation**
- **consistent with the previous mechanism hypothesis**

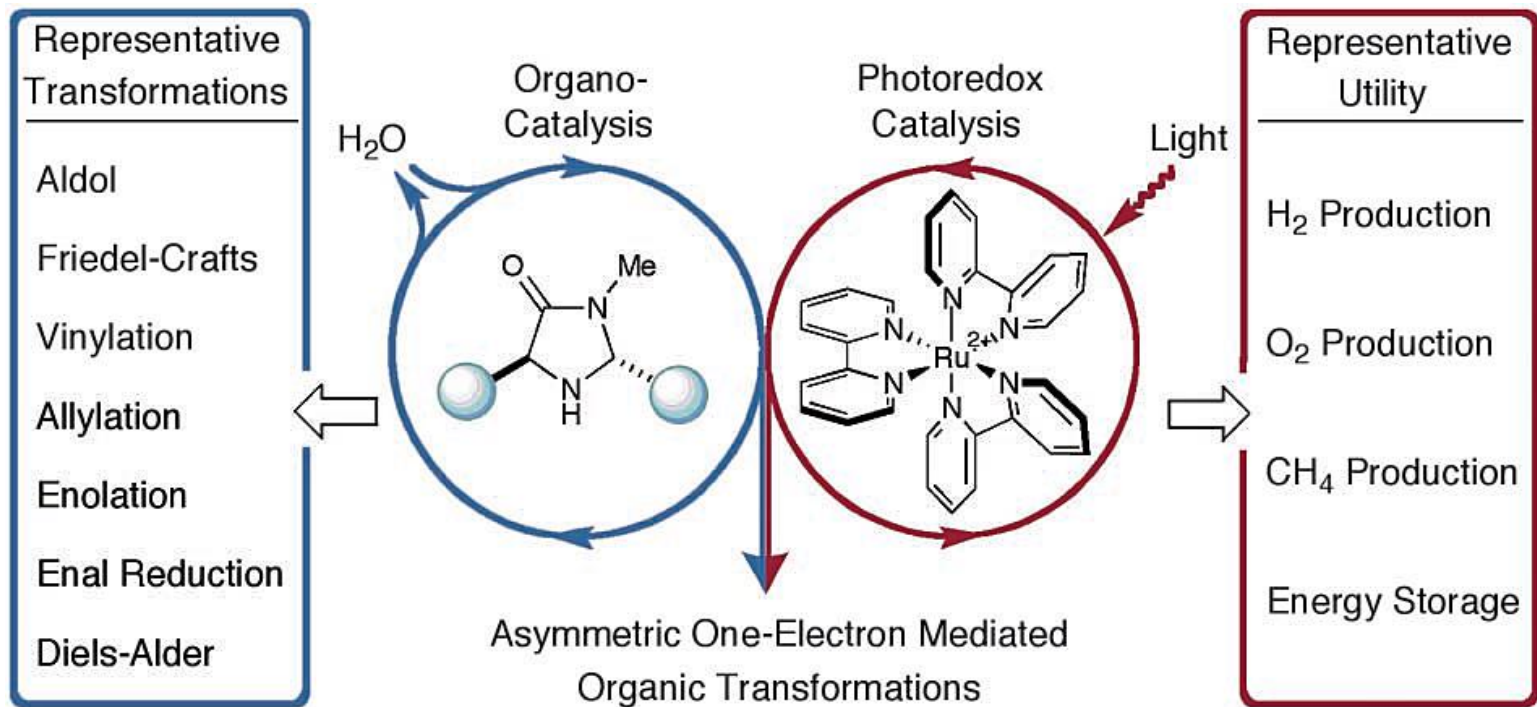
- 1 ***LUMO Catalysis***.....
- 2 ***HOMO Catalysis***.....
- 3 ***Cascade LUMO-HOMO Catalysis***.....
- 4 ***SOMO Catalysis***.....
- 5 ***Photoredox Organo Catalysis***.....
- 6 ***Photoredox Organo Catalysis (Type II)***.....
- 7 ***Summary***.....



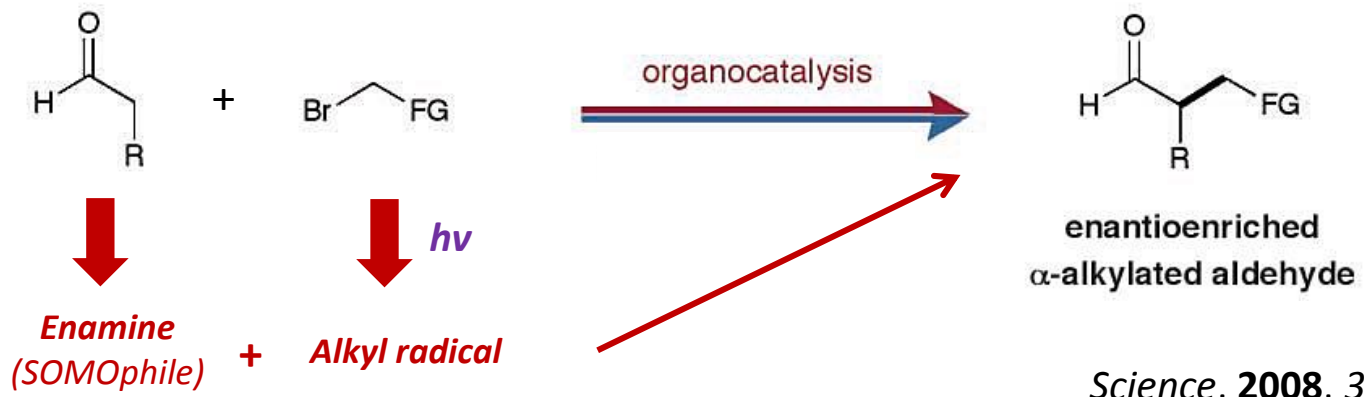
- 1 *LUMO Catalysis*
- 2 *HOMO Catalysis*
- 3 *Cascade LUMO-HOMO Catalysis*
- 4 *SOMO Catalysis*
- 5 ***Photoredox Organo Catalysis***
- 6 *Photoredox Organo Catalysis (Type II)*
- 7 *Summary*



New Catalysis: Merge the **Organo Catalyst** and the **Photo Redox Catalyst**

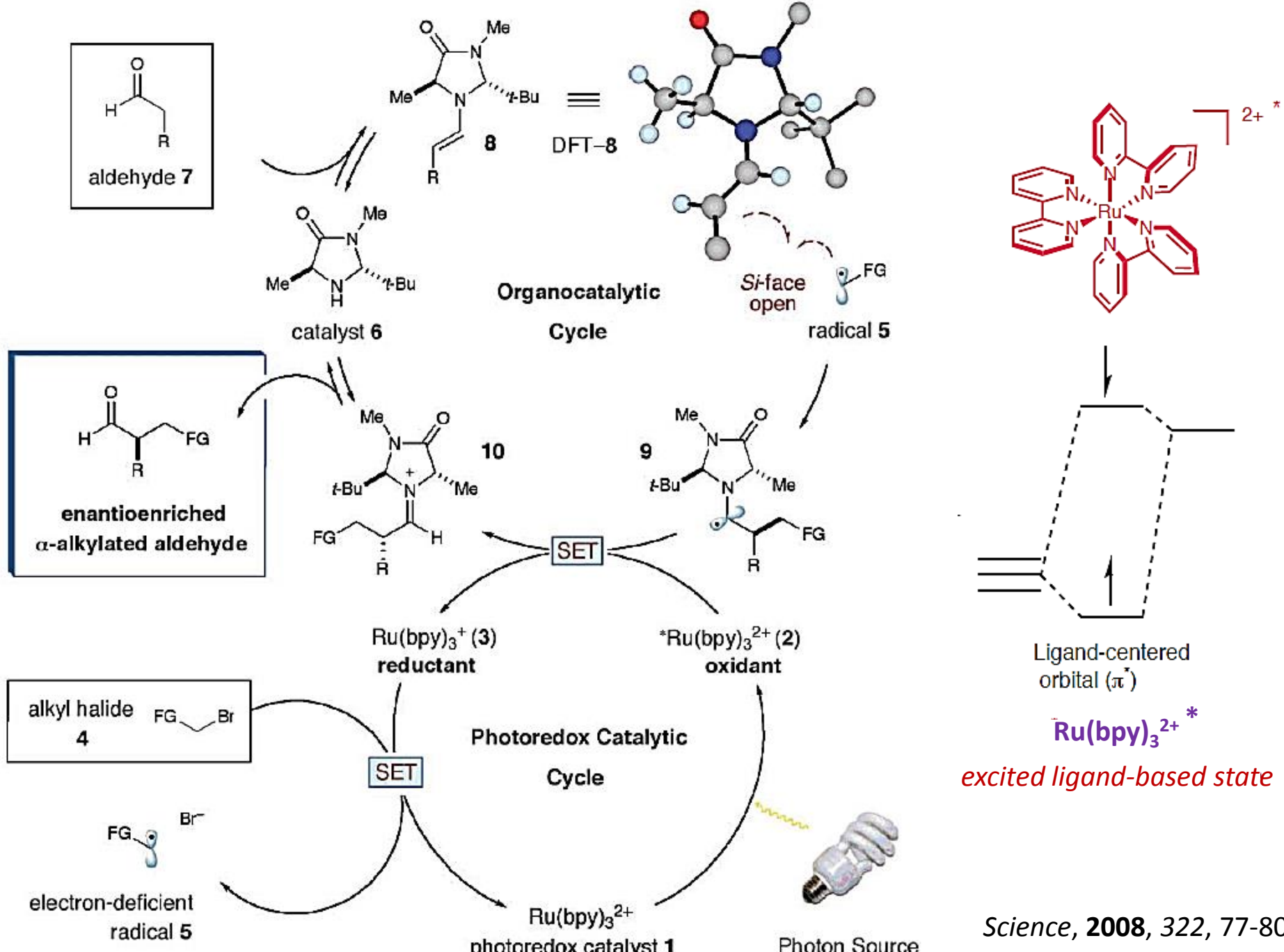


■ *Enantioselective Catalytic Carbonyl α -Alkylation: A brief design*



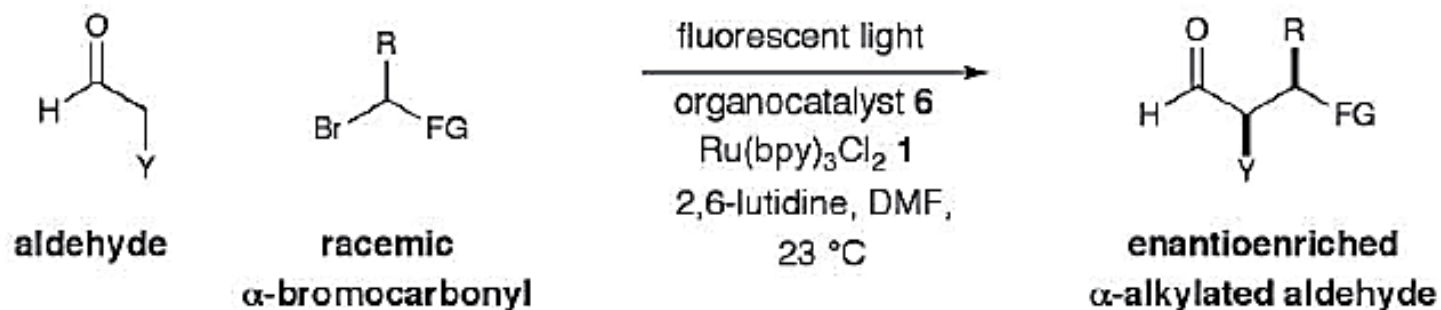
Science, 2008, 322, 77-80

New Catalysis: Merge the Organo Catalyst and the Photo Redox Catalyst

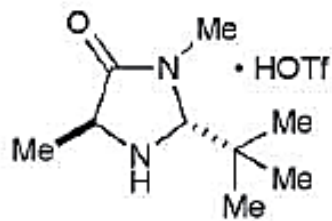


New Catalysis: Merge the Organo Catalyst and the Photo Redox Catalyst

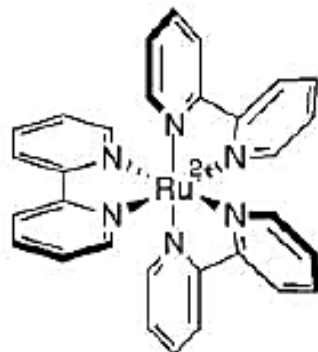
■ Enantioselective Catalytic Carbonyl α -Alkylation



Catalyst Combination



organocatalyst 6 (20 mol%)



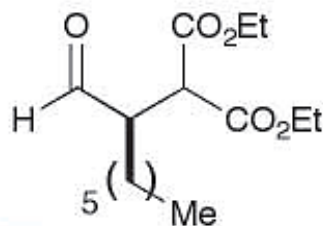
$\text{Ru}(\text{bpy})_3\text{Cl}_2$ 1 (0.5 mol%)

Photon Source

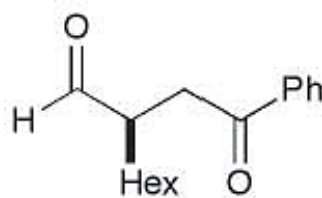


15 W fluorescent light bulb

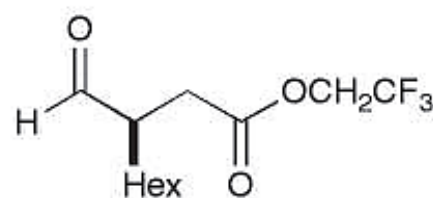
■ Substrate scope



93% yield, 90% ee



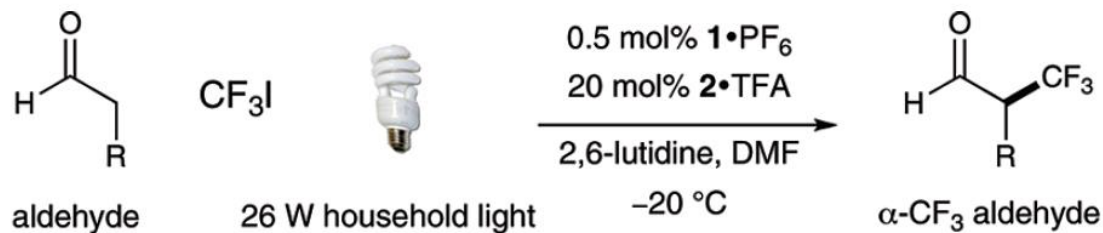
84% yield, 96% ee



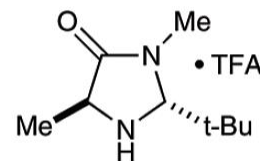
80% yield, 92% ee

Enantioselective Photo-redox Organo Catalysis

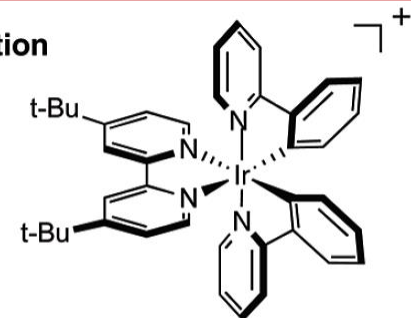
Enantioselective α -trifluoromethylation



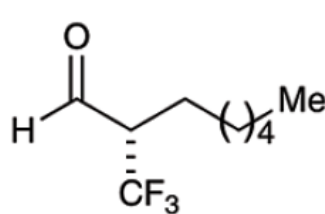
Catalyst Combination



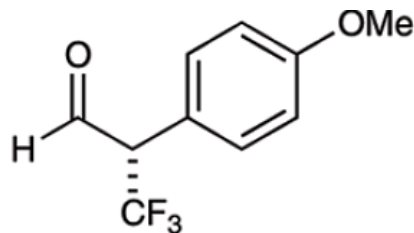
organocatalyst **2**
(20 mol%)



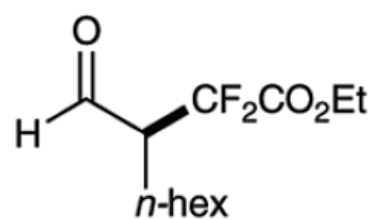
photocatalyst **1**
(0.5 mol%)



79% yield, 99% ee



61% yield, 93% ee

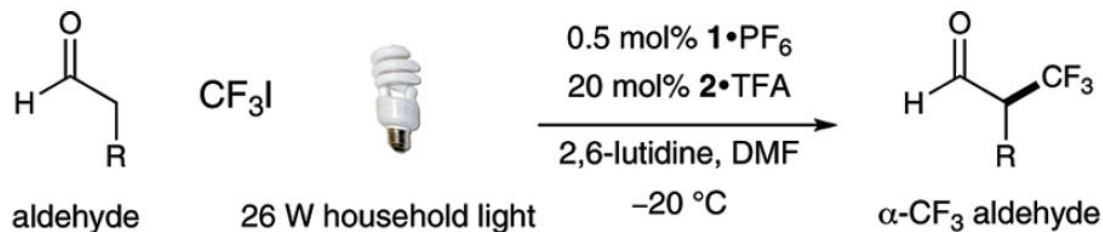


89% yield, 99% ee

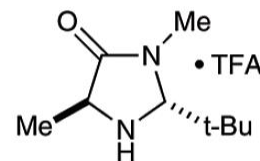
J. Am. Chem. Soc., **2009**, *131*, 10875-10877

Enantioselective Photo-redox Organo Catalysis

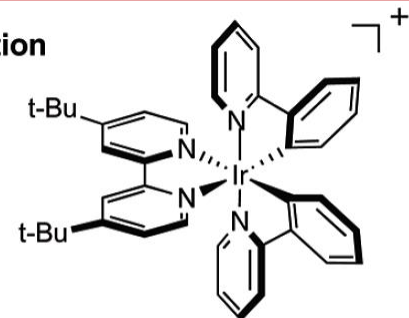
Enantioselective α -trifluoromethylation



Catalyst Combination



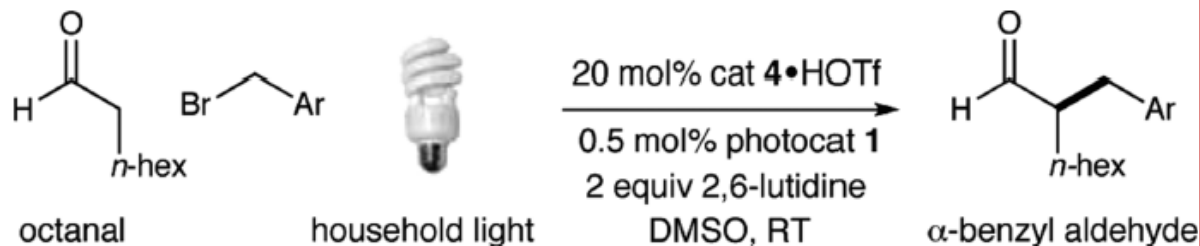
organocatalyst **2**
(20 mol%)



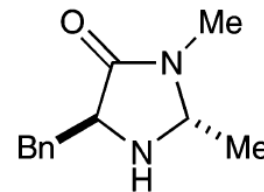
photocatalyst **1**
(0.5 mol%)

J. Am. Chem. Soc., **2009**, *131*, 10875-10877

Enantioselective α -benzylation



Catalyst Combination



catalyst **4**

fac-Ir(ppy)₃

Reductive potential
for excited state:

$E_{1/2} = -1.73\text{V}$

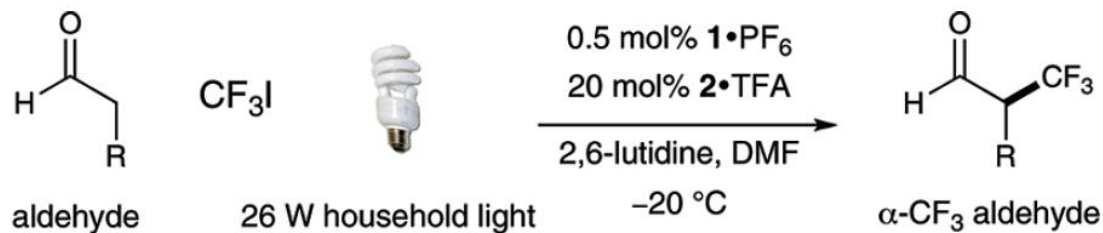
■ substrate: only electron deficient aromatic compound

entry	Ar	catalyst	% yield ^a	% ee ^b
1	Phenyl	9	0	ND
2	2,4-(NO ₂) ₂ C ₆ H ₃	9	74	97

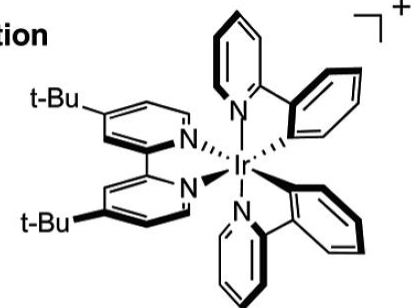
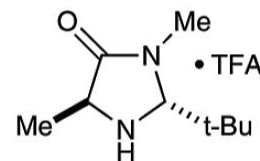
J. Am. Chem. Soc., **2010**, *132*, 13600-13603

Enantioselective Photo-redox Organo Catalysis

Enantioselective α -trifluoromethylation

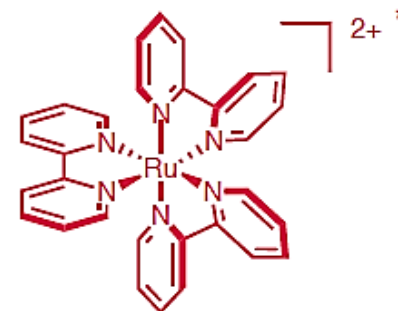
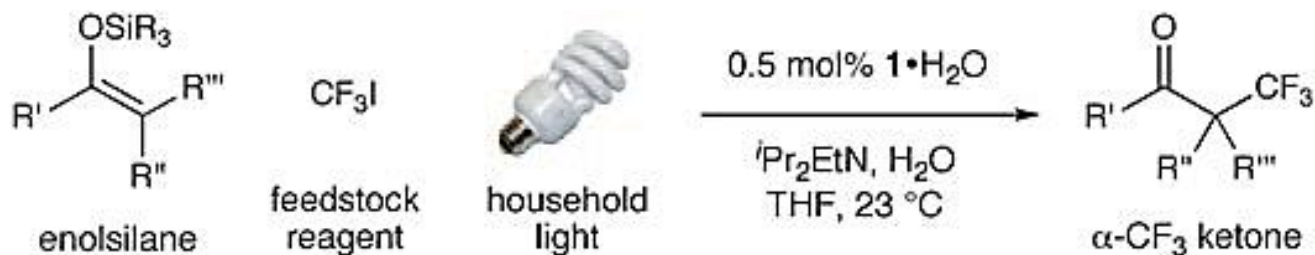


Catalyst Combination

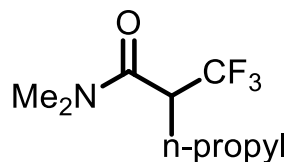


J. Am. Chem. Soc., **2009**, *131*, 10875-10877

Racemic α -trifluoromethylation: *Start from enolsilane*

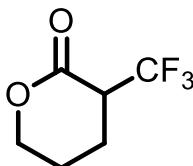


amide



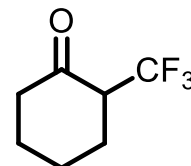
76 %

ester



85 %

ketone

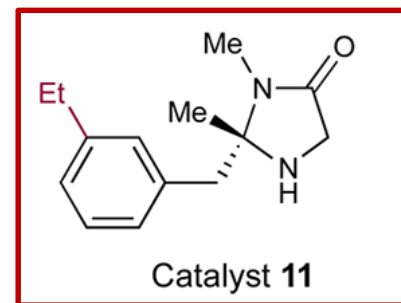
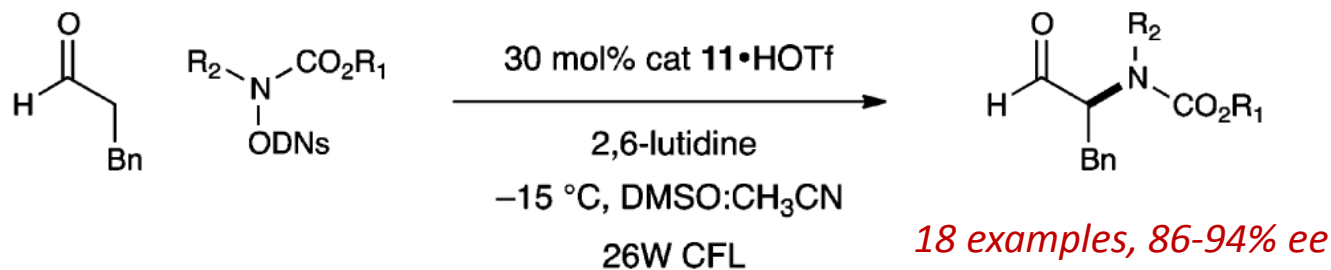


72 %

Angew. Chem. Int. Ed., **2011**, *50*, 6119-6122

Enantioselective Photo-redox Organo Catalysis

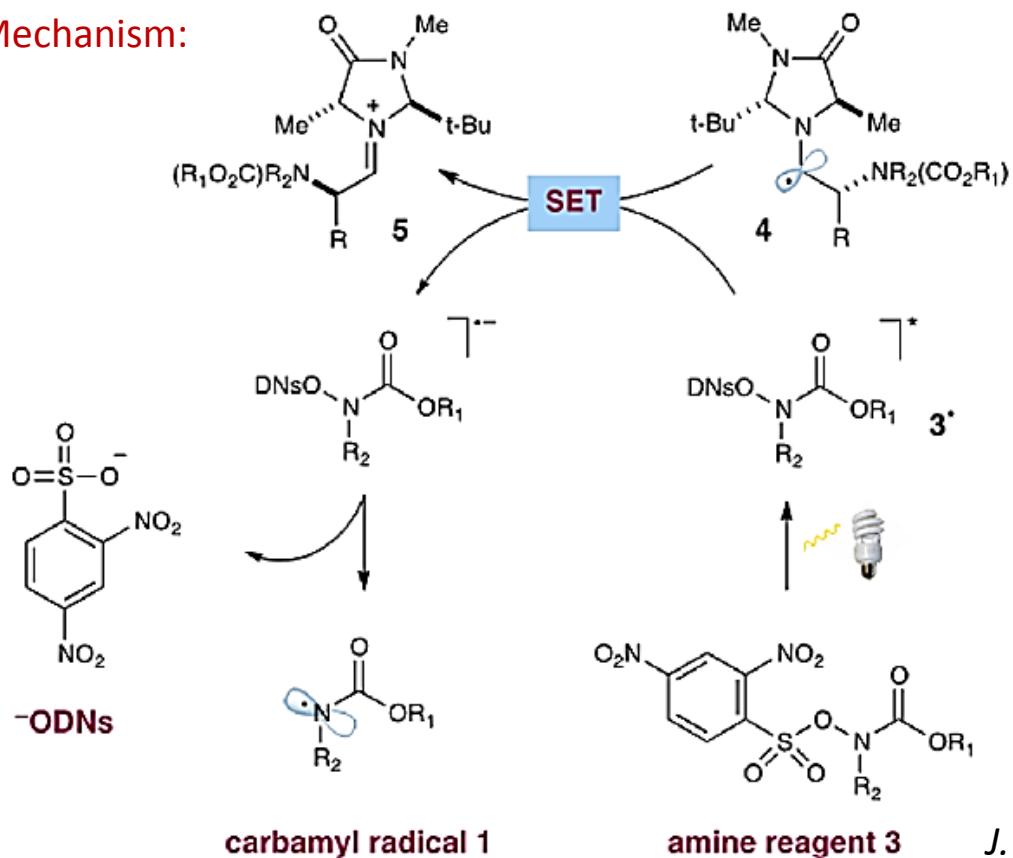
Enantioselective α -amination



■ ODNs = 2,4-dinitrophenylsulfonyloxy, **a photolabile LG**

■ $CO_2R_1 = CO_2Me, CBz, Boc$

■ Mechanism:



For the direct Coupling of α -Carbonyls with Functionalized Amines, see:

J. Am. Chem. Soc., **2013**, 135, 16074-16077

J. Am. Chem. Soc., **2013**, 135, 11521-11524

- 1 ***LUMO Catalysis***.....
- 2 ***HOMO Catalysis***.....
- 3 ***Cascade LUMO-HOMO Catalysis***.....
- 4 ***SOMO Catalysis***.....
- 5 ***Photoredox Organo Catalysis***.....
- 6 ***Photoredox Organo Catalysis (Type II)***.....
- 7 ***Summary***.....



1 *LUMO Catalysis*

2 *HOMO Catalysis*

3 *Cascade LUMO-HOMO Catalysis*

4 *SOMO Catalysis*

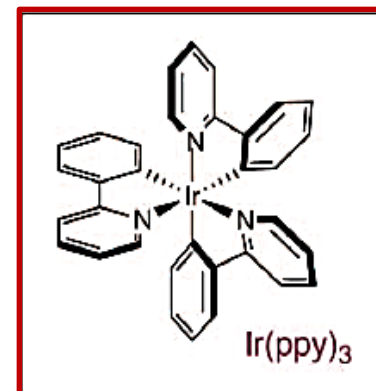
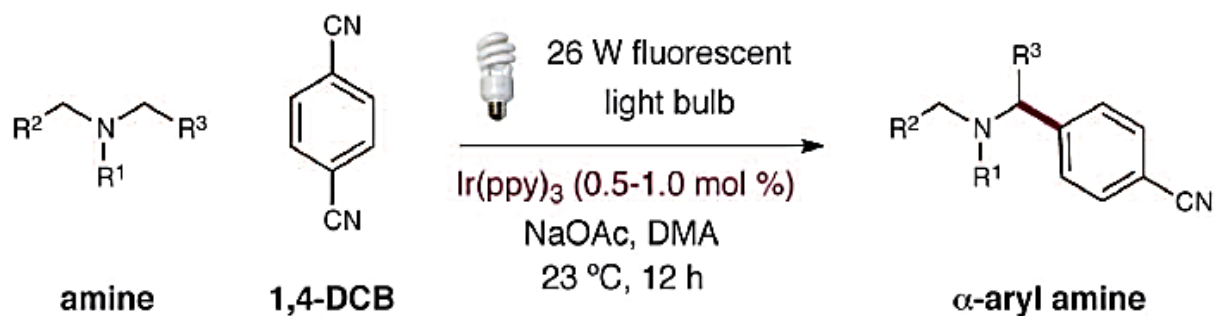
5 *Photoredox Organo Catalysis*

6 ***Photoredox Organo Catalysis (Type II)***

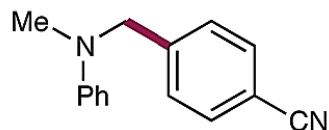
7 *Summary*



Photo-redox Catalysis: a different type & via high throughput screening



Initial Result



2, 11%

$\text{Ir(ppy)}_2(\text{dtbbpy})\text{PF}_6^-$
0.5 mol%
 Na_2CO_3 , DMF, 23 °C,
26 W Lamp

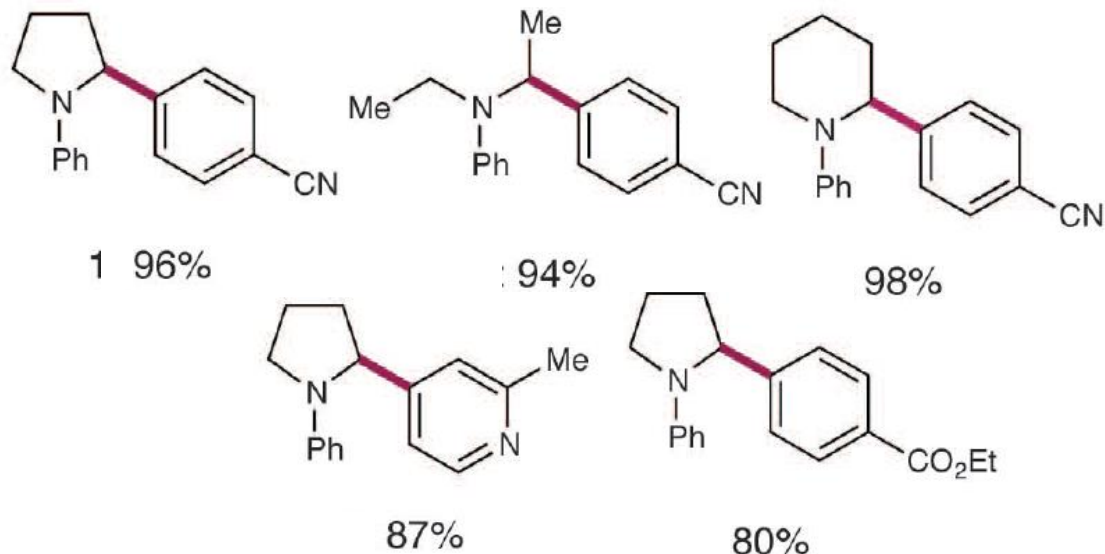


Photo-redox Catalysis: a different type & via high throughput screening

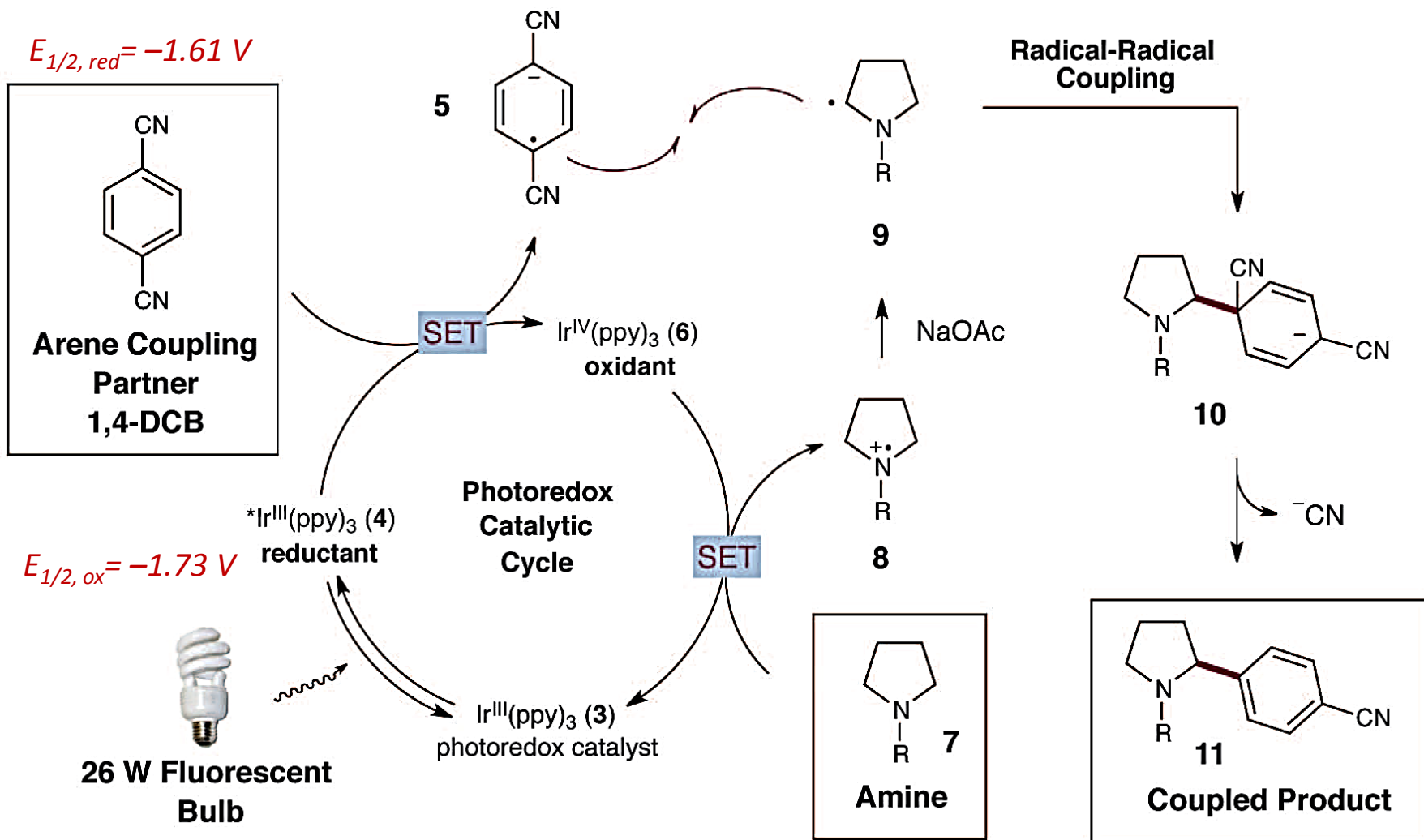


Photo-redox Catalysis: for β -functionalization

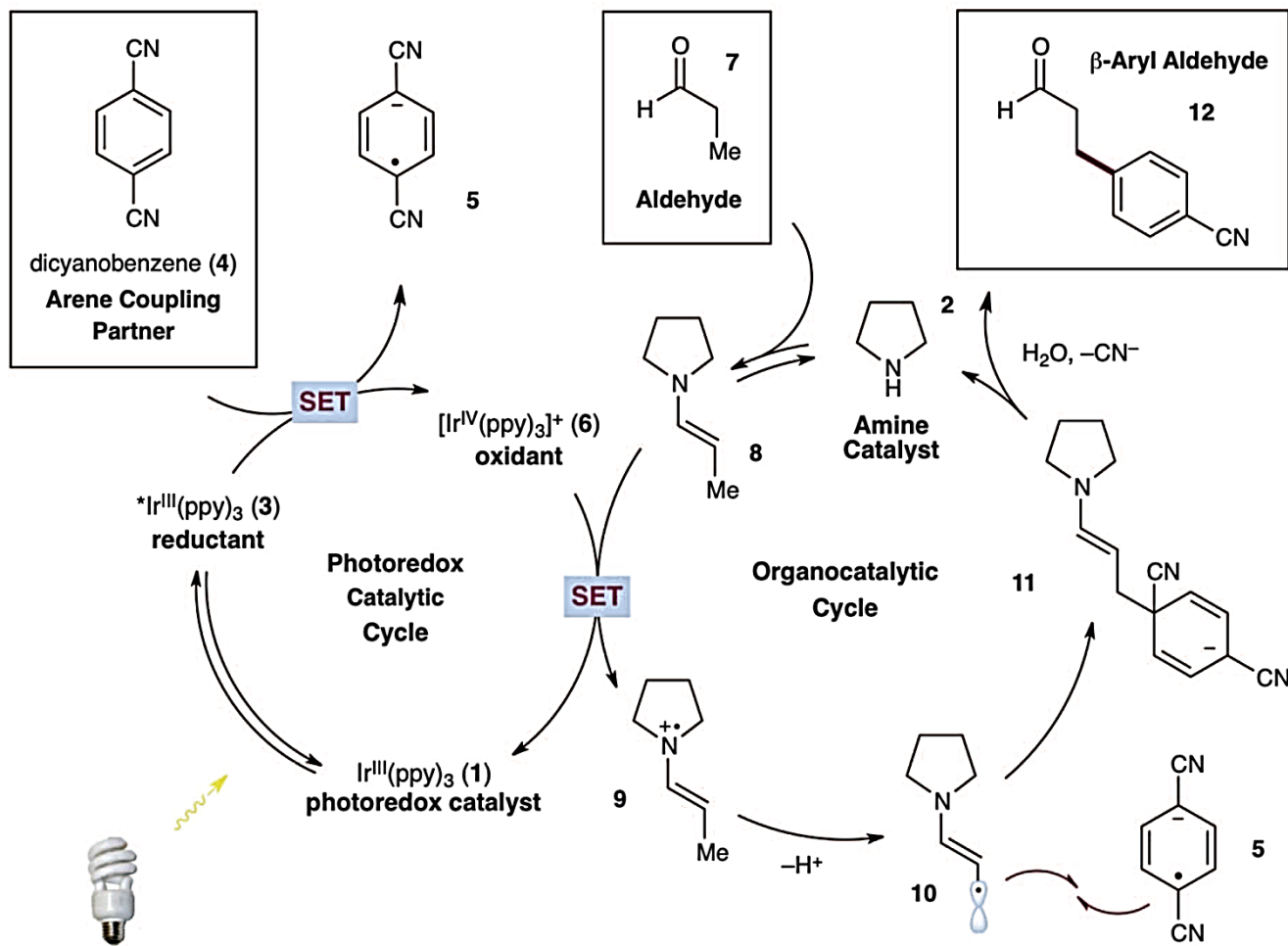
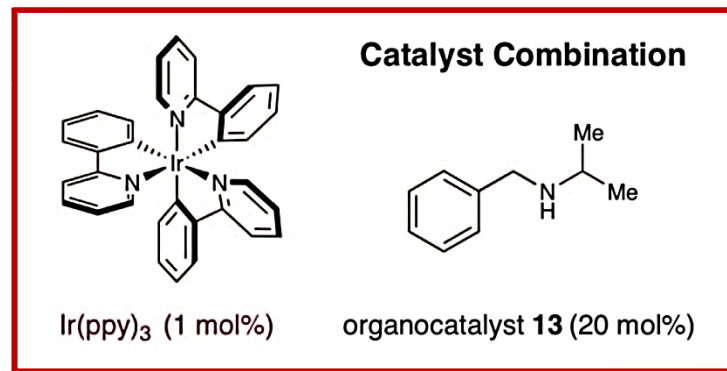
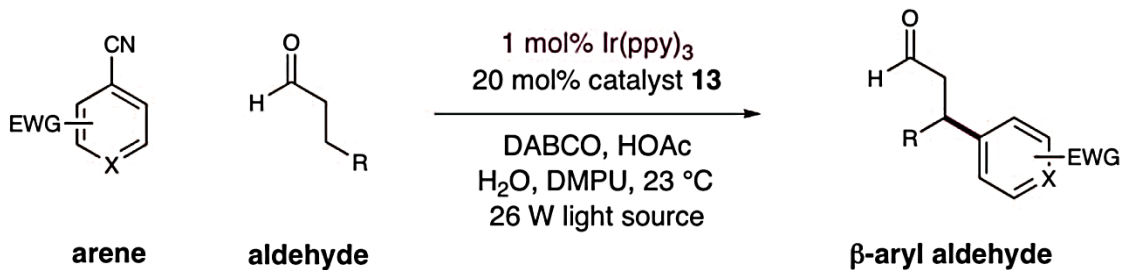
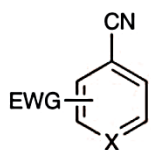
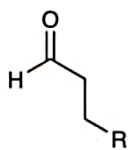


Photo-redox Catalysis: for β -functionalization



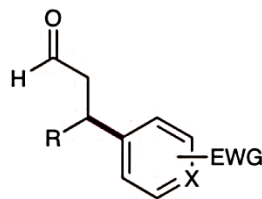
arene



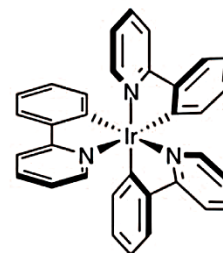
aldehyde

1 mol% Ir(ppy)₃
20 mol% catalyst **13**

DABCO, HOAc
H₂O, DMPU, 23 °C
26 W light source

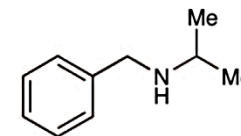


β -aryl aldehyde

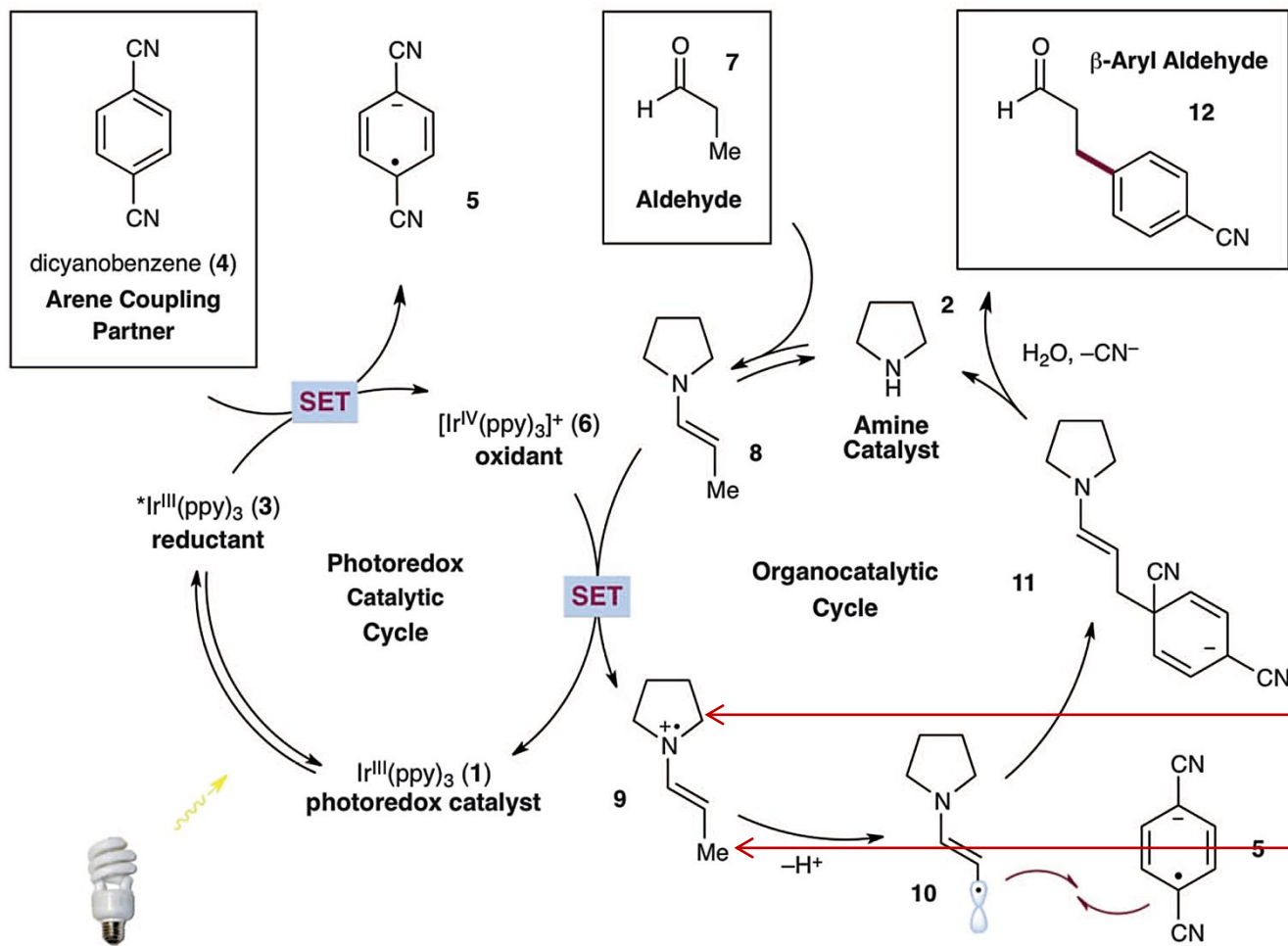


Ir(ppy)₃ (1 mol%)

Catalyst Combination

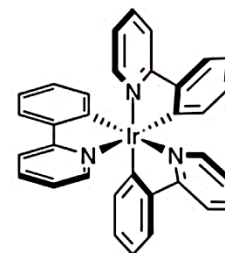
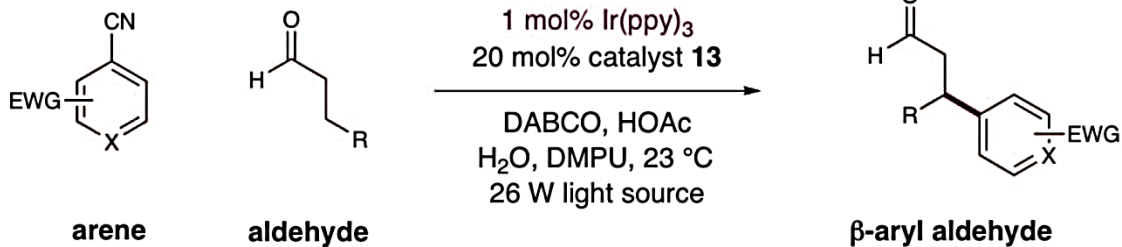


organocatalyst **13** (20 mol%)



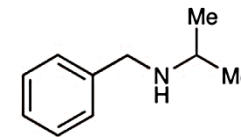
deprotonation prefer the **allyl position** rather than the α -position

Photo-redox Catalysis: for β -functionalization



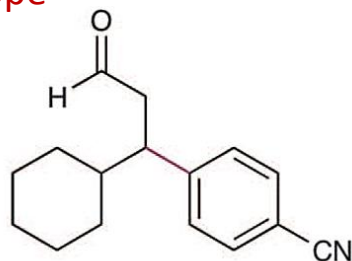
Ir(ppy)₃ (1 mol%)

Catalyst Combination

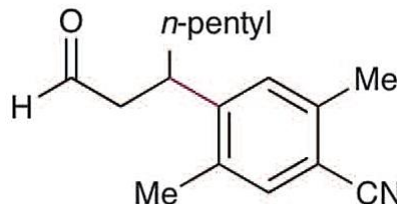


organocatalyst **13** (20 mol%)

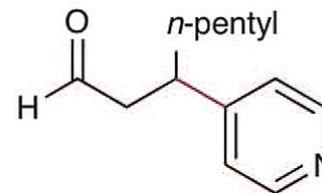
Substrate scope



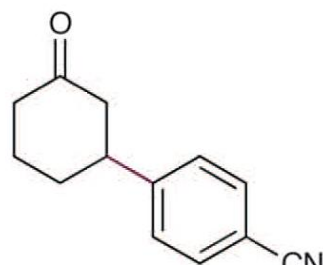
79 %



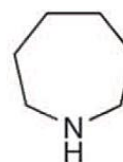
78 %



70 %



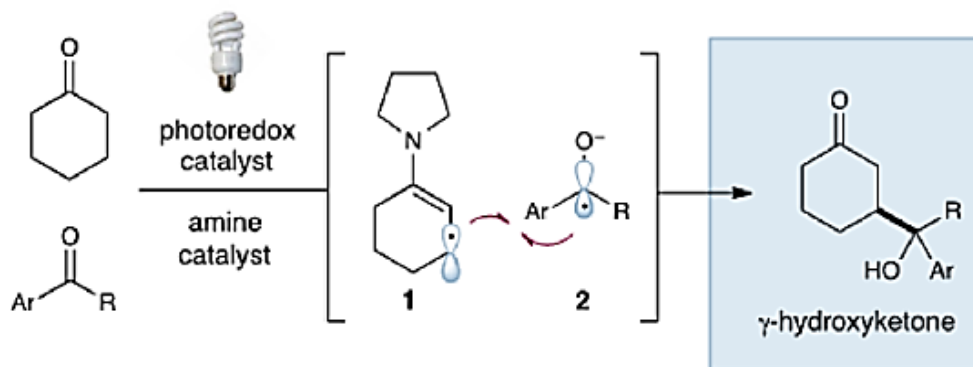
(\pm)-**36**: 88%



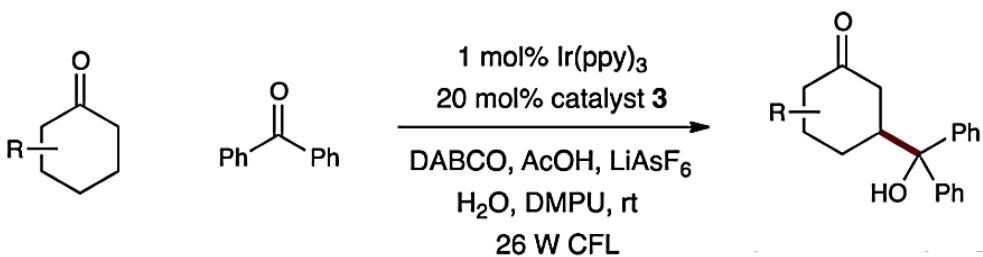
For cyclic ketone

azepane
(20 mol%)

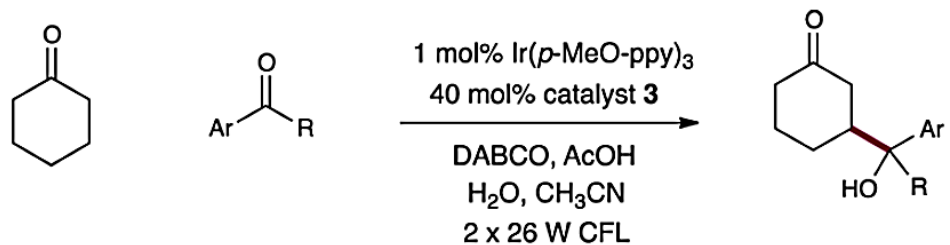
Photo-redox Catalysis: β -functionalization



■ For benzophenone substrate



■ For arylalkylketone substrate



J. Am. Chem. Soc. **2013**, *135*, 18323–18326

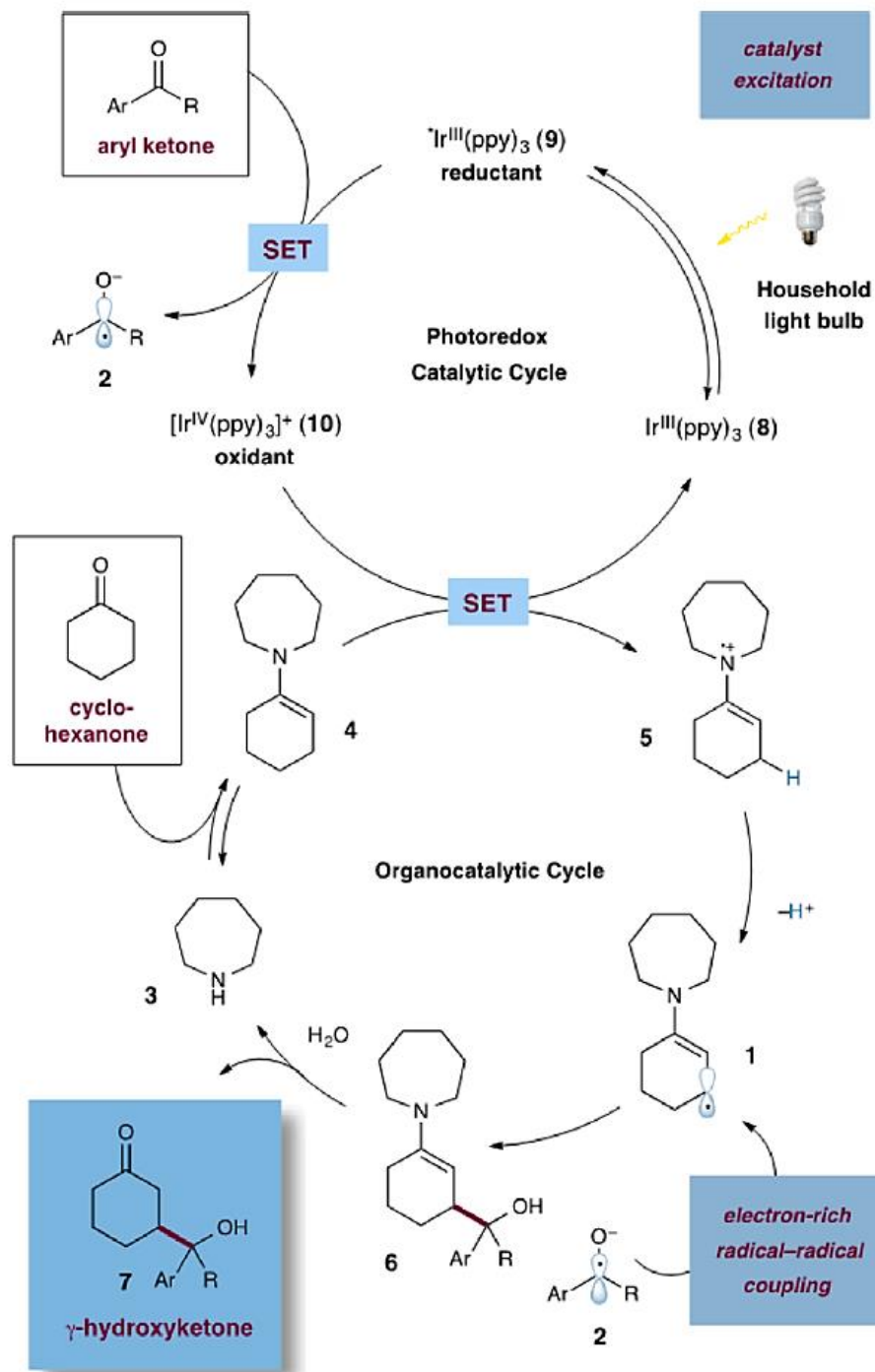
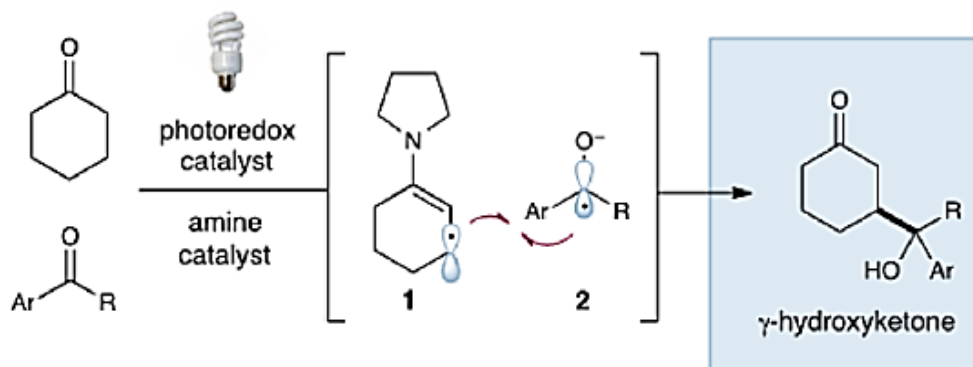
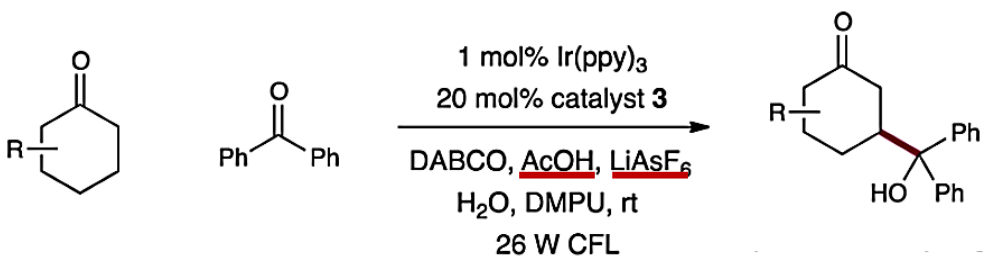


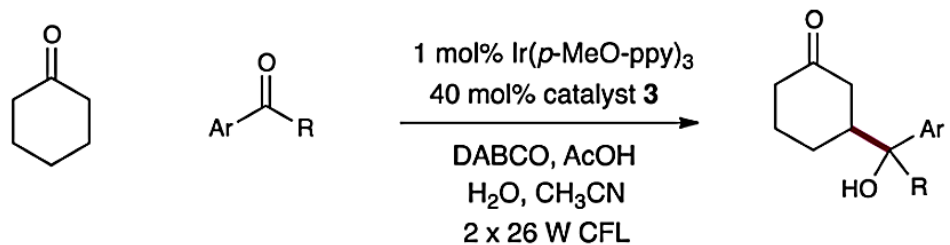
Photo-redox Catalysis: β -functionalization



■ For benzophenone substrate



■ For arylalkylketone substrate



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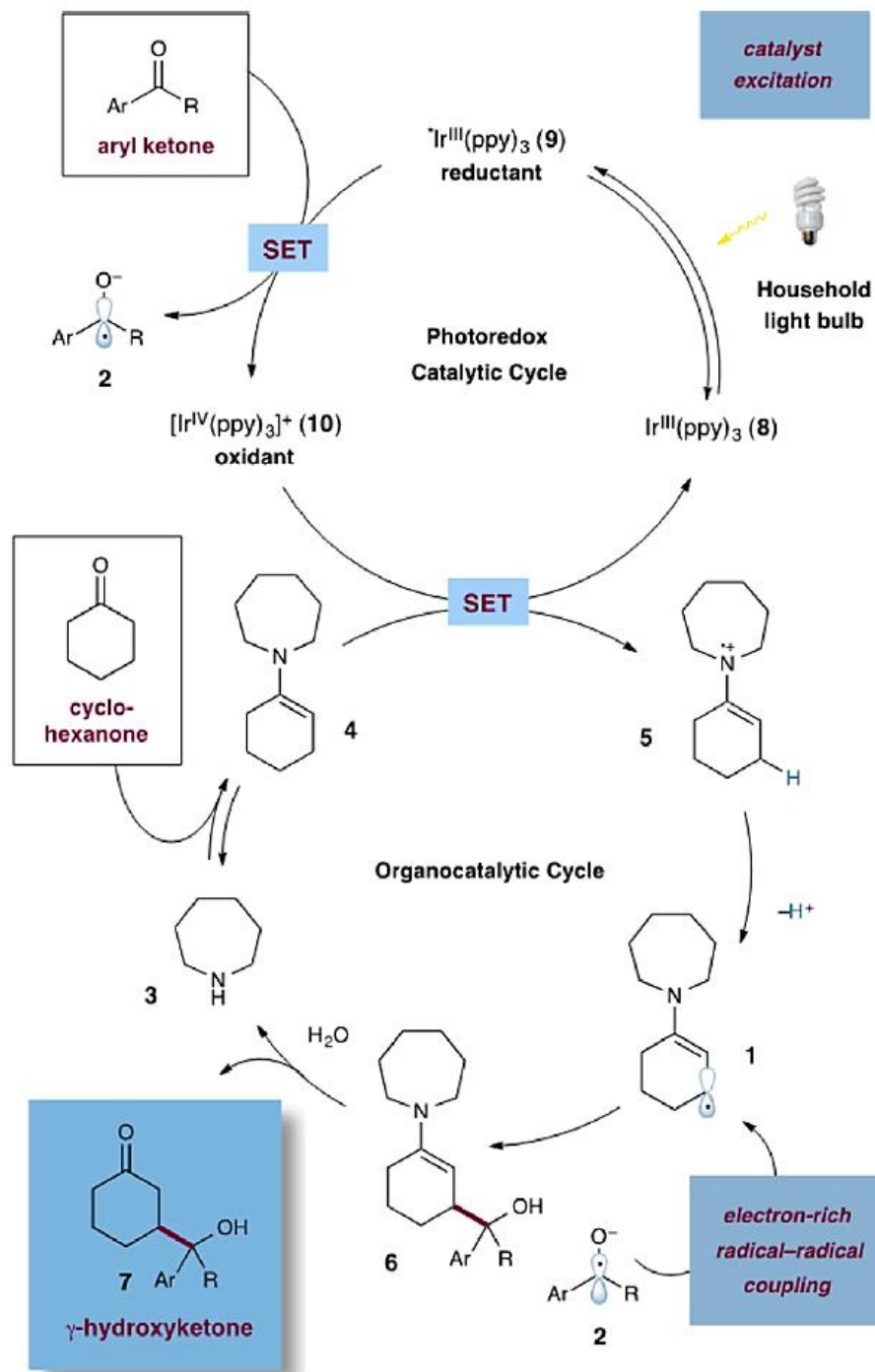
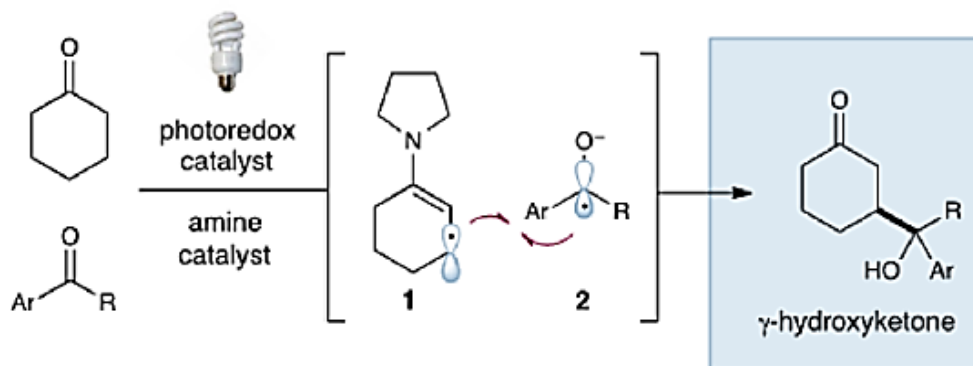
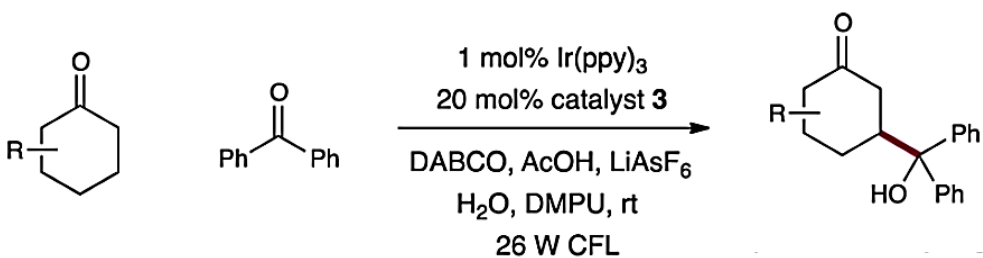


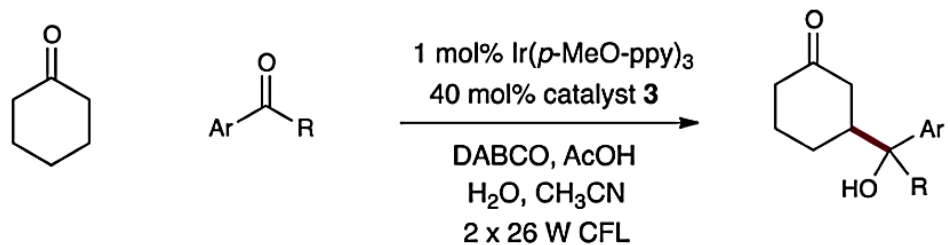
Photo-redox Catalysis: β -functionalization



■ For benzophenone substrate



■ For arylalkylketone substrate



■ Substrate scope

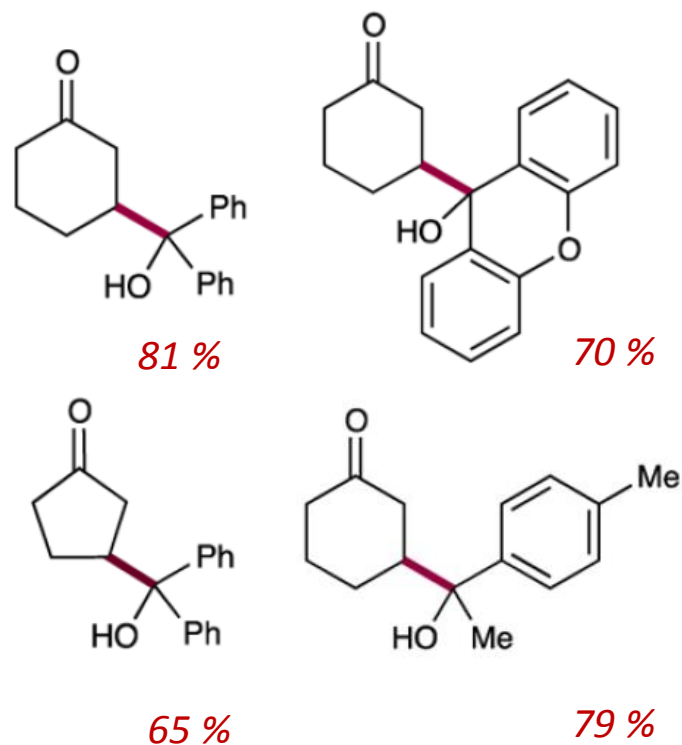
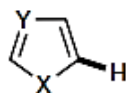
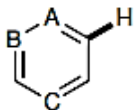


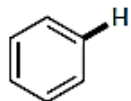
Photo-redox Catalysis: Arene Trifluoromethylation



Five-atom heteroarenes



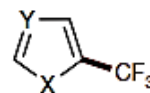
Six-atom heteroarenes



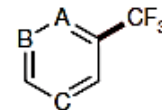
Unactivated arenes

$\text{CF}_3\text{SO}_2\text{Cl}$ (1–4 equiv.)
Photocatalyst (1–2%)

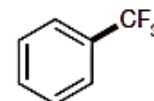
K_2HPO_4 , MeCN, 23 °C
26-W light source



Five-atom CF_3 heteroarenes



Six-atom CF_3 heteroarenes

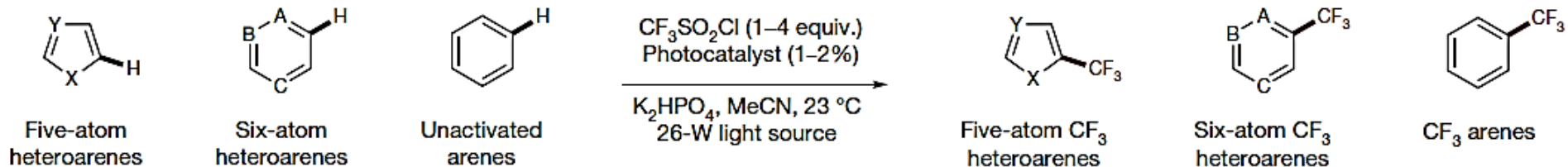


CF_3 arenes

Photocatalyst = $\text{Ru}(\text{phen})_3\text{Cl}_2$

CF_3 radical comes from $\text{CF}_3\text{SO}_2\text{Cl}$

Photo-redox Catalysis: Arene Trifluoromethylation



Proposed mechanism:

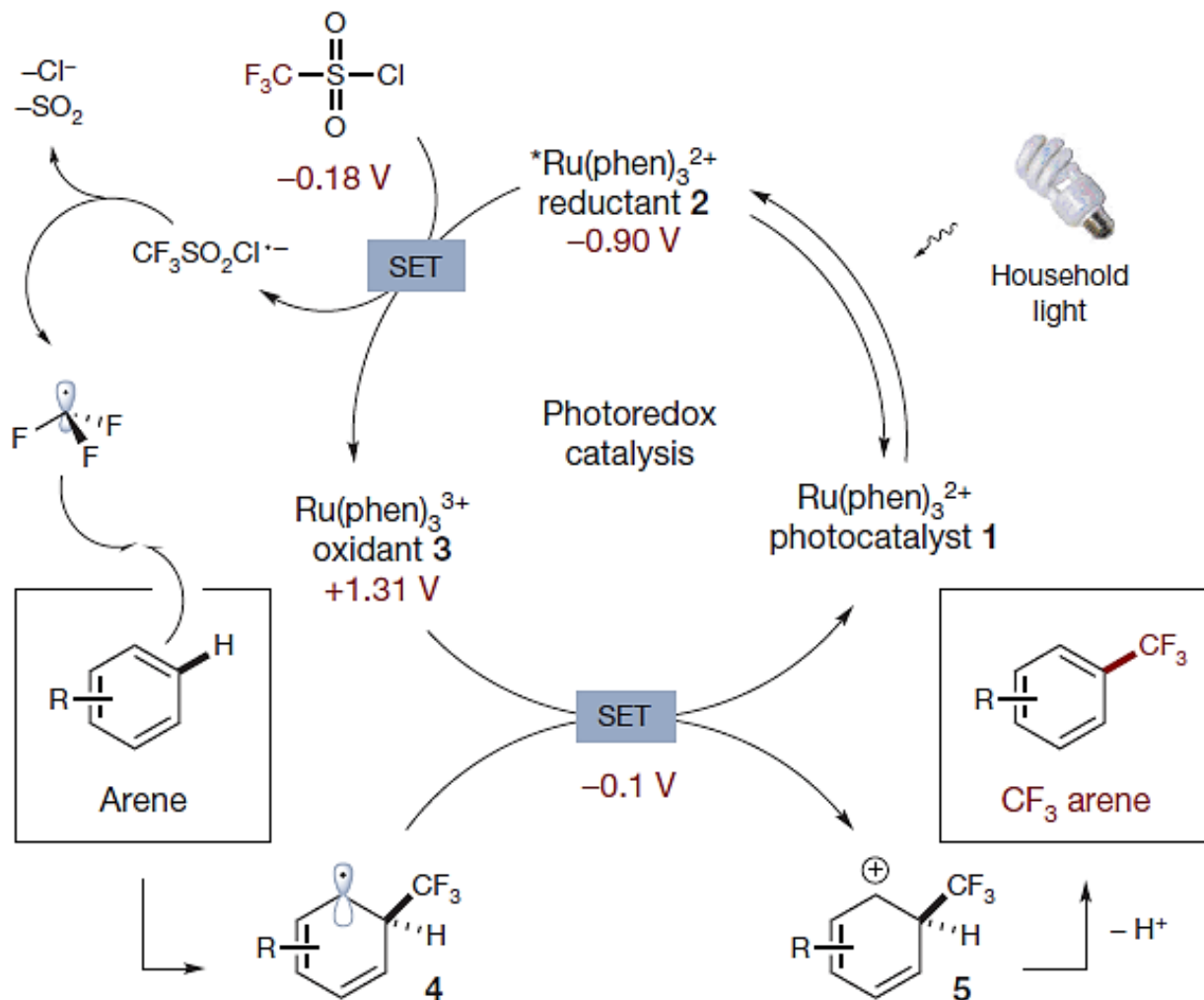
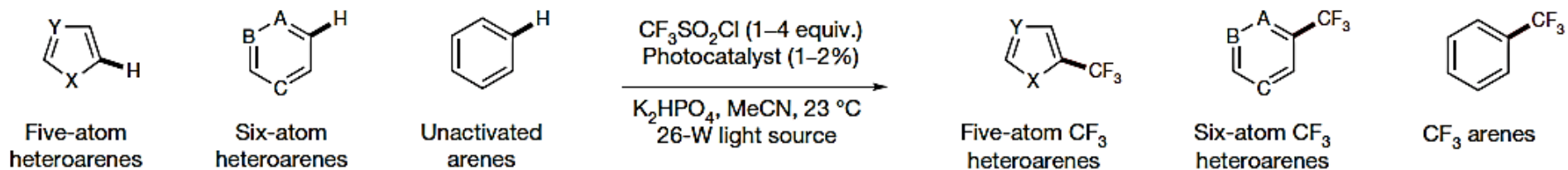


Photo-redox Catalysis: Arene Trifluoromethylation



Substrate scope: *hetero arene & electron-rich arene*

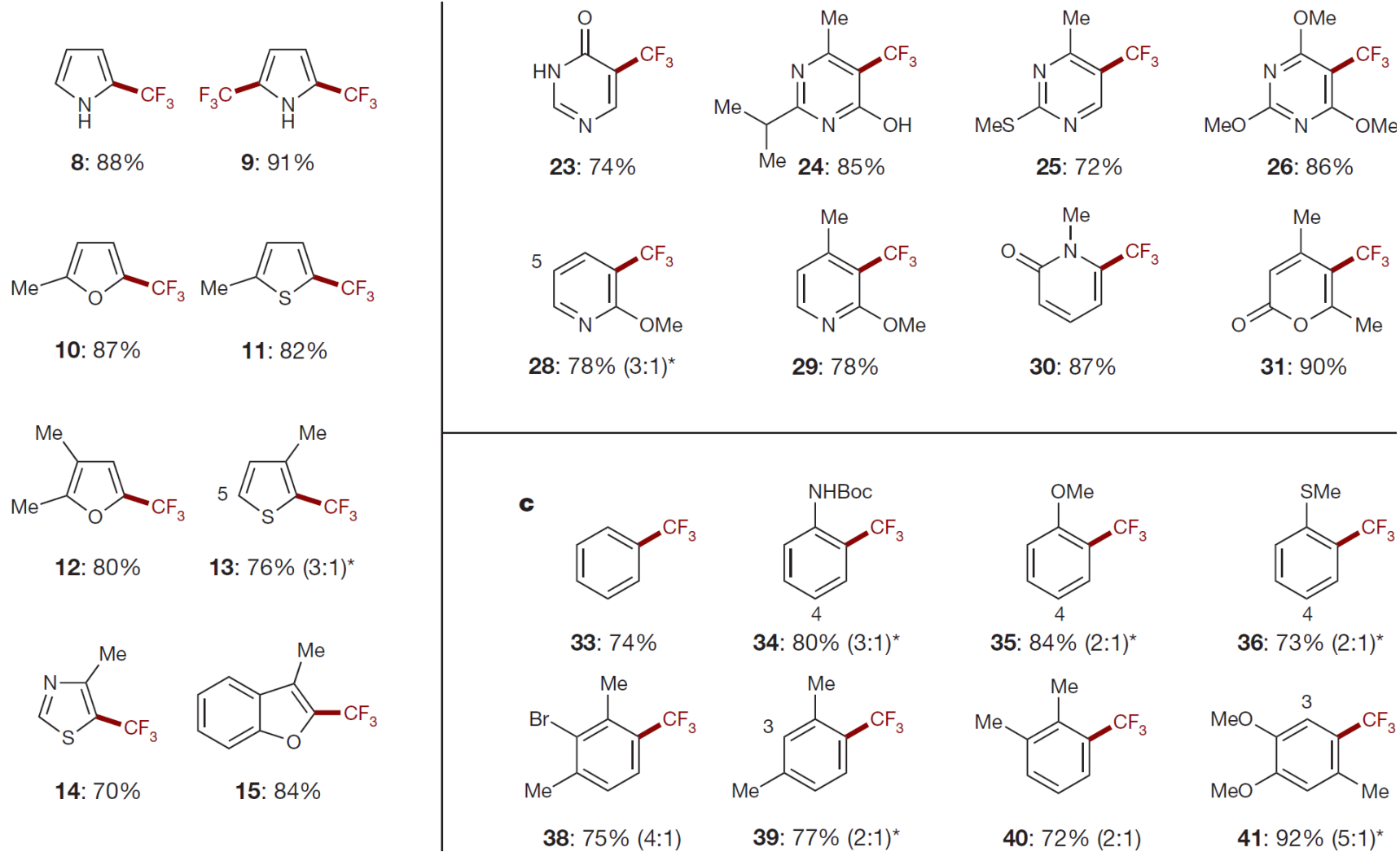
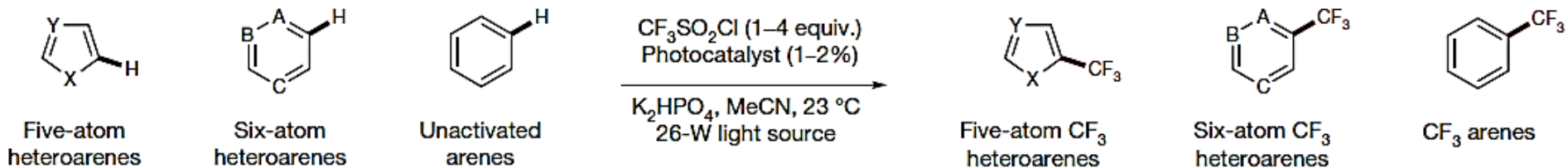


Photo-redox Catalysis: Arene Trifluoromethylation



Substrate scope: *hetero arene & electron-rich arene*

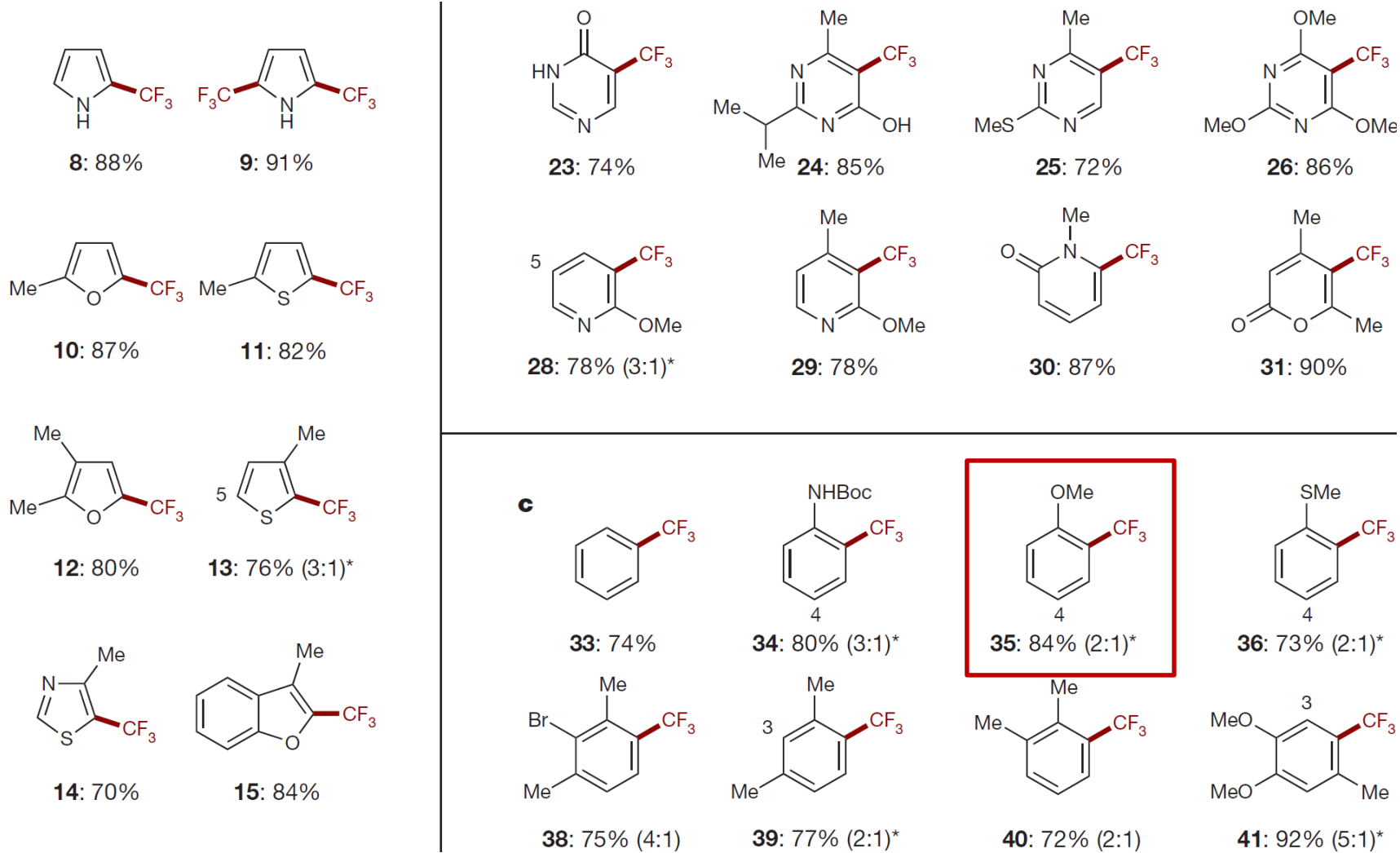
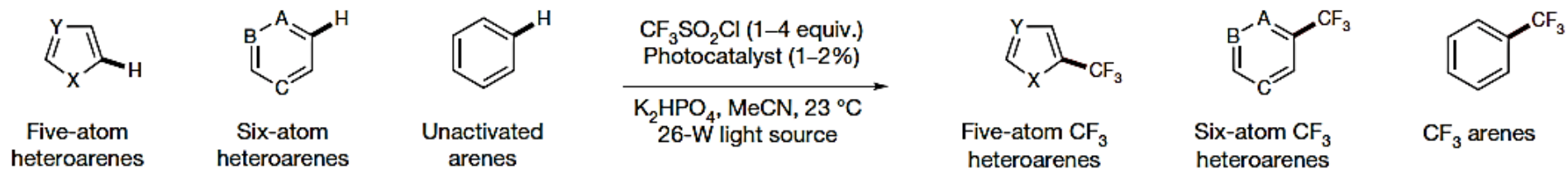
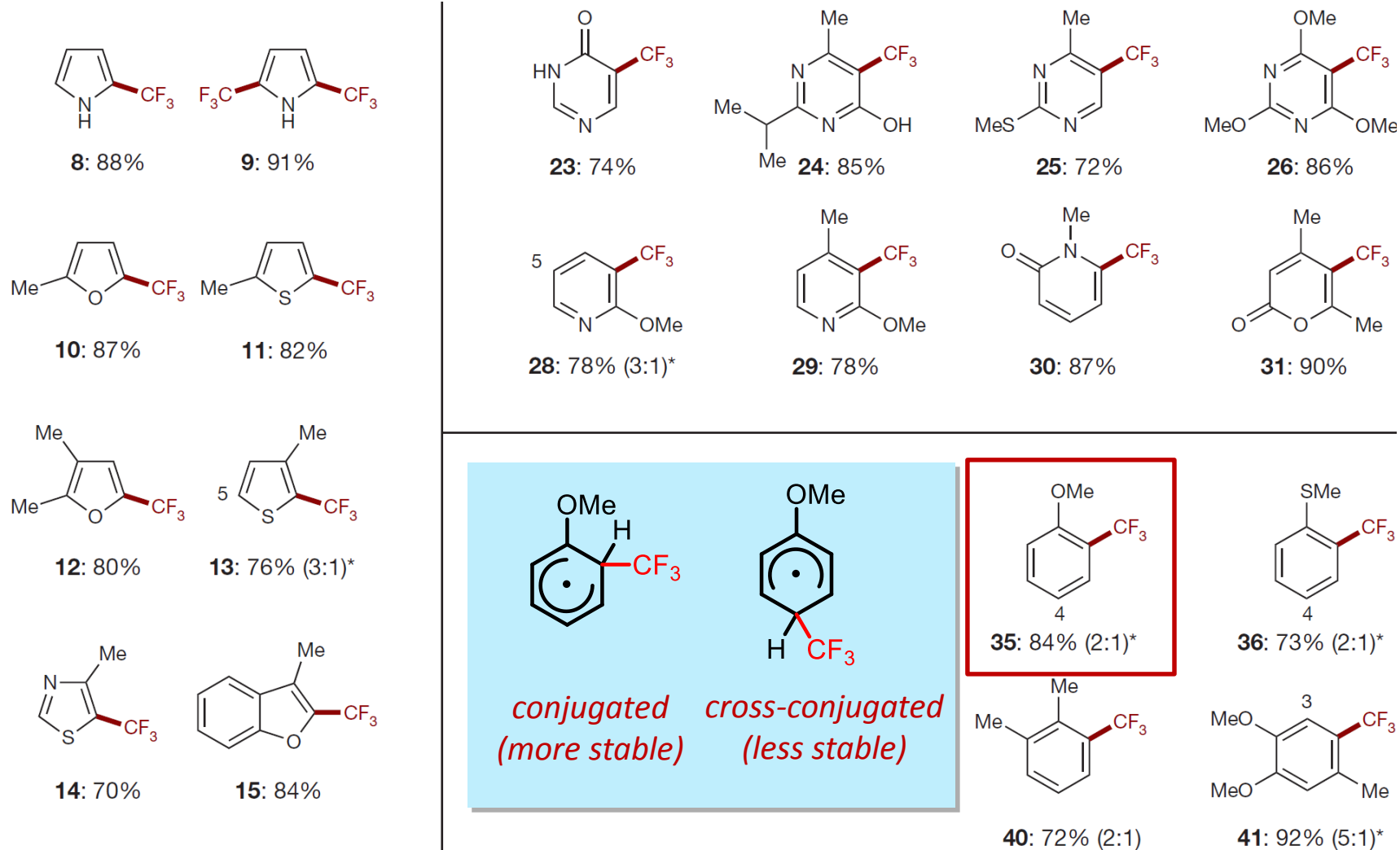


Photo-redox Catalysis: Arene Trifluoromethylation



Substrate scope: *hetero arene & electron-rich arene*



- 1 ***LUMO Catalysis***.....
- 2 ***HOMO Catalysis***.....
- 3 ***Cascade LUMO-HOMO Catalysis***.....
- 4 ***SOMO Catalysis***.....
- 5 ***Photoredox Organo Catalysis***.....
- 6 ***Photoredox Organo Catalysis (Type II)***.....
- 7 ***Summary***.....



1

LUMO Catalysis

2

HOMO Catalysis

3

Cascade LUMO-HOMO Catalysis

4

SOMO Catalysis

5

Photoredox Organo Catalysis

6

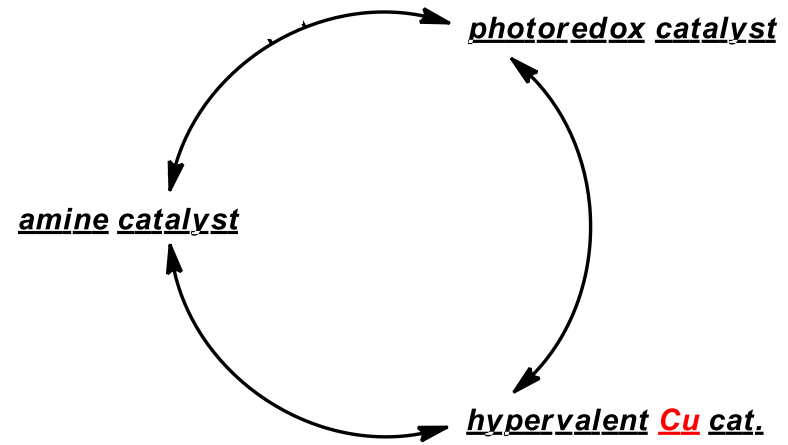
Photoredox Organo Catalysis (Type II)

7

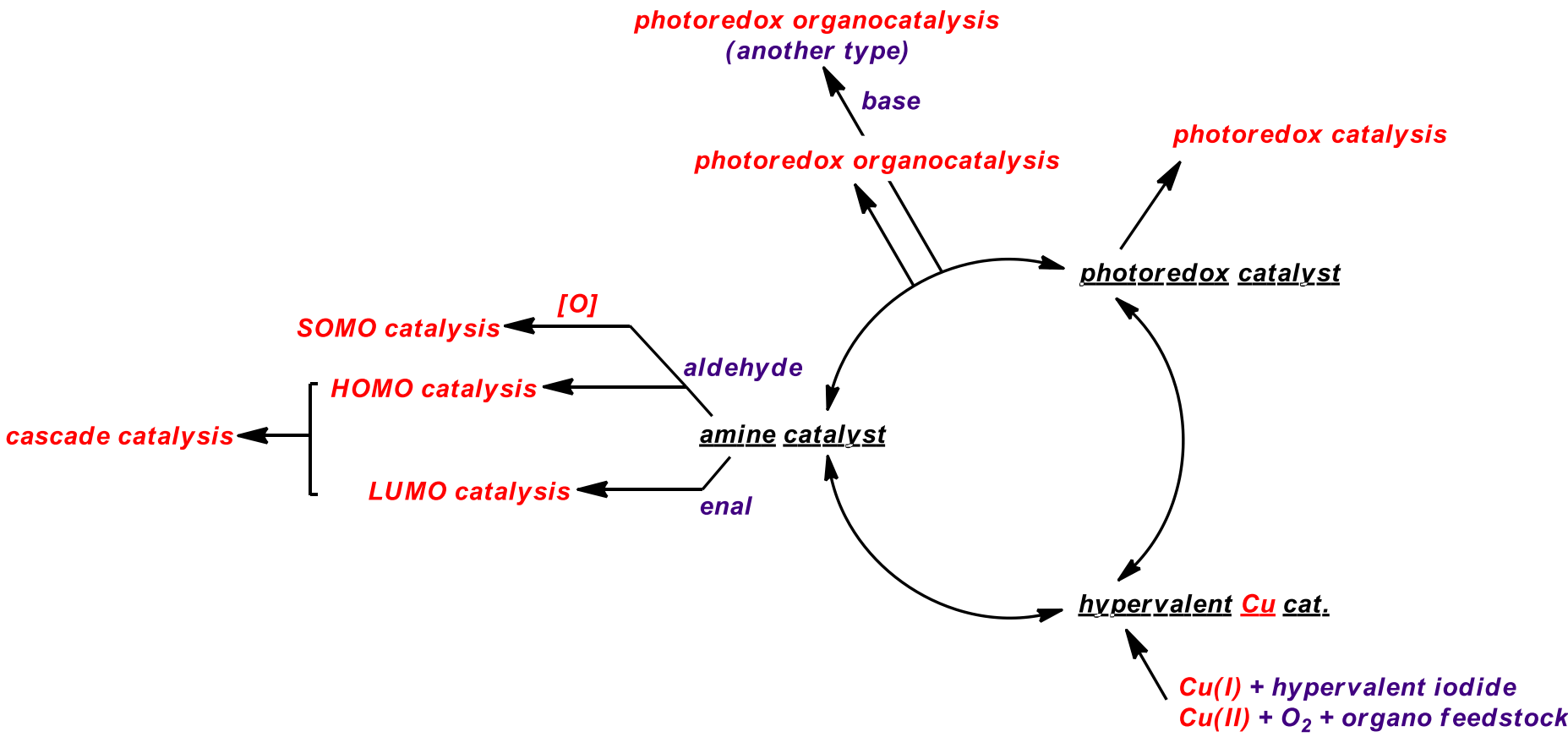
Summary



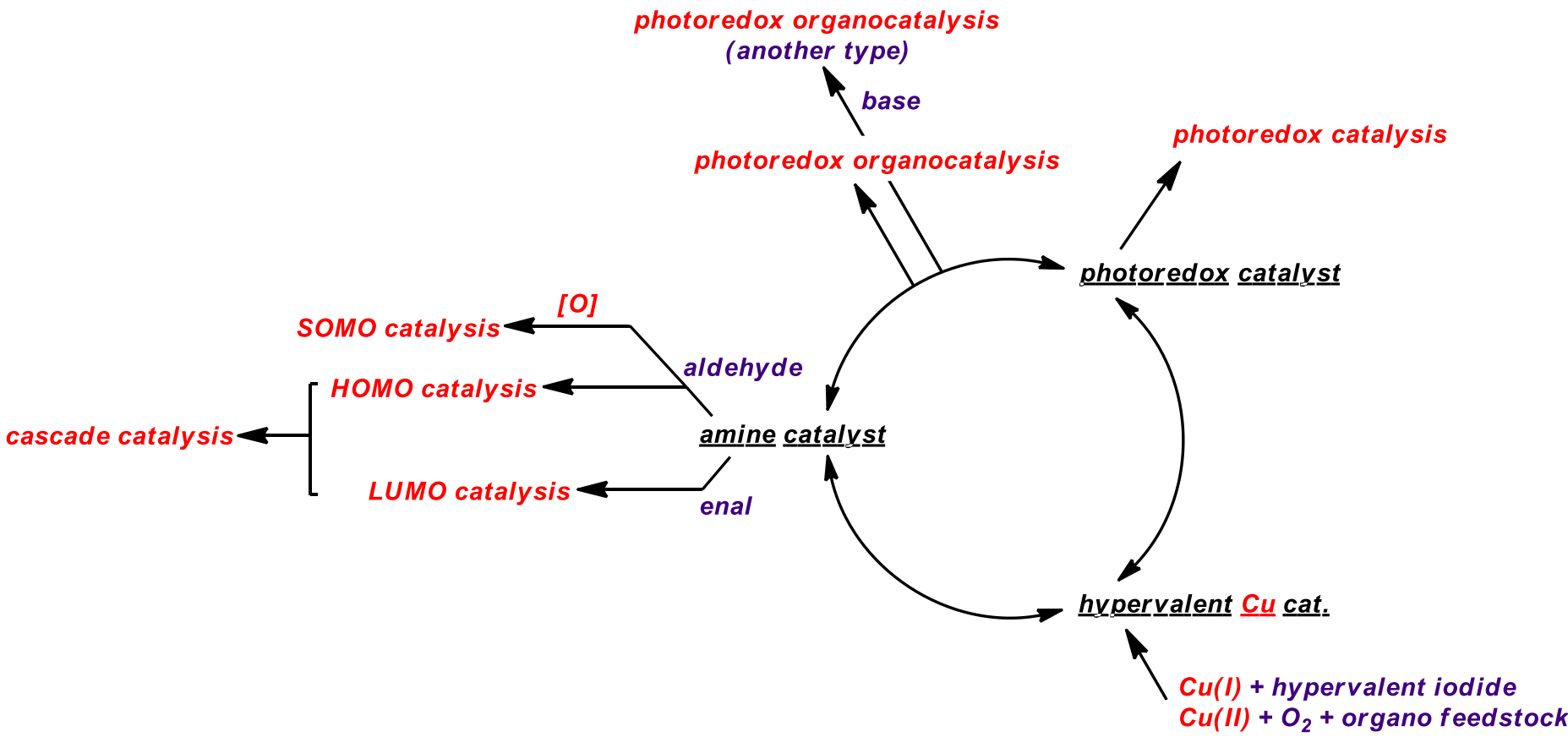
Summary



Summary

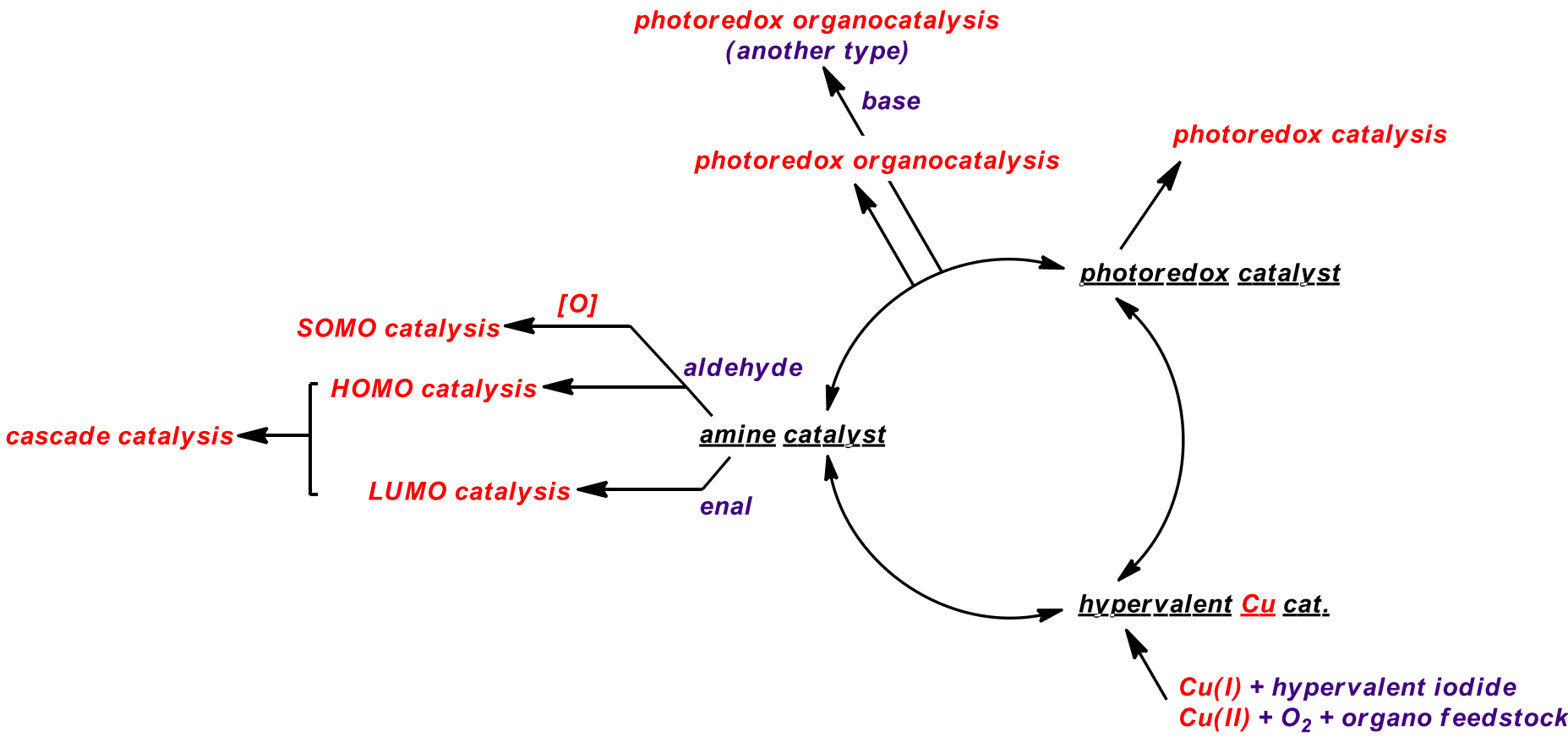


Summary



- *New chiral amine catalyst family*
- *Merge different type of catalysis*
- *Deep-going mechanism study*

Summary

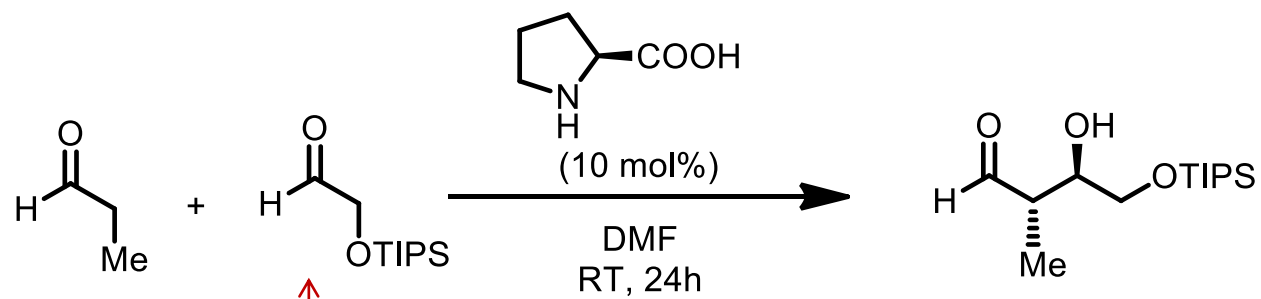
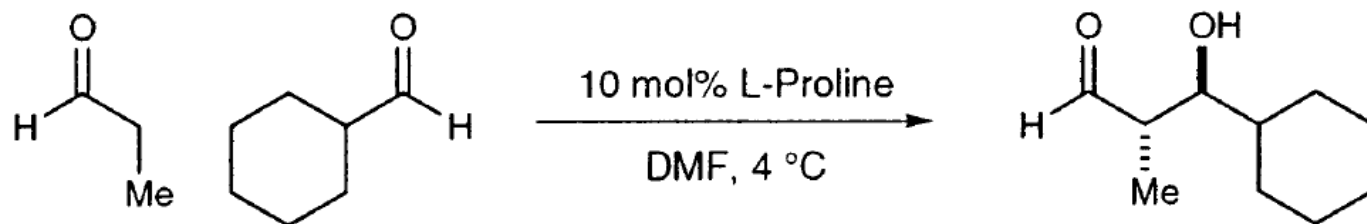


- **New chiral amine catalyst family**
- **Merge different type of catalysis**
- **Deep-going mechanism study**

Thank You !



Q1

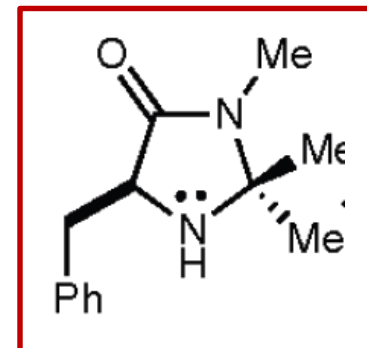
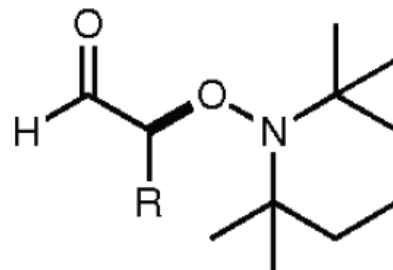


75 % yield, 4:1 dr, 99 % ee

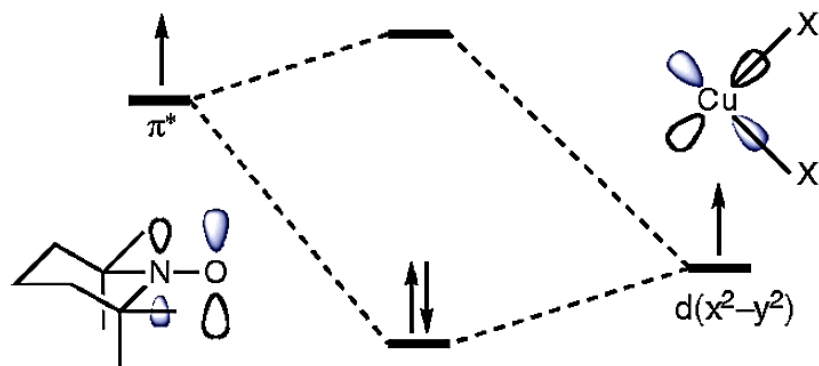
Here as **Aldol acceptor**
Differs with metal mediated reaction

Q2

α -Oxidation of Aldehydes

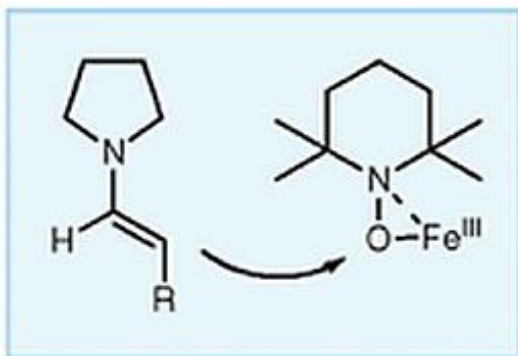


49-80% yield, 32--90% ee



Complex formation:
LUMO centered on
TEMPO oxygen

Baerends, E. *J. Inorg. Chem.* **2009**, *48*, 11909



J. Am. Chem. Soc., **2010**, *132*, 10012-10014

Q3

